

TO DIE OR TO DIFFERENTIATE: APOPTOTIC AND NON-APOPTOTIC
ROLES OF DEATH MOLECULES IN *DROSOPHILA MELANOGASTER*

Thesis by

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Upon looking back at when I started at Caltech, I realize how much I was able to learn during the course of my graduate studies. I believe I became an independent scientist with the ability to pose interesting questions, find efficient ways to tackle them, and try to solve them. Needless to say, none of this would have ever been possible without my mentor, Bruce Hay. His office was always open day and night, to give me advice and help. I was fascinated by his great dedication, energy, and enthusiasm for science. It is these qualities that I have tried to emulate. If I have accomplished anything during the past years, it was all thanks to his guidance and support.

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ABSTRACT

Virtually every cell, if not all, are ready to die under stressful conditions or by necessity during animal development. In *Drosophila*, three pro-apoptotic proteins, Rpr/Hid/Grim, had been found to induce cell death by preventing the function of the cell death inhibitor, DIAP1. However, the mechanistic details of this process were largely unknown. We have found that Rpr/Hid/Grim induce DIAP1 destabilization through ubiquitination and general translational inhibition. Moreover, from the *in-vitro* and *in-vivo* studies, we also found that ubiquitination of DIAP1 by Hid is dependant on DIAP1's own ability to ubiquitinate itself. Once the life-or-death decision is made, cells can efficiently start apoptosis by quickly removing pre-existing death inhibitors using these mechanisms.

In addition to the canonical roles of death machinery, we have also studied their roles in non-apoptotic developmental processes. In the testis, germline stem cells ultimately give rise to 64 individual sperms. Spermatocytes, and later, spermatids, develop within a single membranous structure, or syncytium. Formation of free-swimming sperms requires the encapsulation of each spermatid by an independent plasma membrane and the elimination of most of the sperm cytoplasm. We demonstrated that at least three independent caspase activation pathways are likely to be involved in these processes with different spatial and temporal activation patterns, and that a global inhibition of caspase activity results in male sterility.

External stresses such as radiation and heat shock were known to induce large amounts of cell death (up to 60% of the total cell population) in proliferative tissues like *Drosophila* larval imaginal discs. Interestingly, larvae exposed to such stress ultimately

develop into normal adult flies. This is facilitated by the compensatory proliferation of cells that neighbor the dying cells. In order to study the mechanistic basis for this process, we uncoupled cell death from death activation by expressing Hid in the presence of P35, a viral inhibitor of effector caspases. Interestingly, neighboring cells of clones expressing Hid underwent compensatory proliferation, which was no longer observed when we blocked the activation of initiator caspase, Dronc. Our observations indicate that non-apoptotic Dronc activity is required for the generation of a non-autonomous proliferation signal.

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CHAPTER 1

Introduction: A fly's-eye view of death; an insight from *Drosophila* apoptosis

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(In preparation)

Programmed cell death is essential during animal development. It regulates tissue homeostasis by removing superfluous or damaged cells. For past 15 years, studies from worms, fruit flies, and mice have provided us with lots of information about how a myriad of cell death players are regulated, interact with each other, and respond to external stimuli in order to achieve "death" in a controlled way. The importance of this mechanism can be inferred from the fact that many pathological conditions, including cancers, are usually found to have uncontrolled components in the apoptotic pathway. Many apoptotic players have non-apoptotic roles during animal development making the apoptosis world much more complicated than previously envisioned.

Introduction

Programmed cell death (PCD) or apoptosis is required for sculpting structures, deleting unneeded structures, controlling cell numbers by eliminating superfluous or harmful cells, and producing differentiated cells during animal development [1]. Many external and internal stimuli can lead to PCD including DNA damage, binding of death ligands to death receptors, withdrawal of growth factors, and transcriptional up-regulation of death activators. Caspases, a family of cysteine proteases, become a converging point for the various upstream events of PCD. If a cell decides to kill itself either by triggering the internal death program or by responding to external stimuli, a set of proteases called upstream caspases are activated. These caspases have a long polypeptide stretch in the N terminus that the other caspases lack. Upstream caspases can be activated as long as they are brought into close proximity to each other via adaptor molecules. The proximity

sometimes leads to their cross-cleavages [2, 3]. The active versions of upstream caspases, which usually exist as dimers, cause cleavage of downstream caspases with shorter or no N terminal polypeptide stretch. Since the activity of downstream caspases is believed to be responsible for most morphological features of PCD, these caspases are thought to be as executioner caspases. In accordance with this idea, downstream caspases are able to cleave many substrates including nuclear lamins and inhibitors of DNA nucleases, which leads to DNA laddering [4], one of the well-known apoptotic hallmarks.

Because PCD results in devastating effects on cells and it cannot be easily undone, a fine orchestration of various players, both positive and negative regulators of apoptosis, is absolutely essential. If some part of this regulation becomes out of control, too much or too little cell death tends to occur, most of which ultimately lead to detrimental outcomes like neurodegenerative diseases or cancer in animals. Understanding how PCD occurs and is regulated, therefore, is of utmost importance not only to the basic scientists studying animal development, but also to the medical personnel fighting against such diseases. Most information about PCD, if not all, has been acquired by studying animal model organisms such as worms, fruit flies, and mice (Figure 1). In this review, we first start by explaining the similarities and the differences of PCD among these three different model organisms. We then turn to *Drosophila melanogaster*, the fruit fly system, to highlight dynamic interactions among a myriad of cell death regulators and explain how they fit into the complicated, but elaborate, regulatory network of PCD.

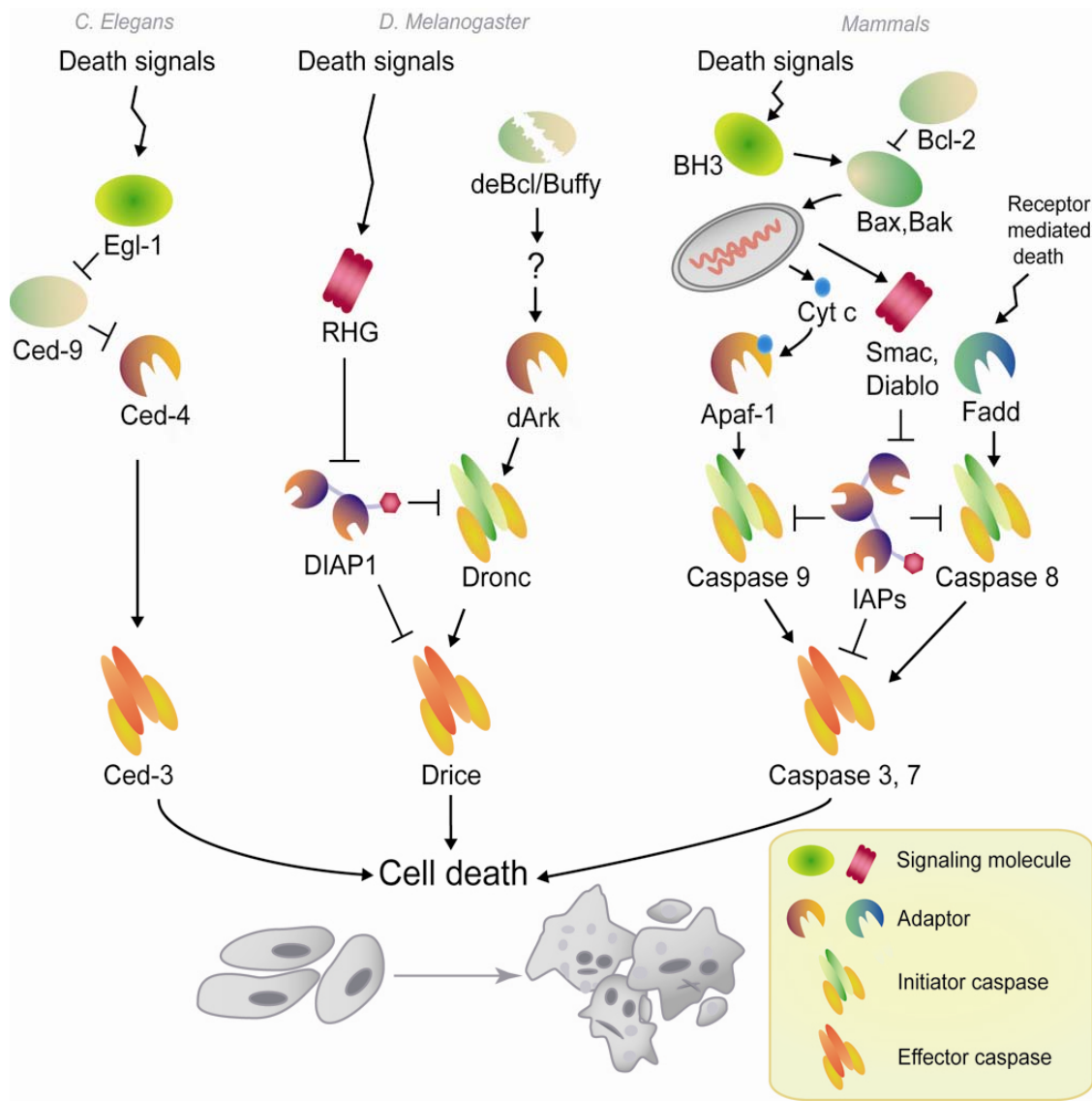


Figure 1. The core apoptosis machine compared in *Caenorhabditis elegans*, *Drosophila melanogaster*, and mammals.

(a) In *C. elegans* the adaptor protein CED-4 promotes the activation of the caspase CED-3. CED-4 activity is inhibited by the Bcl-2 family member, CED-9. Various stimuli promote death by inducing tissue-specific expression of EGL-1, which disrupts CED-9 function. (b) In *D. melanogaster* the adaptor protein Ark (homologous to CED-4 in worms and Apaf-1 in mammals) promotes activation of the apical caspase Dronc in many cells that should normally live. This activation might be regulated by the pro-and anti-apoptotic multidomain Bcl-2 family members Debcl and Buffy, but this is largely speculative (indicated by the question mark associated with the arrow). DIAP1, an inhibitor of apoptosis protein (IAP), inhibits Dronc

and the effector caspases activated by Dronc, such as Drice. DIAP1-binding proteins such as Rpr, Hid, Grim, Sickie, and Jafrac-2 (indicated by 'RHG') promote death in part by disrupting the anti-caspase function of DIAP1. (C) In mammals, Apaf-1-dependent activation of caspase-9 (functionally homologous to Dronc in flies), is highly regulated by Bcl-2 family proteins. Anti-apoptotic multidomain proteins are represented by Bcl-2, and pro-apoptotic multidomain proteins by Bax and Bak. Many different death stimuli promote the expression or activation of BH3-only family members, which facilitates Bax- and Bak-dependent release of pro-apoptotic, mitochondrially localized proteins; among these proteins are cytochrome c, which promotes Apaf-1 activity, and the IAP-binding protein Smac/Diablo, which disrupts the anti-caspase activity of IAPs. In a separate pathway, ligand-bound death receptors recruit adaptors such as Fadd, which then recruits and activates apical caspases such as Caspase-8. In both pathways, apical caspase activation leads to cleavage and activation of downstream caspases such as Caspase-3 and Caspase-7. In both pathways IAPs inhibit active caspases.

Comparison of PCD among worm, fly, and mouse; their similarities and differences

It was the tiny little worm, *Caenorhabditis elegans*, which first shed light on how PCD occurs at the molecular level. During the nematode's development 131 cells out of 1090 total cells undergo PCD. The Laboratory of H. Robert Horvitz, one of the three 2002 Nobel laureates in physiology or medicine, performed a series of elegant genetic screens in order to identify genes responsible for this process. He found that mutations in *ced-3* and *ced-4* abolish the majority of PCD in those 131 cells, whereas mutations in *ced-9* provoke excessive cell death. *Ced-3* was later shown to encode a functional homologue of mammalian caspase-3, one of major downstream caspases involved in apoptosis. *Ced-*

4 was found to be an adaptor molecule whose function is required for Ced-3 activation [5]. Likewise, Apaf-1, the mammalian homologue of ced-4, activates caspase-9, which ultimately activates caspase-3. In summary, ced-3 and ced-4 work as positive regulators for PCD. However, cell death cannot be regulated if the animal only possesses an activation mechanism. Thus, negative regulators of PCD are also needed for controlling cell death. Ced-9, a mammalian anti-apoptotic bcl-2 protein homologue, inhibits the function of Ced-4 by directly binding to it [6]. When cells decide to die the upstream regulator Egl-1 becomes transcriptionally up-regulated and negatively regulates Ced-9, ultimately leading to the activation of ced-3 with the help of ced-4 [5]. In worms, however, endogenous caspase inhibitors, which are able to directly block the function of ced-3, have not been identified. This observation suggests that most important decision for PCD in *C. Elegans* seems to be made at the upstream level by regulating induction of the pro-apoptotic gene egl-1.

In contrast to the strategy utilized in worms, ‘to die or not to die’ decisions in flies are made at the level of downstream players, caspases. Several studies from fruit fly cell lines clearly show that the caspase Dronc is in a continuously activated mode even without any upstream input. In other words, unlike the worm's ced-4, where it is under a continuous inhibition by Ced-9, the function of the fly Apaf-1 homologue, dArk does not seem to be inhibited in a normal situation. Instead, inhibition of caspase activity in non-dying cells is achieved through the function of DIAP1, a *Drosophila* inhibitor of apoptosis (IAP) protein. IAPs were originally identified as cell death inhibitors from several insect viruses [7]. The importance of the physiological role of DIAP1 is most well

exemplified by the observation that virtually every single cell in homozygous DIAP1 mutant embryos or larval tissues lacking DIAP1 undergoes PCD due to the excessive caspase activation [8, 9]. Obviously it seems like there is continuous caspase activation and concomitant inhibition by DIAP1 in *Drosophila*. When this delicate balance is disrupted, likely due to the increased activation of caspases or less inhibition by DIAP1, the daunting outcome of PCD can be easily envisioned. For the fruit fly system, the latter seems to be a major trigger for PCD. When cells are destined to die, transcriptional or post-transcriptional up-regulation of cell death activators like Rpr/Hid/Grim, and possibly Sickie and Jafrac-2, easily tip the balance toward less caspase inhibition by preventing DIAP1's ability as a caspase inhibitor. Thus cells in a sense, at least for *Drosophila*, are likely to die not because they choose to die, but because they choose not to live. In other words, the default pathway for the fruit fly cells is to kill themselves, but they simply survive as long as DIAP1-mediated inhibition prevails.

Like many other biological pathways, the mammalian pathway for PCD is more complex and can regulate and execute PCD in a multitude of different ways. However, the core features of PCD are well preserved. Mammalian cells harbor upstream cell death activators such as BH3-only or bcl2 proteins, adaptor molecules like Apaf-1 or FADD, and upstream and downstream caspases. They appear to use variants of both strategies found in worms and fruit fly PCD. Firstly, like in worms, BH3-only molecules, mammalian counterparts of Egl-1, can induce cell death by disrupting the function of anti-apoptotic Bcl-2 family proteins, which prevent the activity of pro-apoptotic Bcl-2 family proteins. Once activated, pro-apoptotic Bcl-2 proteins result in the release of Cytochrome-c from the mitochondria, which binds to Apaf-1 and ultimately leads to the

activation of caspase-9. Secondly, like in flies, caspase inhibition by the mammalian IAP family can be relieved by cytoplasmic Smac/Diablo, a mammalian counterpart of fly Rpr/Hid/Grim, which is localized in the mitochondria of non-dying cells. Thus, it appears that both the activation by pro-apoptotic players through mitochondria and the inhibition of an IAP-dependent-caspase-inhibition are utilized in mammalian PCD. Contrary to this approach, worms and flies seem to mainly utilize only one of these mechanisms. Besides these two strategies mammals are also equipped with a third method to cause PCD, the receptor mediated death pathway that allows the animal to quickly respond to rapid environmental changes more efficiently. The best example of this can be taken from the mammalian immune system. When Fas ligands in immune cells impinge onto the infected cells by interacting with Fas receptors on their membranes, Caspase-8 is recruited and activated via the adaptor molecule Fadd, resulting in PCD. Curiously, in a fruit fly system, structural homologues of these players seem to be used in a functionally distinct pathway, i.e., innate immune response pathway, which will be described in the later part of this review.

Now we will change gears and turn to *Drosophila* system in order to outline various players in PCD, summarize what we know and what we don't know at this point, and provide some insights on how we can tackle some of the unanswered, but fundamental, questions.

Various players in *Drosophila* PCD

Diap1 as a peace keeper

The most eminent player in a world of *Drosophila* PCD is DIAP1 (Figure 2). DIAP1 is responsible for the survival of most, if not all, fly cells. DIAP1 was firstly isolated among the cellular IAPs by a genetic screen for cell death suppressors [10]. When over-expressed, DIAP1 is sufficient to inhibit Rpr/Hid/Grim/Caspase mediated cell death. DIAP1's physiological significance can be validated from several lines of evidence, mainly performed by us and several other groups [8, 11, 12]. The first line of evidence is that mutant DIAP1 cells undergo apoptosis. Secondly, major cell death activators like Rpr/Hid/Grim in *Drosophila* seem to exercise their function specifically by blocking DIAP1's role as a cell death inhibitor. Lastly DIAP1 can directly bind and inhibit caspases. In the absence of DIAP1, cells die due to the excessive activation of downstream caspase Drice [13]. In accordance with this, over-expression of P35 (a suicide inhibitor for caspases derived from the *Autographa californica* nucleopolyhedrovirus [14]) can rescue cell death caused by loss of DIAP1 in fly eyes.

The role of another IAP protein, DIAP2, is less clear. Ectopic expression of DIAP2 in fly eyes block Rpr and Grim induced cell death [10], and inhibition of its expression in the S2 cell manifests increased sensitivity to certain types of apoptosis [15]. The insect hormone Ecdysone was reported to induce transcriptional up-regulation of DIAP2, suggesting its role during salivary glands apoptosis [16]. However, as the DIAP2 mutant is not available its physiological function remains a mystery.

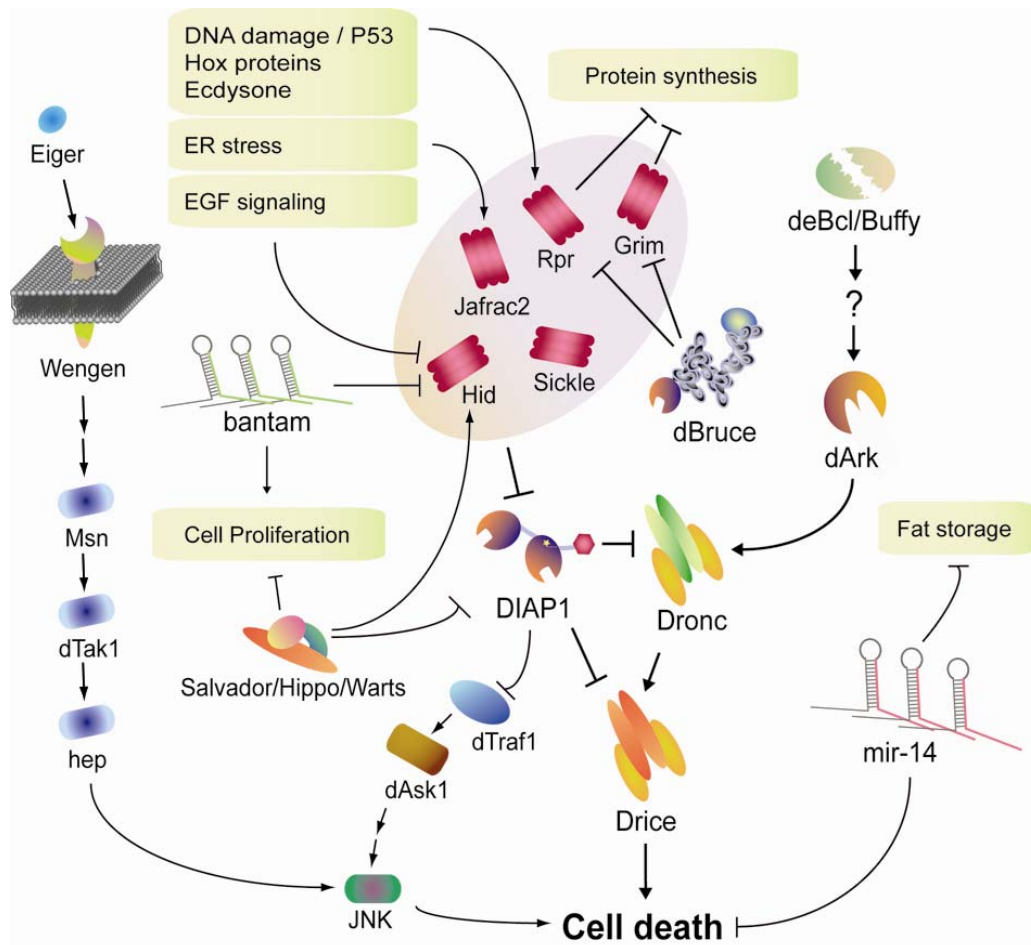


Figure 2. Regulation of cell death in *Drosophila melanogaster*.

A more detailed illustration of several cell death pathways. The TNF-family ligand Eiger binds the receptor Wengen in physiological contexts that remain to be identified. This leads through ill-defined steps (the two arrows) to activation of Misshapen (Msn), the c-Jun N-terminal kinase (JNK) kinase kinase kinase (JNKKKK). Msn phosphorylates and activates dTAK1 (the JNKKK), which promotes activation of Hemipterous (Hep; the JNKK). Hep phosphorylates and activates JNK. In a second pathway leading to JNK activation, binding of Reaper (Rpr) to DIAP1 results in stabilization of the tumor-necrosis factor-associated factor 1 (Traf1); this leads to the activation of the apoptosis signal-regulating kinase 1 (Ask1) and to JNK activation. JNK activation promotes cell death in some, but by no means all, contexts. Members of the RHG family of DIAP1-binding proteins (pink cloud) are regulated through multiple pathways. Rpr expression is activated in most, if not all, dying cells in the

embryo and is also induced by various stimuli. Jafrac2 is released from the endoplasmic reticulum in response to UV irradiation (ER stress). Hid is negatively regulated by the EGF receptor/Ras/MAPKinase pathway through phosphorylation (EGF signaling). The *bantam* miRNA negatively regulates Hid translation, whereas Hippo/Salvador/Warts stimulate Hid expression. All RHG family members bind to DIAP1 and inhibit its anti-apoptotic activities. In addition, at least Rpr and Grim also have DIAP1-independent pro-apoptotic activities, one of which is the general inhibition of translation. The *mir-14* micro RNA inhibits cell death and fat storage through unknown mechanisms.

There is one major question that still need to be answered; what makes Dronc, an upstream caspase, continuously processed (or activated) in S2 cells, and why is the removal of DIAP1 sufficient to cause PCD in flies? Unlike mammalian Apaf-1, dArk does not require Cyt-c to induce caspase activation [15, 17]. It has been suggested that either the dArk-Dronc complex is self-sufficient for its activation or some other unknown activation mechanism exists. In any rate, a genetic screen to find potential suppressors of small eye phenotype, caused by expression of Diap1 double strand RNA (dsRNA), would provide some clue for this question. Alternatively, performing mass spectrometry analysis using dArk as tagged baits would be useful to identify dArk interacting proteins, some of which might lead us to better understanding about how dArk-Dronc is activated.

Rpr/Hid/Grim as an axis of evil

A glimpse of molecular mechanism of PCD in *Drosophila* came from the discovery that a small genomic deletion region (H99) covering the Rpr/Hid/Grim genes removes the

majority of cell death during embryogenesis [18]. This was striking, as it clearly suggested that cell death itself is regulated by genes. Over-expression of any of these genes also caused PCD in many tissues, including fly eyes. In dying cells Rpr and Grim are up-regulated, whereas Hid was shown to exist in both dying and non-dying cells. Rpr is induced by hormone Ecdysone, P53/DNA damage, or Hox proteins following cell death signals [19]. The Rpr mutant has an enlarged central nerve system without affecting most developmental cell death [20]. Unfortunately little is known about Grim. Hid, however, is negatively regulated at the transcriptional or the post-transcriptional level by the Erk pathway, which functions not only for cell death, but for cell survival pathway [21, 22]. The Hid mutant has defects in normally occurring cell death during pupal eye development [23] and manifests a semi-lethal phenotype. Even though H99 abrogates most PCD and makes the embryo lethal, no single gene seems to be absolutely essential for normally occurring PCD. Thus, Rpr/Hid/Grim might either work together to mediate cell death or have some redundant function. Curiously Rpr/Hid/Grim do not have high structural homology with each other. They do however have limited homology at the short N-terminal region. It was this region that was shown to bind and inhibit Diap1 (but other regions also have a binding capability). Interestingly, a mammalian counterpart of Rpr/Hid/Grim, named Smac/Diablo, also has limited homology in this N-terminal region. Based on this structural information, two more death players, Sickie and Jafrac2, have been found [24-27]. Sickie shows a very similar transcription pattern to that of Rpr. Jafrac2 is released into cytosol from the endoplasmic reticulum following an induction of apoptosis. Both genes can induce PCD in over-expression contexts, but verifying their physiological roles has yet to be accomplished because of the lack of mutants.

Drosophila also seems to have an extrinsic cell death pathway involving the tumor necrosis factor (TNF) ligands, Eiger [28, 29], and its receptor Wengen [30]. Eiger is able to induce PCD in a JNK (Jun amino terminal kinase) pathway dependent manner. Since Eiger mutants, however, have no obvious defects either for PCD or for immune response, its physiological role is still a mystery. One hypothesis to explain this is that Eiger might be required only for cell death under certain stressful conditions. A recent observation lends credence to this idea since eiger mutants live longer after bacterial infections without affecting bacterial proliferation, suggesting its role in pathogen induced animal death [31].

Caspases as rogue executioners

In *Drosophila*, seven caspases exist [3]. Dredd, Dronc, and Dream/Strica are thought to act as upstream caspases because they have long pro-domains, whereas Drice, Dcp-1, Decay, and Daydream/Damm, which lack a pro domain or have a relatively short one, act as downstream caspases. Regardless of the size of the pro-domain the majority of them can kill cells when they are over-expressed in fly tissues or cell lines. Their endogenous roles, however, are mostly unknown due mainly to the lack of corresponding mutants. Dredd originally was assumed to play a role in PCD [32], but identification of dredd mutant assigned its role as a signal transducer in an innate immune pathway [33], which will be discussed more in the later part of this review. Studies from a Dcp-1 mutant by Herman Stellar lab, initially suggested its role in tracheal development and in

developmental PCD because the mutants had larval lethality and melanoma formation due to the lack of PCD [34]. Another suggested function was the dumping of nurse cell contents into maturing oocytes during oogenesis [35]. These phenotypes, however, were found to be caused by the additional loss of the neighboring gene, *pita*. Mutants that are only lacking *dcp-1* are viable and manifest normal nurse cell death [36]. These mutants do however appear to affect starvation-induced germline cell death, suggesting Dcp-1's role in a stress response PCD pathway.

For Dream/Strica [37], Drice [38], Decay [39], and Daydream/Damm [40], loss-of-function mutants are not available so their definitive roles in PCD and development are still unclear. Are they absolutely required for certain types of cell death? Or do they have somewhat overlapping functions? Without mutants, we cannot answer either of these questions for sure. But in terms of what they can do, the function of Dronc and Drice, although mostly well studied, are shedding light on the other genes functions. Dronc is a counterpart of mammalian caspase-9, and over-expression of its inactive form works as a dominant-negative because it blocks Rpr/Hid/Grim induced cell death in fly eyes [41, 42]. Using this Dronc dominant negative form, Dronc's physiological role has been indirectly pursued. Dronc also cleaves and leads to the activation of downstream caspases, Dcp-1 and Drice [42]. Ecdysone and its receptor complex were shown to directly bind to the *dronc* promoter and induce its transcriptional up-regulation [43, 44], which implies that the pro-apoptotic role of Dronc is in hormone dependent death. Recently, three groups have independently reported Dronc mutant phenotypes [45-47]. In accordance with previous data, Dronc is responsible for the majority of the occurring

PCD during animal development or by external stress. Specifically Dronc mutants lack cell death induced by Hid over-expression in fly eyes and by irradiation of wing discs. Dronc is also required for salivary gland histolysis [46]. Hemocyte cell culture from Dronc mutants showed resistance against several different death stimulating reagents [45]. However, a couple of things are worthy of note. Dronc mutants still have a few cells undergoing PCD during embryogenesis, and small portions of Dronc mutants (<10%) survived up to adulthood [47], both of which are different from those in H99 deletion mutants. These observations clearly support an idea that either Dronc independent caspase activation mechanisms exist or Rpr/Hid/Grim can achieve cell death through a non-caspase activation cascade. Generation of the Dream/Strica mutants, the last upstream caspase whose function remains at large, will probably clarify if either of these is correct.

Based on the structural homology to the mammalian caspase-3 and its temporal and spatial activation pattern in cells undergoing PCD [13], Drice is regarded as one of the major downstream caspases for PCD. Removal of Drice (and Dronc) by RNAi completely blocks stress-induced cell death in S2 cells, suggesting their essential roles in PCD [15, 48]. Once activated by Dronc, Drice can also cleave the boundary between the pro-domain and large subunit of Dronc, which could potentially makes it less sensitive to the DIAP1 mediated inhibition [49] comprising a positive feedback loop [50]. A recent study also suggested that cleavage of the Drice pro-domain, and thereby an exposure of an IAP binding motif in the large subunit, is essential for Drice's binding ability to DIAP1 [51]. Drice is also transcriptionally activated by ecdysone [52], which presages

its role in hormone-dependent cell death. Again the definite role of Drice will be elucidated once Drice mutant becomes available. One interesting question to ask is if the Drice mutant is embryonic lethal like H99. We don't know the answer yet, but Drice might not be absolutely required for PCD like Dronc. The fly has three more downstream caspases including Dcp-1, which has the highest structural homology to Drice. Even though Dcp-1 mutants develop quite normally other than having some minor defects in stress induced cell death, it could still well be that Dcp-1 and Drice have redundant roles. Specifically the level of Dcp-1 protein or its activity might be increased when Drice function is inhibited. Answers to all of these questions will be available once the Drice and Dcp-1 double mutant is generated.

A battle between DIAP1 versus Rpr/Hid/Grim and caspases.

As mentioned earlier, the endogenous role of DIAP1 keeps caspases from being activated, either by direct inhibition or post-translational modification. Once pro-apoptotic players such as Rpr/Hid/Grim bind to DIAP1, however, it is no longer able to inhibit caspase activity (Figure 3). For the past several years, many groups including ours have studied dynamic interactions among these molecules in order to address how DIAP1 is regulated by Rpr/Hid/Grim and caspases. It would not be unreasonable to postulate that DIAP1 is actively regulated through multiple mechanisms since it plays a key role for regulating PCD during animal development. Indeed, several transcriptional and post-transcriptional regulation mechanisms have been unveiled.

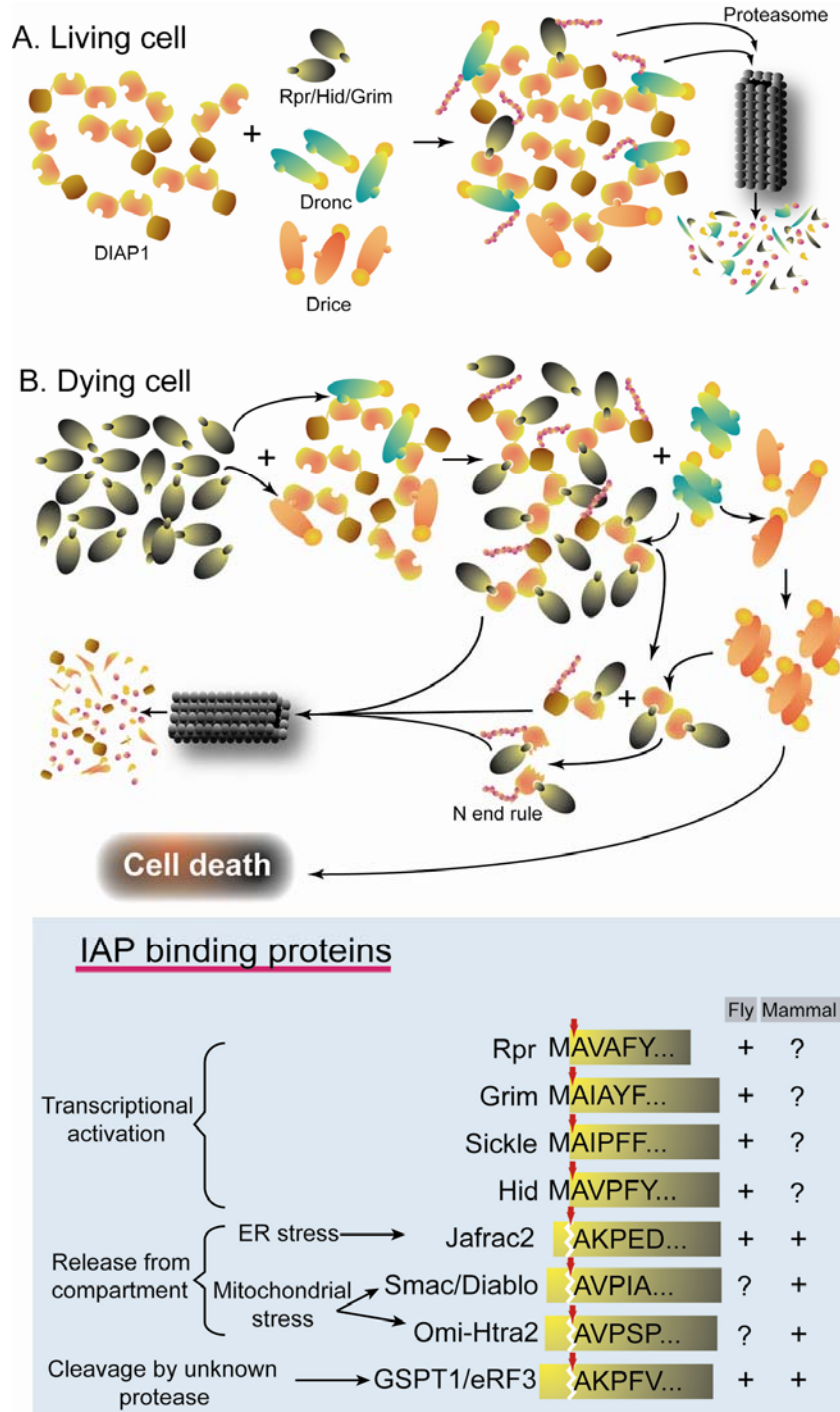


Figure 3. Dynamic interactions between cell death activators and DIAP1 in *Drosophila melanogaster*.

Because different regions of DIAP1 are involved in these regulations, it is helpful to explain its structure before delving into more details. DIAP1 consists of two N-terminal BIR (Baculovirus IAP repeat) domains and one C-terminal Ring finger domain. The BIR is a functional domain that interacts with other proteins and has been found in many other IAP family proteins including metazoan cell death inhibitors and yeast cell cycle regulators [7]. Ring fingers have been found in many diverse proteins with many different functions, some of which confer ubiquitin E3 ligase activity. Genetic, biochemical, and structural studies have shown that Rpr/Hid/Grim, Dronc, and Drice can bind to one or both BIR domains [53]. Specifically, Dronc and the N-terminal peptides of Rpr/Hid/Grim bind to the same conserved groove of DIAP1's BIR2 domain [49]. In a normal situation, this region is occupied by Dronc (and possibly this binding induces Dronc ubiquitination [54]) so that no Dronc activation occurs. Upon the induction of PCD, Rpr/Hid/Grim can invade the interface of the Diap1-Dronc complex and liberate Dronc by sequestering DIAP1. Now Dronc undergoes its activation with the help of dArk, which ultimately cleaves Drice and Dcp-1 [42], leading to their subsequent activation. Rpr/Hid/Grim, then, decrease DIAP1's half life by tagging it with ubiquitins for protein degradation. DIAP1's ring finger activity is required for Hid mediated DIAP1 ubiquitination. We know this because Hid is no longer able to decrease the stability of *th6* allele, a Diap1 ring finger mutant with a C412Y mutation [13, 55]. Rpr and Grim, however, are still able to decrease Th6 protein level, which suggests that they don't need DIAP1's ring finger activity [[13, 55] and unpublished data]. Additionally the DIAP1 ring mutants that work as suppressors for Hid are mild enhancers for Rpr and Grim induced death [12]. Intriguingly several groups have reported that Rpr and Grim are

capable of reducing the general level of protein translation [13, 56, 57], and Rpr itself is translated in a cap-independent manner [58]. Thus, this feature might partly contribute to the destabilization of DIAP1 by Rpr and Grim. Alternatively, Rpr and Grim might use some other unknown E3 ligases for the ubiquitination of DIAP1. A contradictory observation was made by Ryoo and colleagues that Rpr is not able to remove the DIAP1 ring finger mutant [59]. Since Rpr over-expression induces caspase activation and cell death, the absence of caspase inhibitor P35 in their experiment complicates the interpretation.

Once activated, Drice is also able to reduce DIAP1's stability by cleaving the 20th amino acid from the N-terminus. Pascal Meier's lab showed that this cleaved DIAP1 exposes a destabilizing Degron sequence and consequently undergoes protein degradation through the N-end rule pathway [60]. In summary, in dying cells, Rpr/Hid/Grim not only binds to DIAP1, but also reduces its stability, which ultimately leads to the Drice activation.

Active Drice then further destabilizes DIAP1 by N-end rule pathway and releases more Drice as a positive feedback mechanism (Figure 3). Recently, Yigong Shi's and Rollie Clem's labs reported that active Dronc can also cleave DIAP1 in the middle, the physiological relevance of which remains to be addressed [61, 62].

Then what happens in non-dying cells? DIAP1's ring finger activity makes it possible for regulating the stability of other cell death activators as well as its own. In non-dying cells where DIAP1's activity is still dominating, DIAP1 can remove Rpr/Hid/Grim, if somehow fortuitously activated or induced, by tagging them with ubiquitins and sending

them to the proteasome for proteasome mediated degradation [63] (Figure 3). Dronc is also negatively regulated by DIAP1, but whether or not ubiquitinated Dronc also undergoes degradation is not clear [49, 54]. Another example can be taken from the JNK pathway. DIAP1 over-expression has been shown to down-regulate dTraf1, an upstream JNK activator, by ubiquitination dependent proteasome degradation pathway [64]. At any rate, the data suggests the role of DIAP1 as a double-edged sword. In non-dying cells, ring finger activity of DIAP1 prevents unwanted death by active inhibition or degradation of cell death activators. In dying cells, however, the same activity ensures accelerated PCD by actively degrading DIAP1 itself with the help of cell death activators.

Then how does DIAP1's ubiquitination occur? Genetic studies from several different labs led to the identification of various players in this pathway such as Uba-1 (E1 activation enzyme), UbcD1 (E2 ubiquitin conjugation enzyme), SkpA (a component of SCF-type E3 ligase), Fat facet (de-ubiquitination enzyme), and Morgue (a novel F box gene) [59, 65, 66]. Morgue encodes a unique protein that contains both a F box and an inactive E2 conjugation domain, suggesting its role as an adaptor molecule. Morgue loss of function partially suppresses Rpr/Hid/Grim induced cell death by possibly stabilizing DIAP1. However, it is still largely unknown which components, among these, form a complex with DIAP1 under physiological condition. Are these molecules always in the same complex with DIAP1 or could some of them be only mustered to this complex when cells are undergoing apoptosis? These questions could be easily solved through biochemical and proteomics approaches. One can pull down DIAP1 associating complexes from S2 cell, either in the presence or absence of cell death stimuli and

perform the mass-spectrophotometric analysis. It would be interesting to know which components are involved in maintaining DIAP1's own stability and which are involved in degrading cell death molecules. The other questions are: what is the role of de-ubiquitination enzymes and what is the function of other Ubiquitin- like molecules such as Sumo in the regulation of DIAP1's protein stability? Additionally, DIAP1 was reported to be regulated by phosphorylation as well. A fly ortholog of a mammalian Mst kinase, Hippo, can phosphorylate and destabilize DIAP1 [67] presumably by affecting its auto-ubiquitination efficiency. But how this event occurs awaits more extensive analysis.

In the end, it is not so simple; exceptional rules of engagement

We have discussed the role of DIAP1 and Rpr/Hid/Grim, which are the most well-studied cell death inhibitor and activators, respectively, in *Drosophila* PCD, and their mutual and dynamic interactions. Mutants removing DIAP1 or Rpr/Hid/Grim altogether (H99) show embryonic lethality, which strongly indicate that both cell death inhibitor and activators are essential for animal development. Just like too much cell death causes catastrophic effects, too little cell death also causes deleterious effects like cancer. One of the classic examples comes from an old finding that bcl-2 once had been known as a tumor inducing oncogene. Bcl-2 when mutated actually resulted in cancer, not because bcl-2 mutants activated cell proliferation, but because they blocked PCD [68]. Besides, several mammalian IAP homologues were found to be up-regulated in cancer cell lines. There is no cancer in fruit flies. Recently, however, salvador and hippo mutants, whose proteins

might be involved in the same pathway, were shown to induce extra-proliferation and diminish cell death altogether [67, 69-72]. Interestingly, an increase of DIAP1's transcription and its protein stability were, as suggested, partly responsible for the salvador and hippo mutants mediated phenotypes. Moreover, a human ortholog of salvador is also found to be mutated in several cancer cell lines. After all, having more DIAP1 is not always a good thing.

Other exceptional cases can be found in *Drosophila* oogenesis. Maturing oocytes require a huge influx of cytoplasmic materials from neighboring nurse cells. To do this, nurse cells undergo apoptosis-like-morphological-changes. Intriguingly Lynn Cooley's lab found that the H99 germline clone, which removes Rpr/Hid/Grim, didn't affect PCD in nurse cells, which strongly suggests the presence of novel upstream apoptotic molecules [73]. Jafrac-2 and Sickie are good candidates for these upstream apoptotic molecules. Denise Montell's lab also reported that certain combinations of DIAP1 mutant alleles or its mutant clones didn't give rise to excessive cell death in oogenesis [74]. Instead, there are other problems including egg chamber polarity defects, extra nurse cells, follicle cell defects, and border cell migration defects. So it seems clear that non-conventional death pathways play important roles during *Drosophila* oogenesis. But it should be noted that *rpr*, *grim*, *hid*, and *diap1* are expressed in the *Drosophila* ovary [73]. If they are dispensable for developmental PCD, then why do they need to be present? As we discuss later, they may play non-apoptotic roles during oocyte development. Otherwise, they could be responsible for the stress-induced death during oogenesis, where Dcp-1 plays an important role [36].

Other cell death inhibitors: Deterin and dBruce

Deterin possesses a single BIR domain and lacks a ring finger motif. When over-expressed in cell culture, Deterin inhibits cell death induced by Rpr expression and cytotoxic challenges [75]. Survivin, a mammalian homologue of Deterin, was reported to be involved in cytokinesis as well. Since a Deterin mutant is not available, its role in apoptosis remains unclear.

dBruce is a 530 KDa protein containing a single BIR domain and an E2 ubiquitin conjugation domain. When over-expressed in fly eyes, it is sufficient to block Rpr and Grim but not Hid induced cell death. dBruce seems to act as endogenous cell death inhibitor since removing one copy aggravates Rpr or Grim dependent cell death. Its mutant is viable but shows a male sterile phenotype [76]. Hyper-condensation of sperm nuclei was observed in the dBruce mutant, and it was hypothesized that this phenotype was due to the increased level of caspase activation [77]. Since no supporting data was provided in the same paper to show that the dBruce mutant has more caspase activity or that its sterility can be rescued by co-expression of caspase inhibitors, more extensive characterization of dBruce mutants is required to understand how dBruce functions to prevent sterility, which might have nothing to do with caspase activity. How does dBruce inhibit Rpr and Grim induced death? Since dBruce still suppresses lysine negative Rpr and Grim, its inhibition might not be from the simple ubiquitination of Rpr and Grim [76]. Moreover, dBruce failed to suppress Dronc and Dream/Strica induced death. Contrary to the fly data, the proposed functions of mouse Bruce were direct

inhibition and ubiquitination mediated proteasomal degradation of caspase-9 and Smac [78, 79]. The mouse Bruce mutant manifests an embryonic lethal phenotype without any dramatic evidence of increased cell death. But in accordance with fly data, mutant Bruce deficient cells were shown to be more susceptible to apoptosis.

Bcl-2 family in Drosophila; Are they hidden weapons of mass destruction?

Structurally fly Bcl-2 family proteins Debcl and Buffy (among many names referring to these two Bcl-2 proteins, we decided to go by FlyBase nomenclature) belong to the pro-apoptotic group [80]. Indeed, several groups previously reported that Debcl over-expression can kill cells [81-83]. However, a RNAi-based study during embryogenesis, showed that Buffy seems to work as a cell death suppressor [84]. To further support this finding, over-expression of Buffy partially suppressed Rpr/Hid/Grim induced cell death in fly eyes and reduced excessive apoptosis in DIAP1 mutant embryos. More interestingly, Buffy can completely suppress Debcl induced cell death in fly wings. All the previous pieces of evidence strengthen the idea that Buffy is a cell death inhibitor [84]. But the questions remain as to their endogenous roles. It is a prominent task in the fly cell death field to generate Debcl and Buffy mutants and characterize their phenotype. As discussed earlier, there seems to be a constitutive driving force in fly PCD, which allows unleashed dArk dependent Dronc activation. Simple removal of DIAP1, a fly life-saver, is enough to induce apoptosis without any upstream death activation signal. The question is if Debcl and Buffy might act at this point, rendering Dronc continually active.

One simple way to test this hypothesis right away would be to knock down *Debcl* in S2 cell by RNAi and see if this abrogates DIAP1 loss mediated Dronc activation. If *Debcl* is required for Dronc activation, *Debcl* RNAi might prevent cells from undergoing apoptosis even in the absence of DIAP1. As Kumar's group noted, *Buffy* over-expression didn't suppress Dronc induced death in fly eyes, which makes sense if *Debcl* and *Buffy* are upstream regulators of Dronc.

In mammalian systems, Bcl-2 family proteins affect apoptosis through mitochondria by regulating the release of cell death stimulating molecules such as Cytochrome C (cyt-C). In flies, however, the involvement of mitochondria and cyt-C in PCD is not clear. Addition of cyt-C was reported to induce caspase activation in fly embryo extracts [85]. Also, the appearance of altered antigenic moiety of cyt-C correlated with PCD in flies and *Drosophila* cell lines [86]. However, the release of cyt-C into cytosol from mitochondria upon cell death activation was not detected, and more importantly, removal of two different forms of cyt-C does not affect PCD [15, 17], which is a strong argument that cyt-C plays no crucial roles in *Drosophila* PCD. Even though *Debcl* was previously shown to localize in mitochondria [83], how they induce apoptosis and the role of mitochondria remain a mystery. In order to solve this puzzle, it would be intriguing to study the potential involvement of fly homologues of AIF and Endo G in *Debcl* mediated death, as their mammalian counterparts act as death activators and were shown to localize in mitochondria. Overall, fly Bcl-2 proteins are likely to be involved in *Drosophila* PCD, but their physiological identities are still at large.

microRNA and cell death

microRNA (miRNA) are small non-coding RNAs generally 21 to 23 nucleotides, which are originally encoded as 60 to 80 nucleotides stem loop intermediate (or pre-miRNA) and subsequently cleaved by Dicer [87-89]. Estimates are that approximately 1% of predicted animal genes encode miRNAs. The role of miRNA is presumed to negatively regulate certain target genes at the post-transcriptional level, which depends on their partial complementary sequence homologies. Using the awesome power of fly genetics, we and the Cohen lab individually identified two miRNAs, mir-14 and bantam, as cell death inhibitors [90, 91].

Dominant modifier screening allowed us to find a small region harboring the potential miRNA mir-14. Eye-specific expression of mir-14 acted as a strong suppressor against Rpr/Hid/Grim or Dronc dependent cell death, and a removal of mir-14 enhanced their cell death phenotype. mir-14 mutant flies showed pupal lethality and manifested obesity, potentially caused by increased levels of triacylglycerol. The mutant of mir-14 shows elevated levels of Drice strongly suggesting that mir-14 is an endogenous cell death inhibitor. However, as of yet, the target genes for mir-14 are unidentified. Knowing the target genes for mir-14 is crucial in understanding how mir-14 acts in both fat regulation and apoptosis. Bantam plays dual roles, i.e., it inhibits cell death by negatively regulating the cell death activator Hid and promotes cell growth by an unknown mechanism [91].

The question is if there are more miRNAs regulating PCD in *Drosophila*. Computational approaches to identify miRNA targets provide promising answers to this question [92]. mir-2 and mir-13 are likely to function as cell death inhibitors against Rpr, Grim, and Sickie induced death, based on target gene prediction and cell reporter analysis. But their roles in flies need to be validated by making transgenic flies or mutants. Sooner or later, we may end up having a lot more players in the fly death world, many of which would be supplied from the miRNA world.

Apostasy of apoptotic players; their non-apoptotic roles during *Drosophila* development

As discussed so far, various players in apoptosis are under tight control in order to kill cells efficiently at specific times. Both negative and positive feedback loops, which function between many cell death activator and inhibitor molecules, contribute to the elegant regulation of PCD. Since apoptotic molecules exist in every cell, however, it would not be surprising to find that the PCD pathway is being used for other developmental processes. Indeed it would be wasteful for cells to only use cell death machinery for PCD events. In this review, as we decided to focus on a fruit fly system, we will only cover a few cases from *Drosophila* to exemplify the importance of apoptotic machinery in non-cell death contexts. In the mammalian system, however, more examples for the role of apoptotic machinery can be easily found including differentiation of immune cells and certain types of neurons.

Caspase in an innate immune response

The adaptive immune response has been thought to play a key role in the fight against an immense number of pathogens by utilizing specific antibody and antigen interactions. The importance of the innate immune response, however, can be appreciated from the fact that the adaptive immune response only offers weak protection in the absence of an innate immune system [93]. Since the fruit fly doesn't have an adaptive immunity it can be a useful genetic system to study innate immunity. Indeed, several labs have performed elegant genetic screens and successfully identified quite a few molecules involved in the innate immune response in *Drosophila*. The Toll-dependent pathway has been suggested to be involved in gram-positive bacterial and fungal-induced immune response [94, 95], whereas Imd (immune deficiency) pathway is involved in immune response to gram-negative bacterial infection [96, 97]. At least two death molecules such as an adaptor molecule dFadd and an upstream caspase Dredd have been found as essential components of Imd pathway [98-100]. Imd encodes a protein with a death domain. Its mammalian homologue, RIP (receptor interacting protein), was known to play an important role in NF- κ B activation pathway and apoptosis [101]. Likewise, Imd relays signals through Tak1/Relish (a fly NF- κ B homologue) and dFadd/Dredd, ultimately leading to the transcriptional induction of antimicrobial peptides [99, 100, 102]. Imd over-expression alone is sufficient for inducing antimicrobial genes, and its activity can be blocked by co-expression of the caspase inhibitor P35 [101]. Moreover, Dredd mutants abolish an immune response against gram-negative bacterial infection.

Caspases usually exert their function by cleaving certain protein substrates after aspartic acids. Dredd was found to activate Relish through endo-proteolytic cleavage [103]. The cleaved N-terminal fragment of Relish, separated from its inhibitory C-terminal fragment, can translocate into a nucleus and subsequently induce transcriptional up-regulation of many downstream genes. If immune response induces caspase activation, the question is why immune-active cells don't undergo PCD. One possibility is that the normal function of Dredd has nothing to do with PCD. In other words, activated Dredd might be incapable of activating downstream caspases like Drice or Dcp-1. The other possibility is that Dredd activity is continuously regulated so that its activity is maintained only enough to induce immune response not PCD. One interesting observation to support this hypothesis came from a S2 cell study in O'Farrell's lab. They suggested that Dnr1 (defense repressor 1), which has ring finger activity, works as a Dredd inhibiting protein, of which the protein level is also positively regulated by Dredd itself [104]. Thus, once activated by Imd and dFadd, Dredd induces Relish activation but, at the same time, its excessive activation can be suppressed by concomitant up-regulation of Dnr1. However, removing Dnr1 didn't result in excessive cell death. Instead, a constitutive activation of immune pathway occurred, which might suggest, if the latter hypothesis is right, the presence of another Dredd inhibitor to block apoptosis. Whether or not Dnr1 directly inhibits Dredd, however, needs to be addressed. Biochemical study with purified Dredd and Dnr1 protein and genetic studies using Dnr1 mutant flies will give us some clue. Another interesting question is if the over-expression of DNR-1 abrogates Dredd mediated Relish processing. But the most interesting experiment would be to see if DNR-1 can also block other caspases' activities. This can be easily tested by making fly

lines over-expressing DNR-1 and crossing them to flies over-expressing various death activators.

Caspase in spermatogenesis

During fly spermatogenesis, a cleaved form of Drice (or Dcp-1) is detected immuno-histochemically in sperm cysts undergoing sperm individualization; a process where 64 sperms in one cytoplasm are separated from each other and a removal of cytoplasm between them takes place. (It should be noted that a cleaved (and presumably activated) form of Drice possesses high structural similarity to that of Dcp-1. So we cannot definitely define which caspase activation is responsible for testis staining.) Addition or expression of ectopic caspase inhibitors was reported to disrupt a coordinated movement of the actin cone in the individualization complex [77] and was later proven to cause sperm individualization defects [105]. Moreover, Hid and a cleaved form of Dronc are localized around the actin cones and hid/dfadd/dredd mutants harbor partial defects in the sperm individualization process [105] (Figure 4). Even though it is quite interesting to know that the function of the apoptotic machinery is important for the sperm-individualization process, many questions still remain unanswered. First, why are sperm cells, possessing high levels of caspase activity, not dying? Is this similar to the immune system? Are there sperm specific caspase inhibitors responsible for the sperm cell survival? Alternatively, are well-known cell death inhibitors like DIAP1 also being utilized during sperm development? Previously it was reported that the dBruce mutant is male sterile [76] and has hyper-condensed nuclei based on its DNA staining [77]. But as

stated earlier, a role for dBruce during sperm development is not clear. Second, what are the caspase substrates? It seems clear that reducing caspase activity results in sperm individualization defects. But deciding what they are actually doing awaits the finding of the caspase substrates. Many actin regulating proteins are known to be involved in the sperm individualization process [106]. Thirdly how is Drice (or Dcp-1) activated? Unlike PCD in other tissues, Drice (or Dcp-1) activation in sperm doesn't require any known upstream cell death activators [105]. Interestingly, the Dronc mutant manifests the same level of DEVDase (a caspase-3 like) activity as wild type, which clearly suggests the existence of Dronc independent downstream caspase activation mechanism [45]. Characterization of mutant Driceless, which lacks Drice (or Dcp-1) activation [105], will be interesting to unveil a sperm specific activation mechanism for downstream caspases.

Compensatory proliferation

When massive cell death occurs in an un-patterned and homogeneous tissue like fly wing discs, extra proliferation in neighboring non-dying cells compensates for the loss of cells in order to maintain homeostasis of tissue size. A recent study showed that the function of the caspase Dronc in dying cells, is required for inducing compensatory proliferation in neighboring cells [107]. How Dronc mediates this extra proliferation and what Dronc substrates are, however, remain to be addressed.

Border cell migration

During oogenesis, a cluster of specialized follicle cells and abutting nurse cells, or border cell, migrates to the border between nurse cells and the developing oocyte. DIAP1 was found to act as a suppressor for the border cell migration defects caused by over-expression of dominant negative Rac, a member of the Rho family GTPase [74]. DIAP1 seems to play a role in a border cell migration by regulating dArk dependent Dronc activation or possibly by interacting with Rac and an actin-binding protein, Profilin. At any rate, an involvement of Dronc in a border-cell migration provides a good example for its non-apoptotic roles during animal development (Figure 4). What is not clear is how Dronc exert its effects at this point. It could cleave some cytoskeleton regulating proteins to activate or inhibit their function, or Dronc could use unknown proteins as substrates to generate signals required for the border-cell migration. It would certainly be interesting to check these cytoskeleton regulation proteins in Dronc mutants.

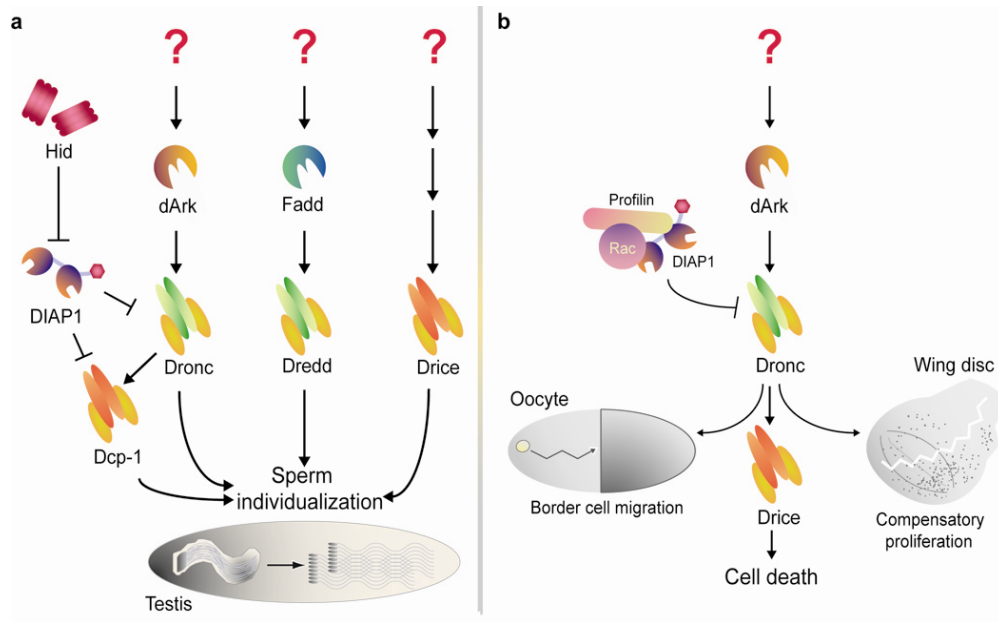


Figure 4. Non-apoptotic roles of apoptotic caspases in *Drosophila melanogaster*.

(a) During the late stages of spermatogenesis spermatids, which develop within a syncytium, must become separated from each other. This individualization involves the activity of many caspase cascades, including those that culminate in the activation of Dronc and Dcp-1, Dredd, and Drice. The mechanisms by which these cascades are activated, and their targets, are unknown. (b) During the migration of somatic follicle cells known as border cells, Profilin and Rac interact with DIAP1, which regulates Dronc activity. Dronc participates in, but is not absolutely required for border-cell migration. When cells in the fly wing disc die as a result of stress (induced by heat or X-ray irradiation), they are replaced by neighboring cells, which undergo compensatory proliferation. This helps to maintain a constant tissue size. Dronc activity is required for compensatory proliferation, although where and how Dronc acts are unknown.

Autophagy; Non-apoptotic cell death in *Drosophila*

Relatively little was known about the mechanism of non-apoptotic cell death in *Drosophila*. Autophagic cell death, characterized by its internalization of cytoplasmic compartments and the subsequent appearance of vacuoles inside the dying cells, was known to play an important role in salivary gland destruction during pupal development in *Drosophila* [108]. Unlike apoptotic cell death, where macrophage mediated corpse engulfment takes place, autophagic vacuoles are ultimately targeted to the lysosome inside dying cells for degradation. This autophagic cell death was known to occur in many other organisms including human. In flies, the steroid ecdysone signal regulates autophagic cell death in salivary glands [16], and a recent study showed that both P35-inhibitable effector caspases and upstream caspase Dronc are required for this process [109] because either expression of caspase inhibitor P35 or of dominant negative Dronc is sufficient to block salivary gland cell death. Rpr and Hid might also have important roles in this type of death since, with a reduction of Rpr and Hid, larval salivary glands persist much longer than those of a wild type [9]. However, Rpr mutants were reported to show no dramatic alteration [20]. DIAP1 prevents premature death, as RNAi-mediated loss of DIAP1 leads to the necrotic death in the larval tissue [9]. Moreover, it was previously known that pro-apoptotic molecules like Rpr, Hid, dArk, Dronc, and Drice are induced prior to the salivary gland autophagic death [108]. So it seems that many conventional apoptotic molecules play important roles in autophagic cell death. Why then does autophagic death, not apoptotic death, occur in salivary glands even in presence of these same apoptotic molecules? Caspase substrates might be different in salivary

glands, so the outcome is different. The alternative possibility is that an involvement of some other signals might favor the autophagic death. Two recent studies showed that TOR (target of rapamycin) and PI3 kinase signaling pathway negatively regulate a starvation-induced, or stress-induced, autophagy in *Drosophila* fat body [110, 111]. It remains to be tested if these signals also play any role in programmed, or developmental, autophagic death in salivary glands.

Conclusions

It is not clear, at this point, whether different systems came about to develop distinct mechanisms for regulating PCD over many years or whether we are just looking at different parts of the whole picture in spite of their overall similarity. At any rate, the *Drosophila* community has provided valuable insights into the PCD world due to its collaborative atmosphere as well as its system's genetic feasibility. The great potential of RNAi-based screens in *Drosophila* cell lines allow faster and more efficient genome wide cell death screening. Indeed, using this approach, Norbert Perrimon's group screened for genes affecting ATP metabolism in cells, which found genes potentially important for cell death and proliferation [112]. More specific screens are also doable. Identifying regulators involved in Dronc auto-protection can be easily done by performing an epistatic test to find genes, the removal of which inhibits DIAP1-loss mediated cell death. The vast availability of fly insertion lines, more powerful due to the recent advent of new piggyBac insertions by Exelixis and of more extensive and well-

characterized P element insertions by Genexel, will also give rise to many cell death mutants in the *Drosophila* field, which will ultimately lead us to the comprehensive understanding of the apoptotic and non-apoptotic roles of various apoptotic players. At the end of day, apoptotic machinery is likely to be found to be crucial in many different biological processes. And there are going to be a lot more players. Are we going to have more or less unified cell death models in the future, regardless of the different system models? No one knows the answer. But one thing is clear: the more we understand how it works, the better will be the tool that we will have to fight against many pathological conditions caused by abnormal apoptosis, from cancer to AIDS, since apoptosis matters from animal development and homeostasis to our everyday life.

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CHAPTER 2

Apoptosis inducers Hid, Rpr, and Grim negatively regulate levels of the caspase inhibitor DIAP1 by distinct mechanisms.

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Abstract

Inhibitor of apoptosis (IAP) proteins suppress apoptosis and inhibit caspases. Several IAPs have also been shown to be E3 ubiquitin-protein ligases, promoting auto-ubiquitination and ubiquitination of bound caspases. Regulators of IAP auto-ubiquitination, and thus IAP levels, are not known. Here we show that Head involution defective (Hid), Reaper (Rpr), and Grim downregulate DIAP1 protein levels. Hid stimulates DIAP1 polyubiquitination and degradation. In contrast to Hid, Rpr and Grim are able to downregulate DIAP1 through a mechanism that does not require DIAP1 function as a ubiquitin-protein ligase. Observations with Grim suggest that one mechanism by which these proteins bring about a relative decrease in DIAP1 levels is to promote a general suppression of protein translation. Together, these observations define two mechanisms by which DIAP1 ubiquitination controls cell death: 1) Increased ubiquitination promotes degradation directly, and 2) a decrease in global protein synthesis leads to a differential loss of short lived proteins such as DIAP1. Loss of DIAP1 is often sufficient to promote effector caspase activation. Therefore, these mechanisms are likely to provide an important proapoptotic function.

Apoptosis is an evolutionarily conserved process by which organisms eliminate cells that are damaged or unwanted (reviewed in^{1,2}). Central components of the machinery that carries out this process are caspases, a family of aspartate-specific, cysteine-dependent proteases^{3,4}. Most if not all cells express caspases sufficient to carry out apoptosis⁵. Because proteolysis is irreversible, and caspases can engage in amplifying cascades of proteolysis, caspase activation and activity must be tightly regulated. The only known cellular caspase inhibitors are members of the IAP family^{6, 7}. Many of the death- inhibiting IAPs, including the essential *Drosophila* IAP, DIAP1, have a C-terminal RING domain. In many proteins, including several IAPs, this domain is necessary and sometimes sufficient to mediate E3 ubiquitin-protein ligase activity, catalyzing the transfer of ubiquitin to E3-bound substrates⁸. This activity can promote autoubiquitination and subsequent IAP degradation, which presumably promotes apoptosis⁹. It can also promote the ubiquitination of bound caspases, presumably thereby inhibiting apoptosis^{10,11}. An important unanswered question is how IAP E3 activity is regulated. Evidence that such regulation occurs and is important comes from the observation in thymocytes that some death stimuli lead to a decrease in IAP protein levels through a pathway that requires they be functional as E3s⁹. These observations point towards the existence of molecules that promote cell death by stimulating IAP ubiquitination. The *Drosophila* apoptosis inducer and IAP-interacting protein Head involution defective (Hid) is an interesting candidate to consider because versions of the *Drosophila* IAP DIAP1 that lack an intact RING

domain are better able to protect against Hid-dependent death than are wild type versions^{12,13}.

Here we show that in fact expression of Hid leads to a dramatic decrease in DIAP1 levels *in vivo*, and that this is associated with a corresponding increase in caspase activation. This activity requires DIAP1 ubiquitin-protein ligase activity, and *in vitro* assays demonstrate that Hid directly promotes DIAP1 ubiquitination and degradation. Expression of two other *Drosophila* apoptosis inducers, Reaper (Rpr) and Grim also leads to the *in vivo* loss of DIAP1. Interestingly, this activity does not require DIAP1's function as a ubiquitin-protein ligase, indicating that these proteins are able to regulate DIAP1 levels through a different mechanism. *In vitro* observations with Grim described in this report, and with Rpr by Holley et al. (personal communication), show that these proteins can bring about a general inhibition of protein translation. Finally, we show that DIAP1 has a short half-life, that of the DIAP1-inhibitable apical caspase Dronc is much longer, and loss of DIAP1 is in many cells sufficient to activate effector caspases. Together, these observations define two mechanisms by which IAP protein levels can be decreased with respect to those of apoptosis inducers.

Results

To characterize the relationship between IAP function and caspase activation *in vivo* we generated an antibody that recognizes cleaved, and therefore active, versions of the effector caspase Drice¹⁴. This antibody specifically recognized dying cells.

Labeling was present in many cells throughout the wildtype stage 14 embryo (Fig. 1a),

but was essentially absent from embryos homozygous for a chromosomal deletion (H99) that lack normally occurring cell death¹⁵(Fig. 1b). Anti-active Drice labeling (Fig. 1d) also correlated well with that of DNA fragmentation (Fig. 1c), which requires caspase activation in *Drosophila*^{16,17}.

DIAP1 functions in the early embryo to inhibit caspase activity. DIAP1 inhibits the activity of multiple *Drosophila* caspases^{18,19,20,21}, and is required for the survival of many cells in the fly^{12,19,22,13}. Loss of DIAP1 in the embryo is associated with a large increase in DEVDase caspase activity, but which cells caspase activation occurs in is unknown¹⁹. We stained embryos with anti-active Drice that were homozygous for a loss-of-function allele of DIAP1, *th109*^{23,13}. Essentially all cells activated Drice (Fig 1f,h). Initially, embryos could be identified that stained for active Drice (Fig. 1f), but that lacked significant DNA fragmentation, as visualized with TUNEL staining (Fig 1e). Ultimately, however, cells became reactive with both probes (Fig. 1g,h). We also generated homozygous mutant DIAP1 embryos in which the baculovirus caspase inhibitor p35 is ubiquitously expressed during embryogenesis (Fig. 1j). In these embryos Drice activation still occurred throughout the embryo at the extended germband stage (stage 10) (Fig. 1l). However, through stage 11 DNA fragmentation was always largely absent (Fig. 1i,k). In addition, while embryos homozygous for amorphic DIAP1 alleles undergo morphogenetic arrest during germband extension (stage 8)¹⁹, DIAP1 mutant embryos that expressed p35 underwent normal morphogenesis until stage 11 (Fig. 1i,k). After this time maternal

p35 was lost, and massive cell death resumed (data not shown). Together these observations make several points. Loss of DIAP1 in the early embryo is sufficient to result in effector caspase activation in most if not all cells, and this activity is required for nuclease activation. Drice activation is itself largely insensitive to the presence of p35. Since Drice requires cleavage by other proteases for activation, it is likely that loss of DIAP1 initially leads to activation of a p35-insensitive protease. Dronc, a DIAP1-inhibitable but p35-insensitive caspase present during late oogenesis and throughout embryogenesis, and implicated in multiple death pathways, is a good candidate to be such an activator^{20,21,24,25}. Consistent with this model, removal of Dronc in S2 cells using RNAi prevented cell death induced by down regulation of DIAP1 (I. Muro and R. J. Clem, manuscript submitted). Finally, the fact that DIAP1 mutant embryos rescued from death by p35 expression continued morphologically normal-looking development for several more hours is consistent with the hypothesis that DIAP1's primary antiapoptotic function, at least during early embryonic development, is to inhibit caspase activity.

Hid, Rpr, and Grim downregulate DIAP1 in vivo through distinct, post-transcriptional mechanisms. Because loss of DIAP1 is sufficient to promote cell death-inducing caspase activity in many cells it is important to understand how its activity is regulated. Rpr, Hid, and Grim are essential apoptosis inducers in *Drosophila*²⁶. One mechanism by which they act involves binding to DIAP1, thereby directly suppressing its ability to inhibit caspase activity^{19,22,27}. A number of observations argue that these proteins also have other proapoptotic activities, but the relationship of these to the regulation of DIAP1 function is

unknown^{28,22,29,30,31,32}. Control of IAP stability has recently emerged as an interesting possibility based on the twin observations that IAPs can autoubiquitinate, thus promoting their degradation, and that some death stimuli lead to an increase in IAP ubiquitination⁹. Genetic evidence argues that Hid has a greater ability to promote apoptosis in the presence of wildtype DIAP1 than in the presence of RING mutated forms, consistent with the possibility that Hid promotes death by stimulating DIAP1 auto-ubiquitination and degradation^{12,13}. To examine this possibility directly we characterized wing discs expressing Hid and p35 in the posterior wing compartment under the control of the engrailed promoter (Fig. 2a and Fig. 2b, respectively, and Fig. 2 c merge). Coexpression of Hid and p35 led to activation of Drice in the posterior wing compartment, where Hid is expressed (Fig. 2g). Interestingly, these cells showed a dramatic downregulation of DIAP1 levels (Fig. 2h. and 2i). Importantly, this was not associated with an increase in Drice activity, as evidenced by the fact that wing discs expressing Hid and p35 showed low levels of DNA fragmentation (Fig. 2f), similar to those of wing discs expressing p35 alone (Fig. 2e).

We also examined wing discs expressing Rpr and p35 under engrailed control. Drice became activated in the posterior wing compartment (Fig. 2j), and this was associated with a dramatic loss of DIAP1 protein (Fig. 2k,l). Because larvae expressing Grim and p35 under engrailed control do not survive through late third instar larval stages, we analyzed Grim's effects on DIAP1 levels in embryos. Coexpression of Hid and p35 under engrailed control led, as in the wing disc, to a loss of DIAP1 in the cells that expressed Hid (Fig.3 a,b. compare to c). Similar results were obtained when Grim or Rpr was coexpressed with p35. DIAP1 levels were

dramatically lowered in a segmentally repeated pattern corresponding to the domain of engrailed expression (Fig. 3d, Fig. 3e, respectively). In both the wing disc and the embryo, expression of Rpr or Grim leads to a greater decrease in DIAP1 levels than does expression of Hid (Fig. 2 and Fig. 3). These differences may simply reflect differences in the strength of transgene expression. Alternatively, they may point towards differences in the mechanisms by which Hid, and Rpr and Grim promote loss of DIAP1, or mechanisms by which their ability to mediate this loss is regulated.

To determine if expression of Hid, Rpr, or Grim in combination with p35 was promoting a decrease in DIAP1 transcript levels we examined DIAP1 expression levels in embryos of various genotypes. DIAP1 was ubiquitously expressed in wildtype embryos, probably in all cells (data not shown). However, superimposed on this general pattern were domains in which the DIAP1 transcript was increased. These could be observed when in situ hybridizations were developed for a very short period of time (Fig. 4). At stage 10 (Fig. 4d) segmental modulation of DIAP1 is apparent. This repeated pattern overlaps with the parasegmental boundaries (arrows in Fig. 4f,h,j,l). It also overlaps with the domains of engrailed expression (Fig. 4e,g,i,k). Importantly, levels of DIAP1 transcript within the engrailed domain, in which Hid, Rpr, or Grim were expressed, were similar in wildtype (Fig. 4e,f), Hid-expressing (Fig. 4g,h), Grim-expressing (Fig. 4i,j), or Rpr-expressing (Fig. k,l) embryos. These observations do not rule out the possibility that Hid, Rpr, and Grim act as transcriptional regulators in some contexts. However, they do suggest that transcriptional downregulation of DIAP1 is not a dominant mechanism by which they promote the loss of DIAP1 protein.

Together, the above observations demonstrate that expression of Hid, Rpr, or Grim leads to a post-transcriptional loss of DIAP1. The *th6* mutant form of DIAP1 alters an essential cysteine residue in the DIAP1 RING domain¹³ and lacks ubiquitin-protein ligase activity (Fig. 6b). To provide an in vivo test of whether the ability of Hid, Rpr, and Grim to reduce DIAP1 levels requires DIAP1 ubiquitin-protein ligase activity we followed the fate of the *th6* protein in tissues that expressed p35 and one of these proteins. *th6* homozygotes die early during embryogenesis. As discussed below, wildtype DIAP1 has robust auto-ubiquitination activity and a short half-life (approximately 45 min in S2 cells). Thus, we reasoned that much of the DIAP1 protein present in *th6* heterozygotes was of the mutant form since it lacked wildtype DIAP1's ability to undergo autoubiquitination. Wing discs from *th6* heterozygotes that expressed p35 and Rpr or Hid under engrailed control showed strong Drice activation in the posterior wing compartment (Fig. 5a, 5d, respectively). In the case of Rpr-expressing discs this was associated with a strong decrease in DIAP1 protein levels, similar to that seen in wildtype wing discs (Fig. 5b). In contrast, there was no appreciable difference in DIAP1 levels between the anterior and posterior wing compartments in Hid-expressing wing discs from *th6* heterozygotes (Fig. 5e). We carried out similar experiments in *th6* embryos expressing Grim and p35 under engrailed control. As with Rpr in the wing disc, heterozygosity for *th6* did not appreciably diminish the ability of Grim to promote the disappearance of DIAP1 (data not shown). Based on these observations we conclude that Hid, but not Rpr and Grim, promotes the loss of DIAP1 through a mechanism that requires an intact RING domain.

Hid stimulates DIAP1 polyubiquitination. We introduced DIAP1 into a ubiquitination assay containing E1, E2 (Ubc5), and ubiquitin, and characterized the DIAP1 products by Western blotting with a DIAP1 monoclonal antibody. DIAP1 was shifted into a ladder of high molecular weight species in a time-dependent manner (Fig. 6a). DIAP1 was similarly upshifted in the presence of *Drosophila* embryo extract containing the proteasome inhibitor lactacystin (Fig. 6b). In contrast, *th6* DIAP1 remained unchanged when incubated in the same system (Fig. 6b). Together, these observations show that DIAP1 has E3 ubiquitin-protein ligase activity, resulting in autoubiquitination, and that this requires an intact DIAP1 RING domain. The failure of *th6* DIAP1 to be modified in the presence of embryo extract is consistent with, but does not prove, that in this more complex system the laddering seen with wildtype DIAP1 was the result of autoubiquitination rather than ubiquitination by some other ligase. Addition of recombinant Rpr and Grim to this reaction did not result in appreciable stimulation of DIAP1 polyubiquitination as compared with reactions containing GST as a control (Fig. 6c). In contrast, addition of Hid led to a marked stimulation of DIAP1 polyubiquitination, as indicated by the increase in high molecular weight DIAP1 bands at 10 and 30 minutes, compared with reactions containing GST (Fig. 6d). Importantly, however, though Hid bound *th6* DIAP1 as well as wildtype DIAP1 (Fig. 7c), it was unable to stimulate *th6* DIAP1 polyubiquitination (Fig. 6e). This suggests that Hid promotes DIAP1 autoubiquitination rather than ubiquitination by some other E3. However, because *th6* DIAP1 is a mutant protein, in which the RING structure has been disrupted, we cannot rule out the possibility that it is simply unable to interact with a second E3 that

mediates Hid-dependent stimulation of DIAP1 ubiquitination. A definitive demonstration that Hid promotes DIAP1 autoubiquitination will require the reconstitution of this reaction using purified proteins. The failure of *th6* DIAP1 to change in abundance in the presence of Hid also argues against the possibility that Hid stimulation of the loss of unmodified DIAP1, such as that seen in Fig. 6c,d, occurs by activation a DIAP1-cleaving protease. This point is also suggested, but with wildtype DIAP1, by the results of ubiquitination assays containing wildtype DIAP1, GST, and Hid in embryo extract, in which methylated ubiquitin was added to the reaction. Methylated ubiquitin is unable to form polyubiquitin chains with substrate bound ubiquitin³³. Thus substrate proteins can only be monoubiquitinated, making it more straightforward to follow protein fate with SDS-PAGE. DIAP1, in the presence of embryo extract, GST, and methylated ubiquitin was monoubiquitinated in a time-dependent manner. As expected, substitution of Hid for GST stimulated DIAP1 monoubiquitination. However, it did not lead to a large decrease in the levels of the unmodified form that might suggest proteolytic cleavage (Fig. 6f). It is difficult to quantify stimulation of polyubiquitination by following the fate of the high molecular weight products generated. Instead, we quantified Hid's ability to stimulate DIAP1 polyubiquitination by following the disappearance of unmodified DIAP1 over time (Fig. 6g). There was a time-dependent loss of unmodified DIAP1 in all assays, reflecting its movement into higher molecular weight ubiquitinated forms (Fig. 6c,d, and g). Hid, but not GST, Rpr, or Grim, stimulated this loss such that by 30 min there was a greater than fivefold decrease in unmodified DIAP1 as compared to assays containing equivalent concentrations of the other proteins (Fig. 6g).

Together the above observations (as well as observations presented in Fig. 7) argue that Hid promotes DIAP1 ubiquitination, perhaps by stimulating autoubiquitination, and that this subsequently leads to its degradation via the proteasome. Loss of DIAP1, as shown in Fig. 1 for the DIAP1 mutant embryos, is sufficient to promote effector caspase activation. Thus, Hid combines two anti-DIAP1 proapoptotic functions: it directly inhibits DIAP1's activity as a caspase inhibitor, and it promotes its degradation. Both of these activities should contribute to the observed increase in caspase activation in Hid-expressing cells (Fig. 2, 5).

Grim, but not Hid, can generally suppress translation. Rpr and Grim also promote the disappearance of DIAP1 in vivo. However, they did not appreciably stimulate DIAP1 polyubiquitination in a *Drosophila* extract. This lack of activity may reflect limitations of the assay used or the adoption of unphysiological conformations by Rpr and Grim. Also, factors necessary for the ability of Rpr and Grim to stimulate DIAP1 ubiquitination might not be functional in the extract as prepared. This possibility is reflected in the observation that Hid did not significantly promote DIAP1 polyubiquitination in a purified reaction consisting of E1, E2, DIAP1, and ubiquitin, suggesting that other factors may be required (S.J. Yoo and B.A. Hay, unpublished). Alternatively, Rpr and Grim might regulate a distinct step of ubiquitination stimulation that is not captured in our assay. For example, given DIAP1's robust ubiquitination in the embryo extract used, it is possible that proteins that stimulate ubiquitination by inhibiting inhibitors of ubiquitination would not be recognized as such. Notwithstanding these possibilities, several points can be made. Hid did function in the *Drosophila* embryo extract system. In addition, in vivo Rpr and Grim,

but not Hid, promoted the loss of *th6* DIAP1, which is not functional as a ubiquitin ligase. Finally, while heterozygosity for *th6* resulted in strong suppression of Hid-dependent cell death in the fly eye, consistent with a model in which Hid promotes apoptosis by stimulating DIAP1 degradation, heterozygosity for *th6* did not lead to suppression of Rpr- or Grim-dependent cell death¹³. Together, these observations argue that Rpr and Grim are able to regulate DIAP1 levels through post-transcriptional mechanisms distinct from those used by Hid. Inhibition of protein synthesis is often sufficient to induce apoptosis (cf ³⁴ and references therein). To determine if Rpr and Grim suppress DIAP1 translation we carried out experiments in a rabbit reticulocyte lysate translation system. We focused our analysis on Grim. ³⁵S labeled versions of wildtype DIAP1, *th6* DIAP1, or firefly luciferase were translated alone or in the presence of recombinant Hid or Grim (Fig. 7a). The appearance of wildtype DIAP1 was largely eliminated by cotranslational addition of Hid (Fig. 7a). In contrast, the appearance of *th6* DIAP1 or luciferase was decreased only very modestly. Thus, cotranslational addition of Hid specifically prevented the appearance of DIAP1, and this required that DIAP1 be functional as a ubiquitin-protein ligase. In contrast, cotranslational incubation of translation reactions with Grim led to a large, general decrease in the appearance of all three proteins. (Fig. 7a). Hid and Grim were also added to translation reactions following termination of translation. Post-translational addition of Hid resulted in a decrease in the levels of wildtype DIAP1, but not those of *th6* DIAP1 or luciferase, consistent with the idea that Hid was promoting DIAP1 ubiquitination and degradation (Fig. 7b). Hid was, however, still able to bind *th6* DIAP1 (Fig. 7c). In contrast, post-translational addition of Grim had

no effect on the abundance of wildtype DIAP1, *th6* DIAP1 or luciferase, suggesting that Grim was suppressing translation directly. We tested this hypothesis further in a *Drosophila* embryo extract translation system derived from 0- to 2- hour old embryos³⁵. Translation reactions were carried out in the presence of GST, Hid, or Grim, and ³⁵S labeled translation products derived from endogenous mRNAs visualized following SDS-PAGE. Similar to our observations with the reticulocyte lysate system, the presence of Grim, but not Hid, led to a significant decrease in total protein translation (Fig. 7d). The mechanism underlying this phenomenon is unlikely to involve caspase cleavage of translation factors³⁶ since Grim suppressed translation in reticulocyte lysates and *Drosophila* extracts in the presence of the potent caspase inhibitor zVAD. Finally, if Rpr and Grim promote apoptosis by inhibiting translation, then inhibition of protein synthesis in *Drosophila* might be expected to result in cell death. Consistent with this hypothesis, S2 cells exposed to cycloheximide died rapidly, adopting an apoptotic morphology (see Supplementary Fig.1).

These results, in conjunction with those of Holley et al. using Rpr⁴⁰, argue that Grim and Rpr can promote a general suppression of translation. Our experiments involved overexpression in vivo and the use of recombinant protein in extracts. Thus, we cannot rule out the possibility that Grim- and/or Rpr-dependent effects on translation reflect interactions with cellular components that would not normally occur. However, the hypothesis is imminently testable. Translational control targets can be identified. In addition, it will ultimately be important to demonstrate 1) that endogenous levels of Rpr or Grim in identified cells fated to die, in the presence of caspase inhibitors, are sufficient to promote translational suppression, and 2) that

elimination of these genes leads to a loss of translational suppression in these same cells.

Discussion

IAPs inhibit active caspases directly, and in addition can promote their ubiquitination and degradation. Thus, they constitute a last line of defense against death-inducing caspase activity. The importance of this role is made clear from our characterization of the DIAP1 loss-of-function phenotype. Loss of DIAP1 is sufficient to promote effector caspase activation in most if not all cells in the early embryo, and this activity is necessary for apoptotic nuclease activation. Thus regulation of the relative levels of IAPs and the caspases they inhibit is one mechanism by which cells can control whether to live or die. Here we described two mechanisms by which DIAP1 ubiquitination regulates this balance. Hid stimulates DIAP1 ubiquitination and degradation directly. Grim (this work) and Rpr⁴⁰ can promote a general suppression of protein translation. DIAP1 has a short half-life (about 40 min) due to its activity as a ubiquitin-protein ligase, but that of an important target, the apical caspase Dronc, is much longer (about 3 hours) (see supplemental materials). Thus, all other things being equal, inhibition of protein synthesis will lead to an imbalance in the relative levels of apoptosis inducers and inhibitors such as IAPs. In some cases, such as the early fly embryo, this alone will be sufficient to promote unrestrained caspase activation, while in others it may constitute a mechanism for sensitizing cells to other caspase-activating pathways. As mechanistic insight is gained into the workings of this pathway it will be interesting to see if translational inhibition can be harnessed as

a therapeutic tool. Finally, mutations that alter the RING domain stabilize IAPs (this work,⁴⁰ and⁹). If these proteins retain the ability to inhibit caspases they will act as better death inhibitors, thus inappropriately promoting cell survival. However, such mutated IAPs will also be unable to promote the ubiquitination and degradation of bound apoptosis inducers, such as caspases, and perhaps proteins such as Rpr, Hid, Grim, or their mammalian counterparts, thus promoting cell death. Which outcome dominates will likely depend on cell type and context. In either case, it will be interesting to see if C-terminal mutations in IAPs are associated with dysregulation of cell death in human disease.

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Methods

Fly Strains

The following fly stocks were used: Oregon R. UAS::p35 (on third). UAS::p35 UAS::hid (onX). UAS::grim/UAS::grim (on2), UAS::p35/UAS::p35 (on3). UAS::rpr/UAS::rpr (on2); UAS::p35/UAS::p35 (on3). en::Gal4/en::Gal4³⁷. *th109.07*/TM3 [ftz::lacZ]. *th5*/TM3[ftz::lacZ]. *th6*/TM3[ftz::lacZ]. mat67G4/mat67G4 ; *th5* mat15G4/Tm3[ftz::lacZ]. *th5* UAS::p35/TM3 [ftz::lacZ]. en::Gal4 and *th6* were generous gifts from S. Crews and K. White, respectively. mat67G4 and mat15G4 are VP16-fusions with Gal4 driven by the maternal alpha tubulin promoter (D. St. Johnston and Jean-Paul Vincent, personal communication).

Antibody generation

Anti-active Drice-specific antibodies were raised in rabbits using a synthetic octapeptide corresponding to residues surrounding the cleavage site of Drice (QRSQTETD) conjugated with Keyhole Limpet Hemocyanin (KLH) as the immunogen (Covance Research Products Inc). Active-DRICE specific antibodies were purified by sequential protein affinity purification methods. Antisera were firstly applied to a column bound with full length inactive Drice (DriceC211A) to eliminate antibodies reactive with uncleaved Drice. The flow-through was applied to a DRICE p21 subunit (residues 81-230) affinity column. Bound proteins were eluted using 100 mM glycine, pH 2.5. These antibodies detect the large fragment of active DRICE, but do not recognize full-length DRICE or the closely related caspase DCP-1 (Huh and Hay, unpublished). Anti-HID antibody were produced in rabbits using full length Hid with a C-terminal His-6 tag as the immunogen (Covance Research Products Inc.).

Anti-Dronc antibodies were produced in rabbits using a C-terminally His-6 tagged version of the Dronc p20 subunit as the immunogen. An anti-DIAP1 monoclonal antibody was generated in mouse using a GST-DIAP1 fusion protein as the immunogen.

Fixation, immunolabeling and in situ hybridization of embryos

Embryos were collected and staged as described ¹⁹. For immunolabeling embryos were fixed with modified Stefanini's Fixative ³⁸. For in situ hybridization embryos were fixed with 8% para-formaldehyde in phosphate-buffered saline. Immunolabeling and TUNEL assays were essentially performed as described ¹⁹. In situ hybridization with Digoxigenin labeled DIAP1 antisense mRNA was performed after ³⁹.

Antibodies were used at the following concentrations: rabbit anti-Drice (1:5000); rabbit anti-Hid (1:1000); mouse anti p35 1:10; mouse anti DIAP1 (1:200); rabbit anti β -Gal (Cappel; 1:1500); mouse anti β -Gal (Promega; 1:1500); mouse anti Engrailed (DSHB Iowa; 1:10). All secondary antibodies (Cy2, Cy3, Cy5, or horse-radish peroxidase conjugated) used were from Dianova (Jackson, USA). The TUNEL kit was from Roche. Embryos or wing discs were mounted in Vectashield mounting medium (Vector) and viewed with a Leica TCS-NT confocal microscope.

Preparation of Recombinant *Drosophila* proteins

DIAP1D20E was prepared as described previously (Wang et al., 2000) from GST-TEV-DIAP1D20E followed by TEV cleavage. pET23a-Hid-His6 and pET23a-Grim-His6 were purified under denaturing conditions. They were expressed in

BL21(DE3)pLysS. The pellet was dissolved in buffer S (6M Guanidine-HCl, 100mM NaH₂PO₄, 10 mM Tris-HCl pH 8.0, 10mM β ME, 500 mM NaCl) followed by sonication and centrifugation. The supernatant was mixed with Ni-agarose(Qiagen) at room temperature for an hour. The resin was washed with buffer WA (8M urea, 100mM NaH₂PO₄, 10 mM Tris-HCl pH 8.0, 10mM β mercaptoethanol, 500 mM NaCl) four times and subsequently washed with buffer WB (buffer WA but pH.6.3). The protein was eluted with buffer EL (buffer WA but pH4.5), and each protein was renatured by stepwise dialysis from 8M urea to 0M urea buffer. Proteins were then dialyzed into buffer UD (20 mM Tris, pH 7.5, 100 mM NaCl, 1 mM DTT, 10 % Glycerol. A full length Reaper peptide was provided by Dr. Sally Kornbluth and introduced into buffer UD prior to use.

Embryo extract preparation and ubiquitination assays

Drosophila embryo extract was made from 2- to 5- hour-old embryos, 25°C. Embryos were dechorionated with 50% bleach, rinsed, suspended in an equal volume of buffer EX (20 mM Tris pH 7.5, 100 mM NaCl, 5 mM ATP, 2.5 mM MgCl₂, 1 mM DTT, 0.25 M Sucrose), and homogenized. The supernatant was collected after centrifugation at 12,000 xg. The extract was adjusted to 10 g/ul with buffer EX. For ubiquitination assays with *Drosophila* embryo extract GST, Hid, Reaper, or Grim were preincubated with 1 ul of embryo extract at room temperature for 20 min. Subsequently DIAP1D20E and His-ubiquitin (Calbiochem, 2 ug total) were added. The reaction was carried out in a final volume of 15 ul of buffer UR (25 mM Tris, pH7.5, 0.5 mM DTT, 2 mM ATP, 5 mM MgCl₂). GST, Hid, Rpr, or Grim were

present at final concentrations of 1 μ M, and DIAP1 at 0.5 μ M. The reaction was carried out at 37 °C for various times. Reactions were stopped by adding SDS sample buffer. DIAP1 was visualized using a mouse anti-DIAP1 antibody following SDS-PAGE and Western blotting. NIH image software was used to quantify DIAP1D20E band intensity. Ubiquitination reactions with purified proteins utilized E1 and E2 (GST-UbcH5A) purchased from Affiniti Research products. DIAP1 (0.5 μ M, 400 ng) was incubated with 100 ng of E1 and 300 ng of E2, and 2 μ g of His-ubiquitin in buffer UR. Products were characterized as above.

In vitro translation reactions

Rabbit reticulocyte lysate translation reactions were carried out according to the manufacturers instructions (Promega). Recombinant Hid and Grim were used at a final concentration of 7 nM and 70 nM, respectively. For co-translational reactions proteins were pre-incubated with reticulocyte lysate at 30 °C for 10 min prior to addition of RNA template. For post-translational assays, RNA templates were translated at 30 °C for 1 hr. Reactions were terminated by a 5 min incubation with 0.8 μ g/ μ l RNase A (Qiagen), followed by addition of recombinant proteins. Translation products were resolved by 12 % SDS-PAGE. *Drosophila* extract translation reactions were carried out as described previously³⁵, in the presence of recombinant GST, Hid, or Grim (80ng). Proteins were preincubated with embryo extract (provided by Phillip Zamore, U. Mass. Medical School) at 25 °C for 10 min prior to addition of amino acid mix and ³⁵S methionine. All translation reaction reactions included 100 μ M of the general caspase inhibitor zVAD.

Hid binding assay

Reticulocyte lysate translated DIAP1, *th6* DIAP1, or luciferase were bound to 10 μ l of Affigel resin (Biorad) prebound with Hid or GST in binding buffer (HEPES, pH 7.5, 25mM NaCl, 250mM 20mM mercaptoethanol, 0.1% Triton X-100, containing the CompleteTM EDTA-free protease inhibitor (Boehringer Mannheim)). Samples were processed for SDS-PAGE following multiple washes with binding buffer.

DIAP1 and Dronc half-life measurements, and cell-killing assay

6×10^6 S2 cells were seeded in TC-100 media containing 10% fetal bovine serum (Invitrogen) overnight. The following day, cycloheximide was added at 100 μ g/ml. Carrier (ethanol) was added alone to a separate well as a control. Cells were then harvested at 20 min intervals up to 140 min, centrifuged at 14,000 rpm for 2 min, and lysed in SDS-PAGE loading buffer. Lysate was run on SDS-PAGE and immunoblotted. Blots were developed for DIAP1 using monoclonal anti-DIAP1, anti-mouse secondary antibody, and SuperSignal chemiluminescent substrate (Pierce). Blots for Dronc were developed similarly using rabbit anti-Dronc and anti-rabbit secondary antibody. After measuring band intensity by densitometry, the results were graphed and half-life was determined by best fit analysis. For measuring cell killing in response to cycloheximide, S2 cells were treated with 100 μ g/ml cycloheximide or 0.1% ethanol as a carrier control. At 3, 6, and 12 hours after treatment, viability was determined by comparing the number of intact cells to the number of intact cells at time zero. Dying cells exhibited typical apoptotic morphology.

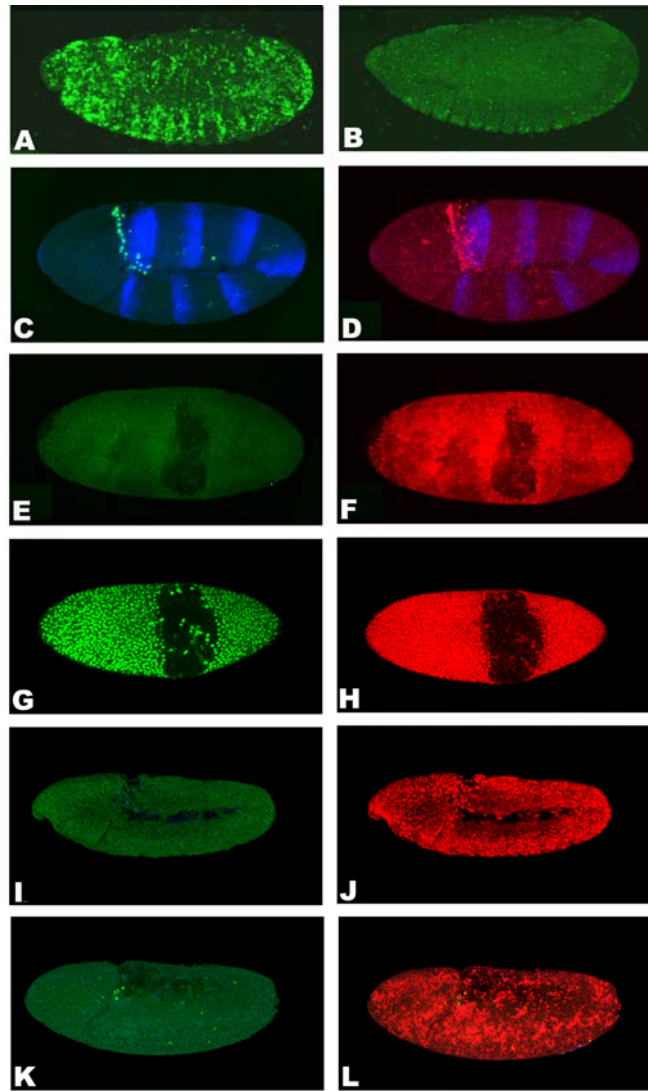


Figure 1. Loss of DIAP1 leads to Drice activation in most, if not all cells. Confocal images of embryos of various genotypes are shown. **a**, Pattern of anti-active Drice-positive cells in wildtype embryo is shown in green. **b**, Active Drice-positive cells are absent from homozygous H99 embryos. **c**, Pattern of TUNEL positive cells in stage 10 embryo is shown in green. These embryos also express lacZ under the control of the ftz promoter. Anti-beta-galactosidase staining is shown in blue. **d**, Embryo in **c** stained with anti-active Drice, in red. **e**, Homozygous *th109* embryo at stage 8 stained for TUNEL in green. **f**, Embryo in **e** stained for active Drice, in red. **g**, *th109* embryo at stage 10 stained for TUNEL. **h**, Embryo in **g** stained for active Drice. **i**, TUNEL staining of a stage 10 embryo with genotype *mat67G4/mat67G4; mat15G4, th5/th5, UAS::p35*. This embryo is mutant for DIAP1 but survives due to p35 expression. **j**, Embryo in **i** stained for p35. **k**, Embryo with same genotype as in **j** at stage 11 stained for TUNEL. **l**, Embryo in **j** stained with anti-active Drice. Anti-active Drice antibodies specifically recognize dying cells (**a,b**). Anti-active Drice labeling (**d**) shows

good correspondence with TUNEL staining (c). Drice (f) becomes activated before TUNEL labeling appears (e). Ubiquitous expression of p35 (j) rescues stage 10 (i) and 11 (k) embryos from cell death, as indicated by the essential lack of TUNEL labeling, in green. However, ubiquitous expression of p35 does not prevent the activation of Drice (l).

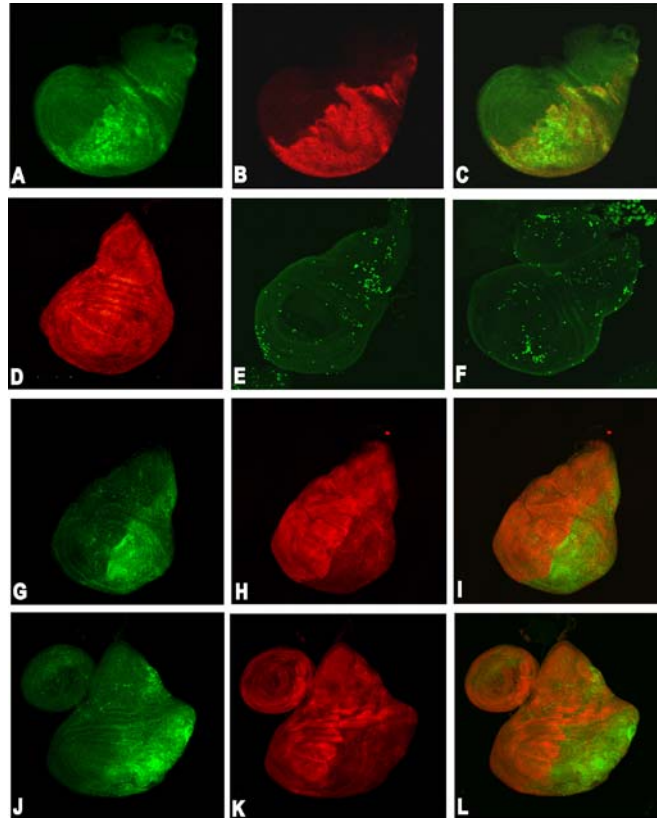


Figure 2. Expression of Hid or Rpr results in a loss of DIAP1 in *Drosophila* wing discs.

Confocal images of wing discs from third instar larvae of various genotypes. Posterior is to the right. **a**, Wing disc from third instar larvae of genotype UAS::Hid, UAS::p35; engrailed Gal4 (en::Gal4), stained with anti-Hid, in green. **b**, Wing disc in **a** stained with anti-p35, in red. **c**, Merge of **a** and **b**. **d**, Wing disc of genotype UAS::p35; en::Gal4 stained with anti-DIAP1, in red. **e**, UAS-p35; enGal4 wing disc stained for TUNEL, in green. **f**, UAS::Hid, UAS::p35; en::Gal4 wing disc stained for TUNEL, in green. **g**, UAS::Hid, UAS::p35; en::Gal4 wing disc stained with anti-Hid, in green. **h**, Wing disc in **g** stained with anti-DIAP1, in red. **i**, merge of **g** and **h**. **j**, Wing disc of genotype UAS::Rpr, UAS::p35; en::Gal4 stained with anti-active Drice, in green. **k**, Wing disc in **j** stained with anti-DIAP1, in red. **l**, Merge of **j** and **k**. The engrailed promoter drives expression of Hid and p35 in the posterior wing compartment (**a-c**). In the presence of engrailed-driven p35 DIAP1 is expressed uniformly throughout the wing disc (**d**). Levels of TUNEL labeling in wing discs expressing Hid and p35 (**f**) are

similar to those of discs expressing p35 alone (e). This, as well as the fact that Hid-expressing wing discs are morphologically normal, despite the fact that they have been expressing Hid for quite some time, argues that p35 effectively suppresses Hid-dependent effector caspase activity. Expression of Hid under engrailed control results in Drice activation in the posterior wing compartment (g). This is associated with a corresponding decrease in DIAP1 in these same cells (h,i). Expression of rpr under engrailed control also leads to Drice activation in the posterior wing compartment (j). This is also associated with a corresponding decrease in DIAP1 levels (k,l).

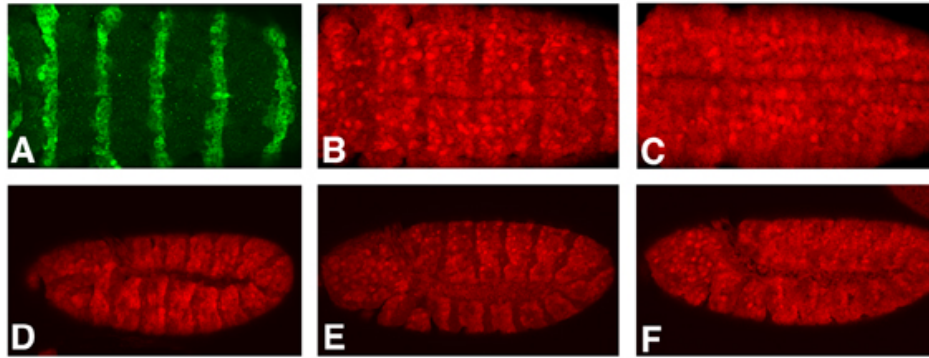


Figure 3. Expression of Hid, Rpr, or Grim results in a loss of DIAP1 in the embryo. Confocal images of the ventral (a-c) or lateral (d-f) surfaces of embryos at stage 10 are shown. Anti-DIAP1 labeling is shown in red and anti-Hid labeling in green. **a**, Embryo with the genotype UAS::Hid, UAS::p35; en::Gal4 stained with anti-Hid. **b**, Embryo in **a** stained with anti-DIAP1. **c**, wild type embryo stained with anti-DIAP1 antibody. **d**, Embryo of genotype UAS::Grim/en::Gal4; UAS::p35 stained with anti-DIAP1. **e**, Embryo of genotype UAS::Rpr/en::Gal4; UAS::p35 stained with anti-DIAP1. **f**, Embryo of genotype en::Gal4; UAS::p35 stained with anti-DIAP1. DIAP1 expression is decreased in the engrailed expression domain, in which Hid is expressed (a-c). Embryos expressing p35 under engrailed control express DIAP1 ubiquitously (f). Embryos expressing Grim and p35 (d), or Rpr and p35 (e), under engrailed control, show a large decrease in DIAP1 in the engrailed expression domain.

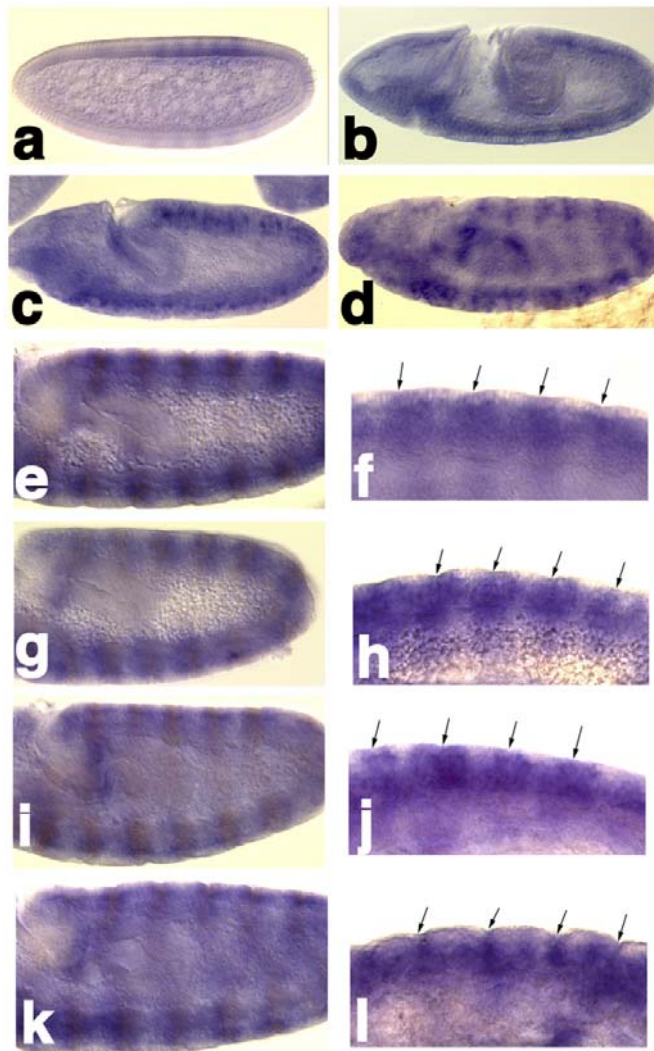


Figure 4. Expression of *Hid*, *Rpr*, or *Grim* does not influence DIAP1 transcript levels. Whole mount in situ hybridizations of embryos of various genotypes with a digoxigenin-labeled DIAP1 antisense mRNA, in blue (**a-l**). Anti-engrailed staining is shown in brown (**e**), as is anti-p35 staining (**g,i,k**). In wild type embryos, DIAP1 is dynamically expressed during early development. DIAP1 message is first detected in a uniform distribution in cleavage stage embryos (data not shown). **a**, During cellularization (stage 5), DIAP1 levels are increased in the dorsal blastoderm and in seven dorsoventral stripes. **b**, During gastrulation (stage 8), DIAP1 is expressed at low-uniform levels with moderately higher expression in the anterior midgut primordium, the posterior midgut, and the neuroblasts. **c**, In the extended germband embryo (stage 9), high levels of DIAP1 transcripts persist in the neuroblasts. Higher levels of transcript are also present in the proctodeum and anterior midut. **d**, At stage 10, DIAP1 shows prominent segmental expression modulation. Stronger expression is now also seen in the proctodeum at the anlagen of the Malpighian tubules. The segmentally modulated

expression of DIAP1 observed in wild type stage 10 embryos overlaps with the *engrailed* expression domain (immunolabeling with En antibodies seen in brown) (e), and with the position of the parasegmental grooves in stage 11 embryos (arrows in f). This pattern of DIAP1 expression remains unimpaired in embryos expressing Hid (g,h), Grim (i,j), or Rpr (k,l) together with p35 in the *engrailed* domain (immunolabeling with anti-p35 seen in brown). Embryos are shown as midsagittal optical sections at stage 10 (e,g,i,k) or as sagittal sections at stage 11 (f,h,j,l). The genotypes of the embryos are: **a-f**: wildtype. **g,h**: UAS::hid,UAS::p35; en::Gal4. **i,j**: UAS::grim /en::Gal4; UAS::p35. **k,l**: UAS::rpr/en::Gal4 ; UAS::p35.

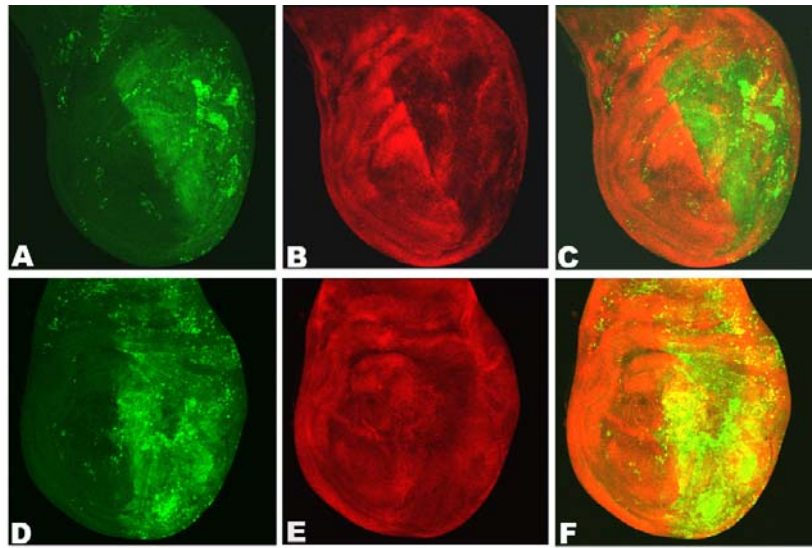


Figure 5. Hid-dependent downregulation of DIAP1 requires that DIAP1 be competent as a ubiquitin-protein ligase, but Rpr-dependent downregulation of DIAP1 does not. Confocal images of wing discs of various genotypes stained with anti-active Drice and anti-DIAP1. Posterior is to the right. **a**, Wing disc of genotype UAS::Rpr/en::Gal4; UAS::p35/*th6* stained with anti-active Drice, in green. **b**, Wing disc from **a** stained with anti-DIAP1, in red. **c**, Merge of **a** and **b**. **d**, Wing disc of genotype UAS::Hid, UAS::p35; en::Gal4; *th6*/+ stained with anti-active Drice, in green. **e**, Wing disc from **d** stained with anti-DIAP1, in red. **f**, Merge of **d** and **e**. Engrailed-driven expression of Rpr and p35 in *th6* heterozygote wing discs leads to Drice activation and a corresponding decrease in DIAP1 levels in the posterior wing compartment (a-c). Engrailed-driven expression of Hid and p35 in *th6* heterozygote wing discs also leads to Drice activation in the posterior wing compartment. However, DIAP1 levels remain relatively constant throughout the wing disc (d-f).

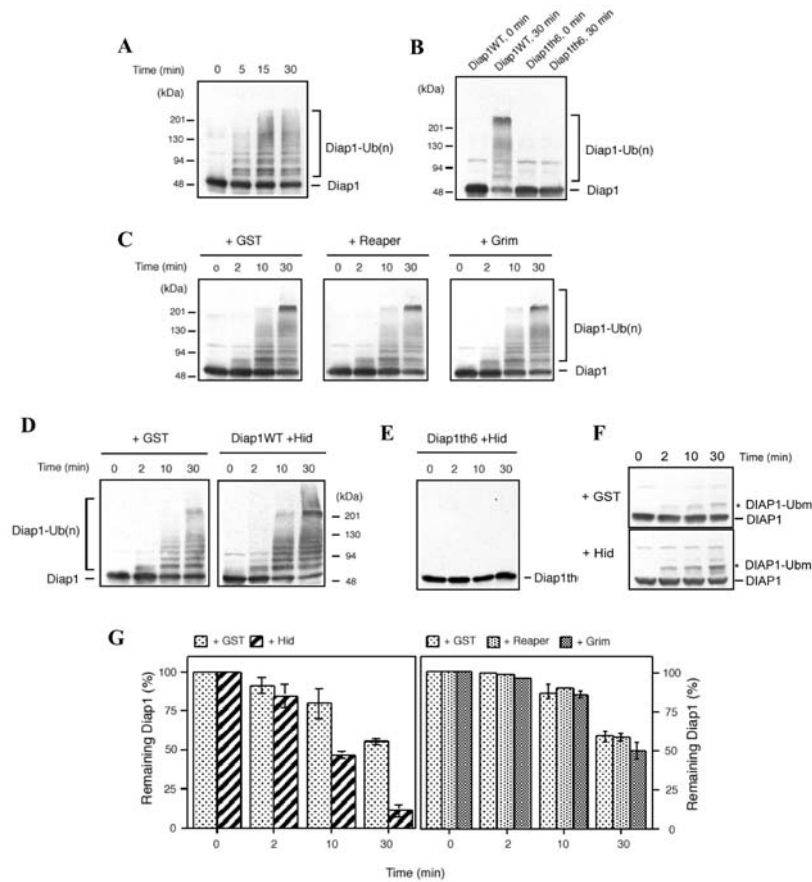


Figure 6. Hid stimulates DIAP1 polyubiquitination. Western blots are shown of wildtype DIAP1 and *th6* DIAP1 in combination with other factors, probed with an anti-DIAP1 antibody. **a**, DIAP1 underwent time-dependent poly-ubiquitination in a reaction consisting of purified E1, E2, DIAP1, and ubiquitin. Poly-ubiquitinated species of DIAP1 were upshifted, as indicated (Diap1-Ub(n)) **b**, Wildtype DIAP1 was also poly-ubiquitinated in *Drosophila* embryo extracts supplemented with the proteasome inhibitor lactacystin (compare time 0 with 30 min), but *th6* DIAP1 was not. **c**, DIAP1 underwent time-dependent poly-ubiquitination in the presence of embryo extract, lactacystin, and GST as a control protein. Substitution of Rpr or Grim for GST in this assay did not appreciably stimulate DIAP1 poly-ubiquitination further. **d**, In contrast, substitution of Hid for GST resulted in a strong stimulation of DIAP1 poly-ubiquitination, as indicated by the increased abundance of high molecular weight DIAP1 immunoreactive species at 10 and 30 min. **e**, Polyubiquitination of *th6* DIAP1 in embryo extracts was not stimulated by Hid, nor was degradation of the unmodified DIAP1. **f**, DIAP1 underwent time-dependent mono-ubiquitination (DIAP1-Ubm) when embryo extracts containing GST were supplemented with methyl-ubiquitin. Substitution of Hid for GST in a similar reaction resulted in a stimulation of DIAP1 monoubiquitination. Hid did not stimulate a dramatic loss of unmodified

DIAP1, arguing against the possibility that stimulates the degradation of wildtype, unmodified DIAP1. **g**, Quantification of the time-dependent loss of unmodified DIAP1 in experiments similar to those shown in **c** and **d** for wildtype DIAP1. The zero time point was normalized to 100%. Hid promotes roughly a fivefold decrease in the levels of unmodified DIAP1 by 30 minutes of incubation (n = five independent experiments). Rpr and Grim did not appreciably stimulate loss of unmodified DIAP1 in comparison to assays that contained GST (n = 3 independent experiments).

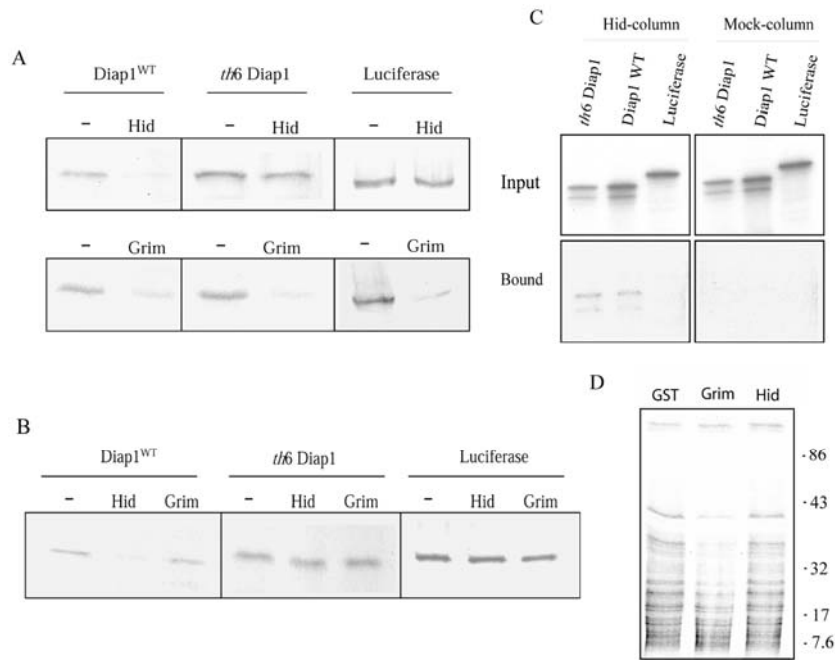
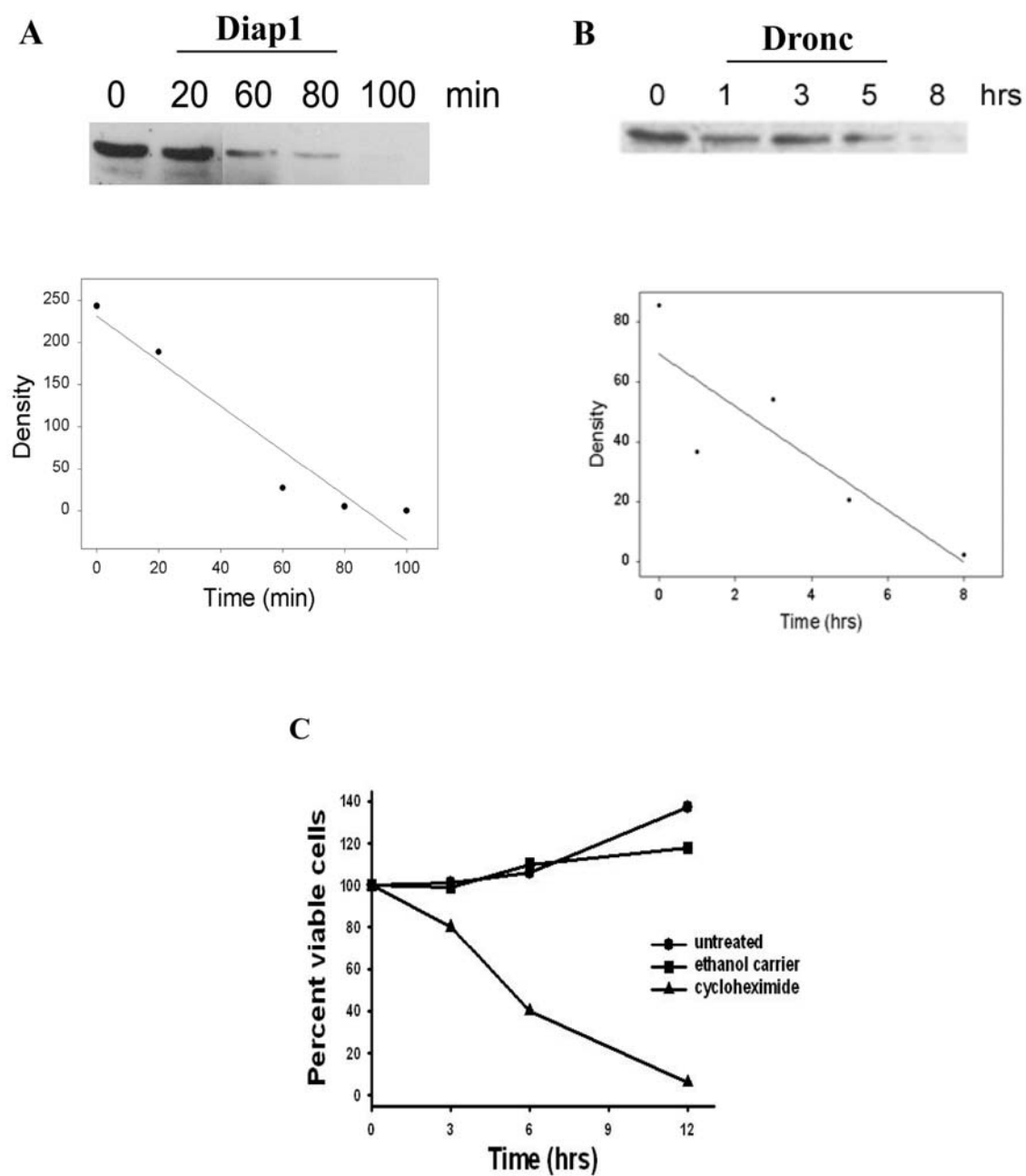


Figure 7. Hid induces a posttranslational loss of DIAP1, while Grim causes a general suppression of translation. Wildtype DIAP1, *th6* DIAP1, or luciferase were translated in a reticulocyte lysate system in the presence of ³⁵S methionine, either alone or in combination with Hid or Grim. Hid or Grim were also added to some reactions following translation termination. The products were visualized following SDS-PAGE **a**, Hid suppressed the appearance of wildtype DIAP1 (DIAP1^{WT}), but not *th6* DIAP1 or luciferase, when added co-translationally. In contrast, co-translational addition of Grim led to a decrease in the appearance of all three proteins. **b**, Translation reactions for wildtype DIAP1, *th6* DIAP1, or luciferase were either left untreated or incubated with Hid or Grim. Post-translational addition of Hid led to a decrease in the levels of wildtype DIAP1, but not *th6* DIAP1 or luciferase. Post-translational addition of Grim had no effect on the stability of any of the three proteins. **c**, In vitro translated versions of wildtype DIAP1, *th6* DIAP1, or luciferase were assayed for their ability to bind Hid-bound beads. Wildtype DIAP1 and *th6* DIAP1 bound Hid beads equally well, but did not bind GST-beads. **d**, *Drosophila* translation extract supplemented with ³⁵S methionine and either GST, Hid, or Grim. Endogenous mRNAs drive the synthesis of multiple proteins. Grim, but not Hid, suppresses protein synthesis in this system.



Supplement. Fig. 1. DIAP1 and Dronc half-life in S2 cells. The disappearance of DIAP1 and Dronc were monitored over time in S2 cells following Western blotting with DIAP1- and Dronc-specific antibodies. **a**, DIAP1 has a half life of about 40 min. **b**, Dronc has a half life of about 3.1 hours. **c**, Cycloheximide kills S2 cells.

CHAPTER 3

Multiple apoptotic caspase cascades are required in non-apoptotic roles for
Drosophila spermatid individualization.

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and Bruce A. Hay

Abstract

Spermatazoa are generated and mature within a germline syncytium. Differentiation of haploid syncytial spermatids into single motile sperm requires the encapsulation of each spermatid by an independent plasma membrane and the elimination of most sperm cytoplasm, a process known as individualization. Apoptosis is mediated by caspase family proteases. Many apoptotic cell deaths in *Drosophila* utilize Reaper/Hid/Grim family pro-apoptotic proteins. These proteins promote cell death, at least in part, by disrupting interactions between the caspase inhibitor Diap1 and the apical caspase Dronc, which is continually activated in many viable cells through interactions with Ark, the *Drosophila* homolog of the mammalian death-activating adaptor Apaf-1. This leads to unrestrained activity of Dronc and other DIAP1-inhibitable caspases activated by Dronc. Here we demonstrate that Ark- and Hid-dependent activation of Dronc occurs at sites of spermatid individualization, and that all three proteins are required for this process. dFADD, the *Drosophila* homolog of mammalian FADD, an adaptor that mediates recruitment of apical caspases to ligand-bound death receptors, and its target caspase Dredd are also required. A third apoptotic caspase, Drice, is activated throughout the length of individualizing spermatids in a process that requires the product of the *driceless* locus, which also participates in individualization. Our results demonstrate that multiple caspases and caspase regulators, likely acting at distinct points in time and space, are required for spermatid individualization, a non-apoptotic process.

Introduction

Most if not all cells have the potential to carry out the apoptotic cell-death program (Jacobson et al. 1997). Key players in this process are caspase family proteases.

Apical caspases are activated through interactions with adapter molecules in response to death signals arising from cellular compartments such as the mitochondria and plasma membrane death receptors. These caspases transduce death signals by cleaving and activating effector caspases. Effector caspases then cleave and alter the function of a number of cellular proteins, leading to the morphological and biochemical events associated with apoptosis (Kumar and Doumanis 2000).

Proteolysis is an irreversible protein modification. Therefore, caspase activation is normally kept under tight control in viable cells. However, in *Drosophila* the apoptotic effector caspase Drice is cleaved and activated throughout the length of elongated spermatids, and testis-specific expression of the baculovirus caspase inhibitor p35 results in male sterility, despite the fact that apoptosis is not an obligate step in spermatogenesis (Arama et al. 2003). These observations demonstrate that caspase activity is important for male fertility but leave a number of questions unanswered: What events in spermatid differentiation are caspases required for? Which caspases mediate this requirement? How are they activated, and where do they act? And how do these cells avoid apoptosis?

Spermatid development in *Drosophila* takes place within a syncytium (cyst), in which 64 haploid spermatid nuclei descended from a diploid primary spermatagonial cell are connected by abundant cytoplasmic bridges (reviewed in Fuller, 1993; Lindsley and

Tokuyasu 1980). In mammals similar bridges facilitate the sharing of haploid gene products between spermatids, thereby allowing spermatid development to be directed by the products of both sets of parental chromosomes (Erickson, 1973; Braun, et al. 1989). It is presumed that intercellular bridges play a similar role in *Drosophila*. Ultimately, these bridges must be eliminated in a process known as individualization in order to form freely swimming sperm. At the end of male meiosis each cyst contains 64 haploid spermatids, each approximately 2 mm long, encapsulated by two somatic cyst cells. The 64 nuclei are located at the basal end of the testis, near the seminal vesicle, and the flagellar tails extend apically, throughout the length of the testis. Individualization in *Drosophila* initiates when an actin-based structure, known as an investment cone assembles around each spermatid nucleus (Tokuyasu et al. 1972). These assemble into a macroscopic structure known as the individualization complex (Fabrizio et al. 1998), which moves along the length of the cyst towards the sperm tails. The individualization complex is the site at which the cyst membrane is remodeled to enclose each sperm. Cytoplasm and organelles are extruded from between the sperm tails and pushed ahead of the individualization complex, forming a visible bulge, known as the cystic bulge. When the cystic bulge reaches the sperm tails it is detached and becomes known as the waste bag (Tokuyasu et al. 1972). A similar process, involving encapsulation of syncytial spermatids within individual plasma membranes and elimination of excess cytoplasm, also occurs during mammalian spermatogenesis (de Krester and Kerr 1994). The importance of cytoplasm elimination for human fertility is suggested by the fact that many conditions or treatments resulting in infertility disrupt this process (Russell 1991;

Keating et al. 1997; Akbarsha et al. 2000). Cytoplasm elimination during spermatogenesis may also represent a strategy by which male gametes eliminate cytoplasmic parasites, thereby preventing their transmission to the zygote (Randerson and Hurst 2001).

Results

Caspase activity is required for spermatid individualization.

To determine if caspase activity is required for spermatid individualization we examined cysts from flies in which caspase activity in the male germline was inhibited. We generated flies that expressed the broad specificity *Drosophila* caspase inhibitor DIAP1 or the baculovirus caspase inhibitor p35 under the control of the male germline-specific β 2-tubulin promoter (β 2tub-DIAP1 and β 2tub-p35 flies, respectively) (Hay 2000; Santel et al. 2000). Cysts undergoing individualization contain activated versions of the effector caspase Drice, as visualized with an anti-active Drice-specific antibody (Arama et al. 2003). Testis from wildtype animals always contained active Drice-positive cysts with prominent cystic bulges and waste bags (Figure 1A). In contrast, while elongated cysts from β 2tub-DIAP1 and β 2tub-p35 flies remained active Drice positive, cystic bulges and waste bags were largely absent, and were reduced in size when present (Figure 1B and 1C). In addition, the normally coordinated tailward movement of investment cones in active Drice-positive wildtype cysts (Figure 1D) was dramatically disrupted in β 2tub-DIAP1 males (Figure 1E). Cysts from β 2tub-p35 males showed more mild defects in investment cone movement (Figure 1F). These phenotypes, in conjunction with related observations

by Arama et al. (Arama et al. 2003) suggest, but do not prove, that caspase inhibition results in defects in individualization. To further test this hypothesis we examined spermatids for individualization defects directly, using transmission electron microscopy (EM). In cysts from wildtype animals in which individualization had occurred, spermatid tails consisted largely of a flagellar axoneme and major and minor mitochondrial derivatives, all of which was tightly surrounded by a unit plasma membrane (Figure 1G and 1J). In contrast, many cysts from $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ flies' spermatids failed to separate from each other and contained excess cytoplasm, often including an enlarged minor mitochondrial derivative (Figure 1H and 1K) and (Figure 1I and 1L), respectively. Phenotypes similar to those seen in cysts from $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ flies were also observed in cysts from flies in which levels of the caspase *Drosophila* caspase-1 (Dcp-1) were decreased specifically in the male germline using RNA interference ($\beta 2\text{-tubulin-Dcp-1-RNAi}$ flies. (Supplement Figure 1). Short prodomain caspases such as Dcp-1 and Drice are activated in response to cleavage by upstream, signal-transducing caspases (Hawkins et al., 2000; Hay, 2000; Meier et al., 2000; Shi, 2002). Together, these observations demonstrate that caspase activity is required for individualization and suggest that Dcp-1 (but perhaps not Drice; see discussion below) is an important downstream target caspase.

Ark and Dronc participate in spermatid individualization.

What are the pathways that lead to caspase activation during individualization? Cell death in many contexts in the fly requires the activity of the *Drosophila* Apaf-1

homolog, Ark, which promotes activation of the apical caspase Dronc (Dorstyn et al. 2002; Igaki et al. 2002; Muro et al. 2002; Zimmermann et al. 2002). Dronc in turn can cleave and activate the downstream caspases Dcp-1 and Drice (Hawkins et al. 2000; Meier et al. 2000; Muro et al. 2002). Genetic and biochemical evidence implicates all three of these caspases as apoptosis inducers (Kumar and Doumanis 2000). Animals homozygous for a hypomorphic Ark allele (Ark^{CD4}) showed a high level of male sterility (Rodriguez et al. 1999), despite the fact that cell death is not an obligate step in spermatogenesis (Fuller, 1993). This suggested to us that Ark-dependent Dronc activity might be important. To test this hypothesis we decreased Ark levels specifically in the male germline by expressing double-stranded RNA homologous to Ark under the control of the β 2-tubulin promoter (β 2tub-Ark-RNAi flies)(Figure 2I). To decrease levels of active Dronc we generated flies that expressed a dominant negative version of Dronc (Dn-Dronc) under the control of the β 2-tubulin promoter (β 2tub-Dn-Dronc flies). Similar versions of Dronc are potent suppressors of Dronc-dependent cell death in other contexts (Hawkins et al., 2000; Meier et al., 2000). Drice was still activated in elongated cysts from β 2tub-Ark-RNAi and β 2tub-Dn-Dronc males (Figure 2A and 2C). However, as with active Drice-positive cysts from β 2tub-Diap1 and β 2tub-p35 flies, cystic bulges and waste bags were largely absent, and coordinated investment cone movement was disrupted (Figure 2B and 2D). Examination of β 2tub-Ark-RNAi and β 2tub-Dn-Dronc spermatids using EM showed that inhibition of Ark (Figure 2E, 2G, 2H) and Dronc (Figure 2F) function resulted in individualization failure in many cysts. In addition, many single spermatid units that

were surrounded by a unit plasma membrane still contained large fingers of excess cytoplasm (Figure 2E and 2G) (See discussion below).

Ark-dependent activation of Dronc occurs at sites of individualization and requires the apoptosis inducer Hid.

To determine where active Dronc is localized, and thus where Dronc is likely to be functioning during individualization, we generated an antibody that recognized versions of Dronc that had undergone autoactivation-associated cleavage at glutamate 352 (TQTE) (Supplement Figure 2). In contrast to active Drice, which appeared uniformly throughout the cyst, just as the individualization complex began its apical movement away from the spermatid nuclei (Figure 3A and 3B), active Dronc showed a dynamic pattern of localization. It was initially observed in a punctate pattern just apical to the juxta-nuclear individualization complex (Figure 3C, arrowhead). The individualization complex moved through this region (Figure 3C, arrow), and active Dronc then trailed the individualization complex for the remainder of its apical movement through the cyst (Figure 3D). As expected, Dronc activation required Ark and was eliminated in testes in which Ark levels were decreased (Figure 3E), or in which access of wildtype Dronc to Ark was inhibited by expression of inactive Dronc, Dn-Dronc (Figure 3F).

How is Dronc activation during individualization regulated? Dronc undergoes continuous Ark-dependent activation in many viable cells (Rodriguez et al. 2002; Dorstyn et al. 2002; Igaki et al. 2002; Muro et al. 2002; Zimmermann et al. 2002). DIAP1 promotes the survival of these cells by ubiquitylating Dronc (Wilson et al.

2002; Chai et al. 2003) and inhibiting the activity of caspases activated by Dronc (Hawkins et al. 1999; Wang et al. 1999). Reaper/Hid/Grim family proteins promote Dronc activity and apoptosis by disrupting DIAP1/caspase interactions, thereby preventing DIAP1-dependent ubiquitylation of Dronc and inhibition of caspases activated by Dronc (Wang et al. 1999; Lisi et al. 2000; Goyal et al. 2000; Wilson et al. 2002; Chai et al. 2003). To determine if Reaper/Hid/Grim family proteins played a similar role during individualization we examined available mutants for these genes. Cysts from flies lacking *reaper* (*XR38/H99*) (Peterson et al. 2002) showed normal investment cone movement. In contrast, the coordinated movement of investment cones was disrupted in *hid*⁰⁵⁰¹⁴/*H99* cysts (Figure 4D, compare with 4C), indicating a requirement for Hid in spermatogenesis. In addition, Hid protein was enriched in the cystic bulge region of wildtype cysts (Figure 4A), but not those from animals that lacked Hid (*hid*⁰⁵⁰¹⁴/*H99*) (Figure 4B). These observations suggested that Hid participates in Dronc activation and/or stabilization, and thereby spermatid individualization. Several observations support this hypothesis. First, cysts from two different *hid* allelic combinations, *hid*^{A329}/*hid*^{A329} (data not shown) and *hid*⁰⁵⁰¹⁴/*H99*, showed defects in individualization similar to those observed in β 2tub-Diap1 or β 2tub-p35 males, demonstrating a requirement for Hid in this process (Figure 4E and 4F). Second, localized, active Dronc was eliminated in *hid*⁰⁵⁰¹⁴/*H99* (and *hid*^{A329}/*hid*^{A329}, data not shown) flies, consistent with the idea that Hid promotes individualization, at least in part, by promoting Dronc activity (Figure 4D, compare with C). Hid, by virtue of its ability to disrupt IAP-caspase interactions, may also

regulate the activation of other caspase cascades during spermatid individualization (see below).

Spermatid individualization utilizes multiple pathways of caspase activation.

Together the above observations demonstrate that components of a canonical apoptosis-inducing pathway involving Ark, Dronc, and Hid are required for spermatid individualization. However, it is important to note that the individualization defects observed in testis from $\beta 2\text{tub-Ark-RNAi}$ and $\beta 2\text{tub-Dn-Dronc}$ males (Figure 2) were less severe than those seen in $\beta 2\text{tub-Diap1}$, $\beta 2\text{tub-p35}$, or $\text{hid}^{05014}/H99$ males (Figure 1; Figure 4). These differences may reflect incomplete inactivation of Ark and Dronc. Alternatively, they may reflect roles for Ark- and Dronc-independent caspase activities. Dredd is an interesting candidate to mediate such an activity since it is an apical caspase that can promote cell death in some contexts (Chen et al. 1998; Hu and Yang 2000). Its activation is stimulated through interactions with dFadd, the *Drosophila* homolog of mammalian FADD, an adaptor that mediates recruitment of apical caspases to ligand-bound death receptors, thereby promoting caspase activation (Hu and Yang, 2000). Elongated cysts from $dFadd^{f02804}/dFadd^{f02804}$ and $Dredd^{B118}/Dredd^{B118}$ males (both are genetic null mutations) contained active Drice but often showed uncoordinated investment cone movement (data not shown). At the EM level, elongated cysts from testis of single $dFadd^{f02804}/dFadd^{f02804}$ and $Dredd^{B118}/Dredd^{B118}$ males showed a range of phenotypes. About 50% of cysts from $Dredd^{B118}/Dredd^{B118}$ males and almost all cysts from $dFadd^{f02804}/dFadd^{f02804}$ males (>90%) displayed defects in individualization similar to those of $\beta 2\text{tub-Diap1}$ and

β 2tub-p35 flies (Figure 4G, and Figure 4H, respectively). In other cysts individualization occurred apparently normally (data not shown). Together these observations argue that Fadd and Dredd participate in individualization. The fact that loss of *dFadd* resulted in phenotypes more severe than those due to loss of *Dredd* suggests that dFadd has functions in individualization independent of promoting Dredd activation.

Finally, we noted that Drice activation was insensitive to inhibition (but perhaps not complete elimination) of Hid, Ark, and Dronc; to complete loss of Hid, Dredd, or Fadd; and to expression of the potent general caspase inhibitors DIAP1, p35 or p49 (Figures 1-4, and data not shown). This, together with the observation that Dronc and Drice were activated in distinct spatial and temporal patterns (Figure 3A-D), suggests that Drice activation occurs through an unknown Ark- and Dronc-, dFadd- and Dredd-independent mechanism. It has been proposed that Drice activation in spermatids is essential for fertility, and that Drice activation is mediated by an isoform of cytochrome-c, cytochrome-c-d (cyt-c-d), based on the observation that males homozygous for a P element insertion (*bln^l*) in the cyt-c-d gene were sterile and lacked active Drice staining in testis (Arama et al., 2003). However, as illustrated in Figure 5, the region surrounding the *bln^l* insertion contains multiple transcription units. In addition, cysts from *bln^l* males showed multiple defects in spermatogenesis prior to individualization, including failure to carry out polyglycylation of axonemal microtubules (Figure 5C, 5E) and aberrant development of the major and mitochondrial derivatives (Figure 5F-H). These observations leave it unclear if cyt-c-

d is in any direct sense required for Drice activation, or if Drice is required for fertility. We serendipitously identified a line of flies carrying an X chromosome mutation (*driceless*) in which Drice activation during spermatid individualization was completely eliminated (Figure 6A) (see Methods for details). Testes from these flies contained large cystic bulges in which individualization complexes were present as a coordinated front, as in wildtype (Figure 6B). In contrast to *bln¹* males, *driceless* males were fertile and investment cones moved apically. As expected from this phenotype, some cysts from *driceless* males underwent individualization normally (~50%) (Figure 6C). However, in others individualization failed completely (figure 6D and 6E).

The above observations indicate that Driceless promotes individualization, but leave the role of Drice (which we have thus far been unable to effectively inactivate with RNAi) unclear. Interestingly, cysts from *driceless* males also showed reduced levels of localized active Dronc staining (data not shown), raising the possibility that Driceless has at least some of its effects on individualization through regulation of Dronc activity. We do not favor a simple linear model in which Driceless mediates its effects on individualization only by promoting Dronc-dependent activation of Drice. This is because removal of Hid, or inhibition of Ark or Dronc, each of which inhibited individualization, had no significant effect on Drice activation. An attractive alternative possibility is that Driceless-dependent activation of Drice promotes individualization, at least in part by indirectly facilitating local activation of Dronc, and perhaps by other caspases such as Dredd (see discussion below) that are

themselves activated through distinct pathways. Positive feedback pathways that perform a similar caspase-activating function have been described in a number of apoptotic contexts (Adams 2003). Drice can cleave DIAP1 near its N-terminus. This promotes DIAP1 degradation through the N-end rule ubiquitylation pathway (Ditzel et al 2003), providing one possible mechanism by which active Drice facilitate the activation of other caspases. Characterization of *driceless* should provide insight into the functional relationships between these caspases in spermatogenesis.

Discussion

Altogether, our observations demonstrate that multiple caspases and caspase regulators, acting at distinct points in space and time, are utilized to promote spermatid individualization. In one pathway, whose mechanism of activation is unknown, active Drice appears throughout elongated spermatids just as individualization begins. Driceless, which promotes individualization, is required for Drice activation. But whether active Drice mediates the requirement for Driceless is unknown. In a second pathway Hid, concentrated through unknown mechanisms in the cystic bulge, promotes the local Ark-dependent activation of the apical caspase Dronc, presumably at least in part through disruption of complexes between Dronc and DIAP1. As discussed above, active Drice may facilitate this activation.

Components of a second pathway for apical caspase activation, dFadd and Dredd, are also important for individualization. These proteins bind each other (Hu and Yang, 2000; Horng and Medzhitov, 2001), and dFadd expression promotes Dredd activation (Hu and Yang, 2000). Adaptors such as dFadd mediate recruitment of apical caspases

to ligand-bound death receptors, thereby promoting caspase activation. Interestingly, dFadd and Dredd are absolutely required for the innate immune response to gram-negative bacterial infection (Hultmark, 2003). In this pathway dFadd-dependent activation of Dredd promotes cleavage and activation of the transcription factor Relish. Dredd activation is mediated by homophilic Death Domain (DD) interactions between dFadd and IMD that occur downstream of PGRP-LC receptor binding to bacterial cell-wall components (Hultmark, 2003). Homophilic DD interactions also mediate binding of dFadd to the adaptor dMyd88, a component of the Toll receptor-dependent immune response to fungal infection (Horng and Medzhitov, 2001). It will be interesting to determine if these or other receptor pathways mediate the requirements for dFadd and Dredd during spermatid individualization.

How do caspases contribute to spermatid individualization? Testis from flies mutant for any one of the above pathways (Ark, Dronc, and Hid; dFadd and Dredd; Driceless) contained cysts in which individualization failed to occur. Interestingly, however, other cysts in the same testis, or from testes of sibling males, carried out individualization apparently normally. Thus, these flies were fertile, though in some cases at a very reduced frequency ($\beta 2\text{tub-Ark-RNAi}$ and $dFadd^{f02804}/dFadd^{f02804}$; *hid* mutants have defects in external genitalia that prevent mating). These observations suggest that no one of these caspase pathways is absolutely required for individualization. The stochastic nature of the defects observed -complete failure of individualization in some mutant cysts and apparently normal individualization in others- may reflect a requirement for a threshold level of caspase activity, which can be achieved through multiple pathways, or as a result of positive feedback/crosstalk

between pathways in order for a cyst to initiate individualization. Consistent with these possibilities, double mutants between components of two distinct caspase cascades, Ark and Dredd, each of which show significant fertility as single mutants (*Ark^{CD4}/Ark^{CD4}*, 70% fertile n = 20; *Dredd^{B118}/Dredd^{B118}*, 79% fertile n = 24), are almost completely sterile (8% fertile, n = 12) in combination.

Caspase activity may also participate more directly in processes that mediate encapsulation or cytoplasm elimination. Several observations suggest a role for caspases in at least the latter process. First, in contrast to the situation in wildtype cysts, active Drice was not effectively swept up into the stunted cystic bulges formed in the presence of caspase inhibitors such as p35 (Figure 7), or in other contexts in which caspase activity was inhibited (β 2tub-DIAP1; β 2-tubulin-Dcp-1-RNAi; β 2tub-Ark-RNAi; β 2tub-Dn-Dronc; *hid⁰⁵⁰¹⁴/H99*; *dFadd^{f02804}/dFadd^{f02804}*; data not shown). Second, spermatids in cysts with decreased levels of Ark often contained large fingers of excess cytoplasm despite the fact that in some cysts membrane encapsulation occurred apparently normally (Figure 2). Together these observations are interesting because they also suggest that the processes of investment cone movement and spermatid encapsulation can be separated from that of cytoplasm elimination. Investment cones carry out a daunting task. They move apically within a cyst for more than 2 mm, sieving and sweeping an ever-increasing body of cytoplasmic organelles, components of the nuclear membrane, nucleoplasm, and bulk cytoplasm in front of them. Little is known about how investment cones function other than that movement is actin-based and that a number of actin-binding proteins are located in or

around these structures (Hicks et al., 1999; Noguchi and Miller, 2003). It is tempting to speculate that spermatid caspase activity functions, at least in part, to free organelles from preexisting attachments, thus facilitating their apical transport. In this way caspase activity would provide a permissive environment for investment cone movement and cytoplasm removal. More active roles in promoting membrane remodeling or investment cone-dependent force generation or movement based on spatially restricted cleavage of cytoskeletal components or other proteins can also be imagined. The identification of caspase substrates will be important to understanding how caspases regulate this process.

What is the relationship of our observations in *Drosophila* to spermatid differentiation in mammals? During step 18 of murine spermatid differentiation, a lobe of cytoplasm accumulates around the spermatid head. It then separates from the spermatid body and is ultimately phagocytosed by the associated Sertoli cell (de Krester and Kerr 1994). Separation of this mass, known as the residual body, removes a large volume of spermatid cytoplasm. It also brings about the encapsulation of each spermatid within a single plasma membrane since the cytoplasmic bridges linking spermatids are between the membrane compartments defined by the residual bodies. Finally, it severs the connection between the spermatid and the Sertoli cell that supported and anchored it, thereby freeing the now individualized spermatozoa to enter the seminiferous tubule. Residual bodies show several features commonly associated with apoptosis: their plasma membrane binds Annexin V and they are phagocytosed by Sertoli cells (Blanco-Rodriguez and Martinez-Garcia 1999), which also phagocytose apoptotic germ cells (c.f. Shiratsuchi et al. 1997, and references

therein). In addition, residual body cytoplasm is condensed, and contains elevated levels of caspase-1 (Blanco-Rodriguez and Martinez-Garcia 1999) and the pro-apoptotic bcl-2 family member bak (Krajewski et al. 1996). These observations suggest that, as in *Drosophila*, local activation of apoptotic caspase cascades within late stage spermatids promotes their individualization and elimination of excess cytoplasm. Mice lacking the pro-apoptotic proteins Apaf-1 or the bcl-2 family member bax are infertile and have dramatic defects in spermatogenesis (Knudson et al. 1995; Honarpour et al. 2000; Russell et al. 2002). However, these phenotypes are thought to be an indirect consequence of a failure in an earlier, normally occurring postnatal spermatogonial cell death. A test of the importance of caspase activity in mammalian spermatid differentiation will be most directly achieved by determining the consequences of caspase inhibition specifically in these cells.

Finally, how is it that elongated spermatids avoid apoptosis in the presence of activated apoptotic caspases for prolonged periods of time? Perhaps the caspase substrates are different from those targeted during apoptosis. But if so, then what is the basis for the selective targeting? If the targets are the same as those activated during apoptosis then how is the caspase cascade kept from promoting an apoptotic cell fate? Tight control over the subcellular site of caspase activation (or stabilization of the active caspase), such as we observed with Dronc, provides one possible solution. Others may also exist. In particular, it is important to recognize that while active-caspase-specific antibodies recognize caspases that are in the cleaved and therefore activated conformation, these caspases may be kept inactive through interactions with other proteins or as a result of post-translational modification.

Drosophila is a powerful system in which to isolate male-sterile mutants (c.f. Castrillon et al. 1993; Fuller 1993; Fabrizio et al. 1998). It is likely that an exploration of the relationship between the genes identified by these mutations and the apoptotic regulators described here will provide insight into these questions.

Methods

Fly strains and constructs

All crosses and stocks were maintained at 25°C. The following fly stocks were used: w¹¹¹⁸, *Ark^{CD4}*/Cyo (Rodriguez et al. 1999), *H99*/TM3 (White et al. 1994), *hid⁰⁵⁰¹⁴*/TM3 (Grether et al. 1995), *dFadd⁰²⁸⁰⁴*/TM6B (Naitza et al. 2002), *Dredd^{B118}*/FM7 (Leulier et al. 2000), GMR-DroncF118E (Chai et al. 2003), and *bln¹*/Cyo (Castrillon et al. 1993). Dronc F118E contains a mutation that prevents interaction between Dronc and DIAP1. Thus, DroncF118E has enhanced activity in vivo (Chai et al. 2003). The P element vector pβ2Tub contains sequences from the β2-tubulin locus (85D) sufficient to direct testis germline-specific expression. It was generated by removing an XhoI-EcoRI promoter fragment from pGMR (Hay et al. 1994) and by introducing in its place a 340 bp fragment from the β2-tubulin locus (Santel et al. 2000), amplified by PCR with the primers 5' gcg ctc gag atc ctc tat tgc ttc caa ggc acc and 5' gcg gaa ttc agt tag ggc ccc ttt ttc aca ccg. Coding region fragments for dominant negative Dronc (Dn-Dronc) (Hawkins et al., 2000) and DIAP1C422Y (which results in stabilization of DIAP1 by blocking its ability to auto-ubiquitinate (Yoo et al., 2002)) were introduced into pβ2Tub to produce pTub-Dn-Dronc and pTub-DIAP1, respectively. A vector to express double stranded RNA for

Ark was generated as follows. A 900 bp fragment of Ark genomic DNA corresponding to the first exon and intron was amplified using primers 5' gcg gaa ttc ccg aag agg cat cgc gag cat ata cg and 5' cgc aga tct ata agg ggt gag tgc tcc cag cgg ctc. This was introduced into p β 2Tub using EcoRI and BglII. A second fragment, corresponding to the first exon, but in reverse orientation, was amplified using primers 5' gcg gcg gcc gc gct aac gca ggg tcc ttc gga ggc and 5' cgc agg cct aag agg cat cgc gag cat ata cgc. This was introduced into the intermediate described above using NotI and StuI, generating pTub-Ark-RNAi. A similar strategy was used to generate pTub-Dcp-1-RNAi. A 540 bp fragment of Dcp-1 genomic DNA corresponding to the first exon and intron was amplified using primers 5' ctg ccg gaa ttc ttc gac ata ccc tcg ctg and 5' cgc gga aga tct gtt gcg cca gga gaa gta g. A second fragment, corresponding to the first exon, but in reverse orientation, was amplified using primers 5' aag gaa aaa a gcg gcc gc cgg aat ggt cga gta gga gaa g and 5' cgc gga agg cct ttg aaa acc tgg gat tc. Germ line transformants of pTub-Dn-Dronc, pTub-DIAP1, and pTub-Ark-RNAi were created using standard procedures. Testis characterized in this paper carried multiple copies of the relevant β 2-tubulin expression transgene. These were: β 2tub-DIAP1, β 2tub-p35 and β 2tub-Dn-Dronc (four copies); β 2tub-Ark-RNAi (three copies); β 2tub-Dcp-1-RNAi (six copies).

Isolation of the *driceless* mutant

We stained testis from *puc^{E69}/TM6B* males (Martin-Blanco et al. 1998) with active Drice antibodies. These males lacked active Drice staining but fully elongated

axonemes were present, as visualized by staining with AXO49 antibody. The mutation was mapped to the X chromosome using standard procedures.

Immunocytochemistry

Conditions for immunocytochemistry and confocal microscopy were as described in (Yoo et al. 2002). Pallodin-Alexafluor488 (Molecular Probes Inc.) was used at 1:40 to label filamentous actin; toto-3 was used for DNA labeling at 1:10,000 (Molecular Probes Inc.). Antibodies were used at the following concentrations; purified rabbit anti-active Drice (1:50) (Yoo et al. 2002); purified rabbit anti-Dronc (1:100; this paper) ; mouse anti-DIAP1 (1:400) (Yoo et al. 2002); mouse anti-Axo49 (1:5,000) (Bressac et al. 1995); rabbit anti-Hid (1:1,000) (Yoo et al. 2002); and purified rabbit anti-active Dronc peptide (1:50) and anti-Dcp-1 (1:100) (this paper). Anti-Dcp-1 antibodies were produced in rabbits and purified using a C-terminally 6x His tagged version of the Dcp-1 p20 subunit as the immunogen. Anti-Dronc antibodies were raised against the c-terminal fragment of the Dronc large subunit (amino acid residues 336-352; EPVYTAQEEKWPDTQTE), and anti-active Dronc-specific antibodies were raised in rabbits using a synthetic nonapeptide corresponding to residues just N-terminal to the Dronc autoactivation cleavage site E352 (EKWPDTQTE), both of which were conjugated with Keyhole Limpet Hemocyanin (KLH) as the immunogen (Covance Research Products Inc). Active-Dronc specific antibodies were purified by sequential protein affinity purification. Antisera were first applied to a column bound with full-length, inactive Dronc (DroncC318A) to eliminate antibodies reactive with uncleaved Dronc. The flow-through was applied to a Dronc large subunit (residues 1-

352) affinity column. Bound proteins were eluted using 100 mM glycine, pH 2.5. These antibodies detect the large fragment of active Dronc (cleaved after E352), but do not recognize full length Dronc (supplement figure 1). Anti-Dronc antibodies were purified using full length inactive Dronc (DroncC318A). Western analysis to demonstrate binding specificity was carried out with 100 ng of full-length Dronc(C318A) and Dronc(1-352). These were detected using purified anti-Dronc peptide (1:100) or purified anti-active Dronc peptide (1:100) antibodies.

Male fertility tests

Individual male flies were placed with 4 week old virgin females in vials for three days at 25⁰C. They were then transferred to fresh vials with four new females and allowed to mate for another three days. Males were scored as sterile if they failed to produce progeny by the eighth day.

Western blotting of adult testis

Testes extracts were prepared in 50 ul cell lysis buffer (20 mM HEPES-KOH (pH 7.6), 150 mM NaCl, 10% glycerol, 1% Triton X-100, 2 mM EDTA, 1x protease inhibitor cocktail (Roche), and 1 mM DTT) from 30~50 adults of the appropriate genotype. 70 ug of total protein was used for Western analysis using rabbit anti-Ark (1:1,000) (generously provided by Lai Wang and Xiaodong Wang) or purified rabbit anti-Dcp-1 (1:100). Filters were stripped using Restore Western blot stripping buffer (Pierce) and reprobed with rabbit anti-full length Drice (1:1,000) (Dorstyn et al. 2002) as a loading control.

Electron microscopy

Testes were dissected from adult 2- to 4-day-old males raised at 25⁰C and prepared for electron microscopy as described (Tokuyasu et al., 1972). Thin sections were observed and photographed using a Philips 201 transmission electron microscopy at 80 kV accelerating voltage. Elongated cysts in which spermatids should have been undergoing, or have undergone, individualization were identified by their central position in the testis as well as the stage of differentiation of major and mitochondrial derivatives (Tokuyasu et al., 1972). At least 2 to 3 testes of each genotype were examined.

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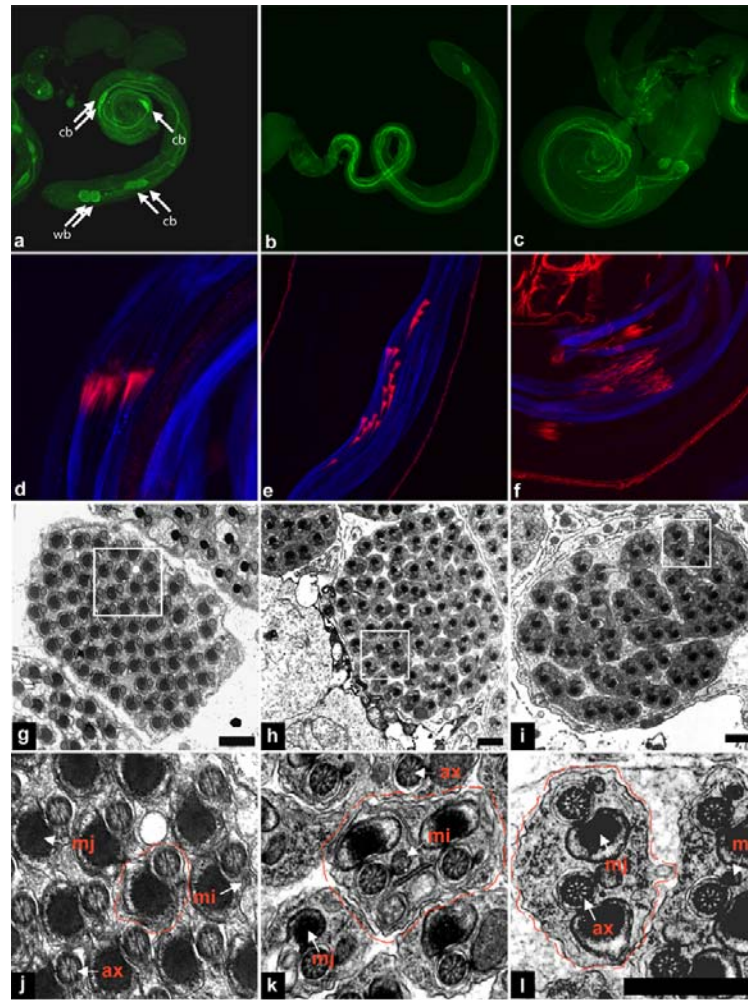


Fig 1, Huh et al.

Figure 1. Caspase activity is required for spermatid individualization. (A-C) Testis of different genotypes were visualized with antibodies specific for activated Drice (green). (A) wildtype testis. Active Drice is present in multiple elongated cysts. Cystic bulges (cb) and waste bags (wb) are indicated by arrows. (B,C) Testes from $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ males, respectively. Active Drice is present in elongated cysts, but cystic bulges and waste bags are reduced in number and size. (D-F) Phalloidin-stained investment cones from testes of different genotypes (red). Spermatid axonemes in panels (D-F) are highlighted by the AXO49 antibody, which recognizes polyglycylated tubulin (Bressac et al., 1995) (blue). (D) In wild type testes investment cones move as a coordinated group. (E,F) Coordinated investment cone movement is disrupted in cysts from $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ males, respectively. (G-L) EM sections of elongated cysts of different genotypes. (G) A cyst from a wildtype male that has undergone individualization. The boxed region is shown at higher magnification in (J), along with the locations of the major mitochondrial derivative (mj), minor

mitochondrial derivative (mi), and axoneme (ax). A single spermatid unit is outlined with the dashed line. (H,I) In cysts from $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ males, respectively, many spermatid units are present in a common cytoplasm that contains organelles, often including an enlarged minor mitochondrial derivative. Boxed regions of $\beta 2\text{tub-DIAP1}$ and $\beta 2\text{tub-p35}$ cysts shown in (H) and (I) are shown at higher magnification in (K) and (L), respectively. Several examples of multiple spermatids present in a common cytoplasm are outlined by the dashed line in (K) and (L). Scale bar for EM micrographs: black bar = 1 μm .

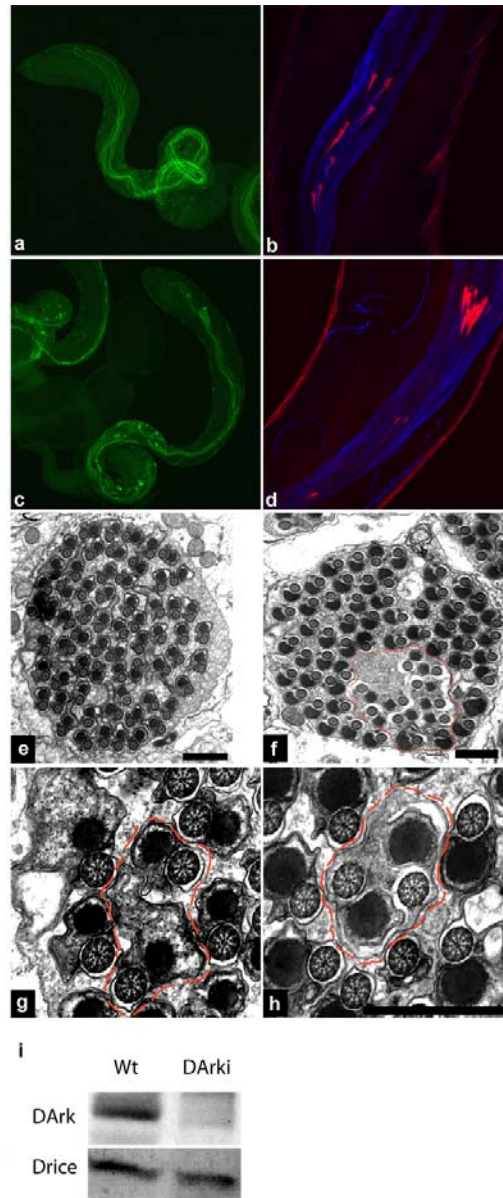


Fig. 2, Huh et al.

Figure 2. Ark and Dronc are required for spermatid individualization. (A,C) Testis from $\beta 2\text{tub-Ark-RNAi}$ and $\beta 2\text{tub-Dn-Dronc}$ males, respectively. Active Drice-positive cysts are present, but cystic bulges and waste bags are largely absent. (B,D) Investment cone movements in testis from $\beta 2\text{tub-Ark-RNAi}$ and $\beta 2\text{tub-Dn-Dronc}$, respectively, are uncoordinated. (E,G,H) EM images of an elongated cyst from a $\beta 2\text{tub-Ark-RNAi}$ male. Some individualization failures are observed (E,G,H), two of which are highlighted by the dashed lines in (G) and (H). In addition, many spermatids that have apparently undergone individualization still contain large amounts of excess cytoplasm (E,G). (F) EM image of a cyst from a $\beta 2\text{tub-Dn-Dronc}$ male. A large region in which individualization did not occur is outlined. (I) Western blot from wildtype (Wt) and $\beta 2\text{tub-Ark-RNAi}$ (DARKi) testis probed with anti-Ark and Anti-Drice antibodies. Ark, but not Drice levels are greatly reduced in $\beta 2\text{tub-Ark-RNAi}$ testis.

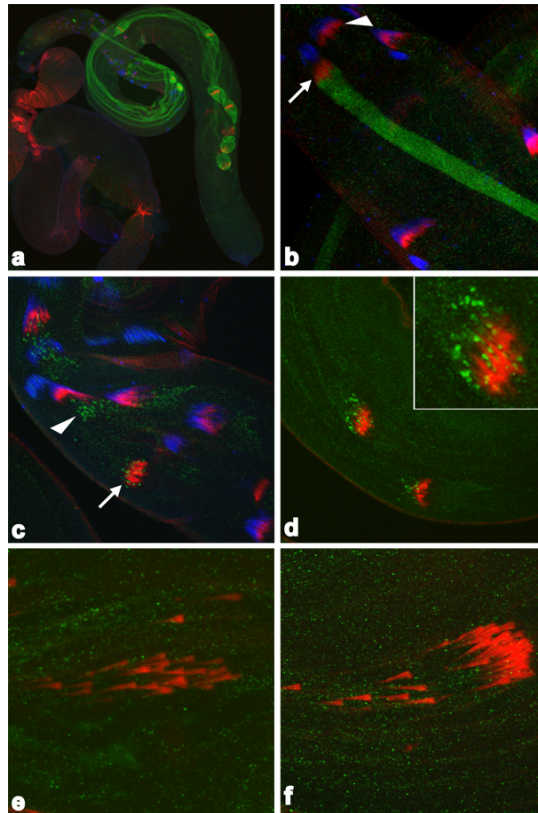


Fig. 3, Huh et al.

Figure 3. Dronc activation occurs in association with individualization complexes and is Ark-dependent. (A,B) Wildtype testis stained for active Drice (green), filamentous actin (Phalloidin: red), and DNA (TOTO-3: blue). (A) Active Drice is present throughout the length of cysts undergoing individualization. (B) Higher magnification of the testis in a. Arrowhead points to a cyst in which the individualization complex has assembled around the spermatid nuclei, but Drice activation has not occurred. Arrow points to a neighboring cyst in which the individualization complex has just begun to move away from the spermatid nuclei. Active Drice is now present throughout the length of this cyst, indicating that Drice activation within a cyst occurs rapidly and globally. (C) Active Dronc (green) is initially present in a punctate pattern, apical to the individualization complex (red) at the base of the testis (arrowheads). The individualization complex then moves through the region containing active Dronc (arrow). (D) Subsequently, active Dronc is found associated with the trailing edge of the individualization complex as it moves apical within the cyst. A higher magnification view of active Dronc staining in the leftmost cyst is shown in the inset. (E,F) Active Dronc is eliminated in cysts from $\beta 2\text{tub-Ark-RNAi}$ and $\beta 2\text{tub-Dn-Dronc}$ testis, respectively.

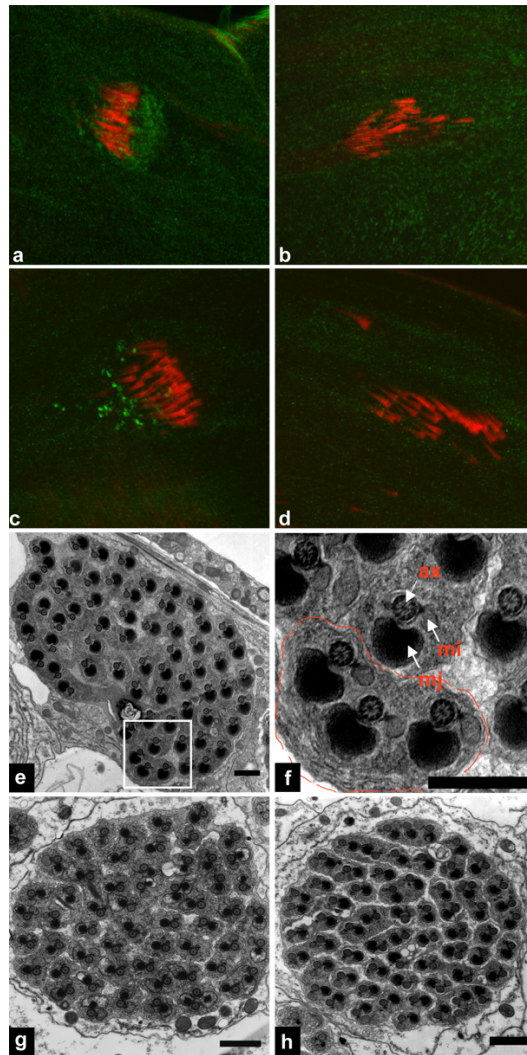


Fig. 4, Huh et al.

Figure 4. Hid, dFadd, and Dredd participate in individualization. (A) Hid protein (green) is concentrated in the region of the cystic bulge, which is marked by the presence of the Phalloidin-stained individualization complex (red). (B) Hid immunoreactivity is absent in testis from *hid*⁰⁵⁰¹⁴/*H99* flies. (C) Active Dronc (green) is associated with the trailing edge of the individualization complex in a wildtype cyst. (D) Active Dronc is absent from the individualization complex in cysts from *hid*⁰⁵⁰¹⁴/*H99* males. (E) EM section from *hid*⁰⁵⁰¹⁴/*H99* testis. Essentially all spermatids have failed to individualize. (F) Higher magnification view of boxed area in (E). Multiple spermatid units sharing a common cytoplasm are outlined by the dashed line. (G) Representative EM section of cyst from *dFadd*⁰²⁸⁰⁴/*dFadd*⁰²⁸⁰⁴ testis. Essentially all spermatids have failed to individualize. (H) EM section of cyst from *Dredd*^{B118}/*Dredd*^{B118} testis in which individualization has failed to occur. In some other cysts from this same male individualization proceeded apparently normally (not shown).

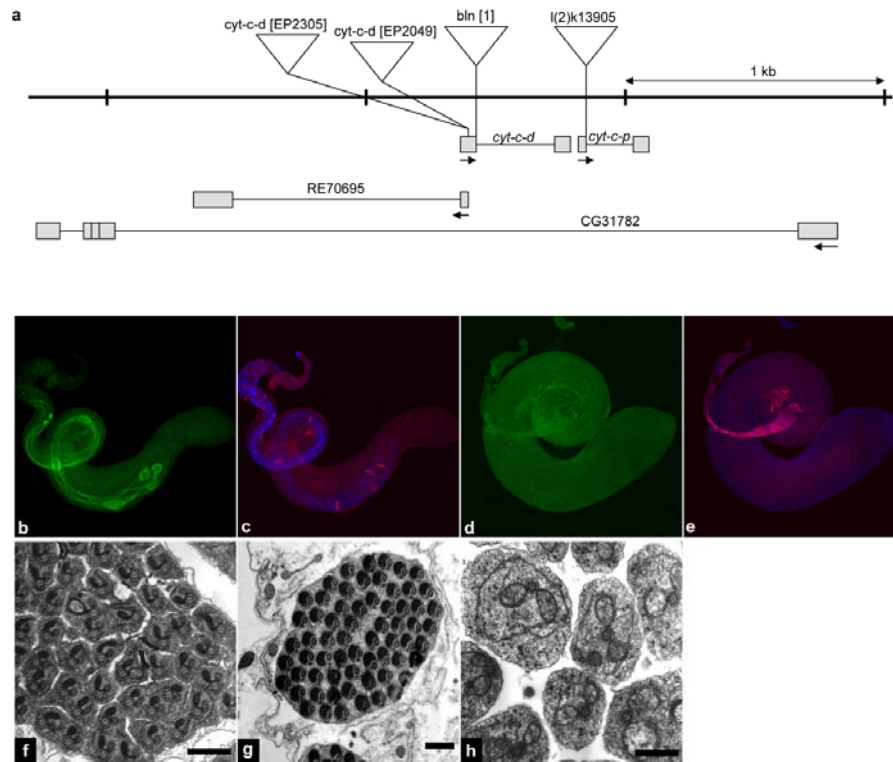


Fig. 5, Huh et al.

Figure 5. The *bln*¹ P element insertion, which inhibits cyto-c-d expression, results in pleiotropic defects in spermatogenesis. (A) Genomic organization of the cyt-c-d region. Upper half of the panel illustrates the structure of the region, as described by Arama et al (Arama et al. 2003). The lower half of the panel indicates the relative locations of several other genes in the region, as annotated by the Berkeley Drosophila Genome Project as of August 2002 (<http://flybase.bio.indiana.edu/search/>). The *bln*¹ P element is inserted within the cyt-c-d I transcription unit. This P element is also inserted within the transcription unit of a second gene CR31808-RA (RE70695). Both of these genes, and the *bln*¹ P element, reside within the intron of a third gene, CG31782. (B,D) Wildtype and *bln*¹ testis, respectively, stained with anti-active Drice antibodies. Active-Drice immunoreactivity is eliminated in *bln*¹ testis, as described (Arama et al. 2003). (C,E) Wildtype and *bln*¹ testis, respectively, stained with AXO49 antibodies (blue), which recognize polyglycylated tubulin present in axonemal microtubules, and phalloidin (red). Polyglycylation occurs

prior to individualization (Bressac et al. 1995). Axonemes of elongated cysts from wildtype flies stain with AXO49 (C) while those from *bln¹* males do not (E). (F-I) EM micrographs of cysts of different developmental stages from wildtype (F,G) and *bln¹* (H) testis. (F) Wildtype cyst prior to individualization. Note the structures of the major and minor mitochondrial derivatives, in particular, the fact that the major mitochondrial derivative is increased in size and is electron dense. (G) Wildtype cyst following individualization. (H) Representative example of the most mature cysts found in *bln¹* testis. Note the dramatically increased cell size, and the lack of differentiation of the major and mitochondrial derivatives, as compared to wild type.

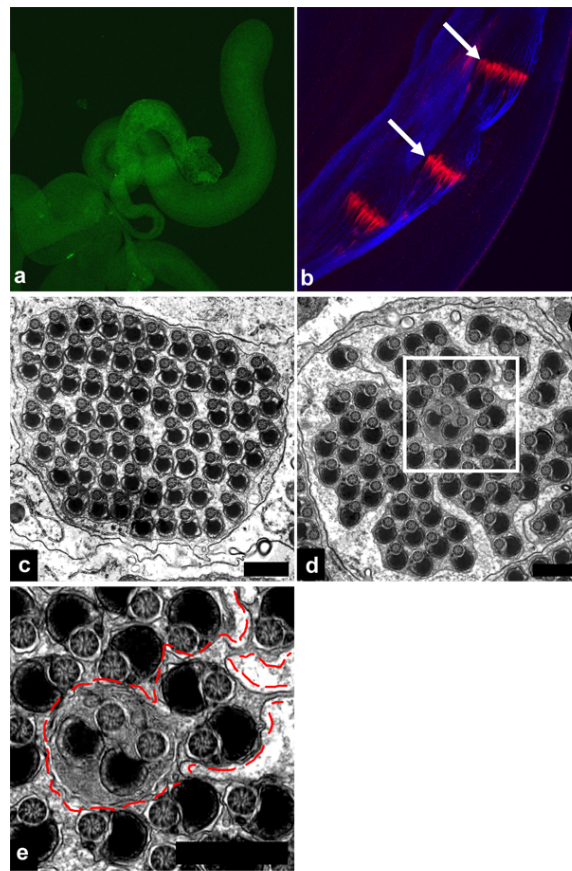


Fig. 6, Huh et al.

Figure 6. *driceless* males lack active Drice staining and show defects in individualization.

(A) Testis from *driceless* male stained with active Drice. Active Drice staining is eliminated. (B) Elongated cysts from *driceless* male. AXO49 staining (blue) outlines the location of three cystic bulges. Individualization complexes (arrows) are marked with phalloidin (red). (C) Example of a cyst from a *driceless* male in which individualization has proceeded normally. (D) Example of a cyst from a *driceless* male in which individualization has failed to occur. (E) Boxed area in (D) shown at higher magnification highlights. A region in which individualization has failed is outlined with a dashed line.

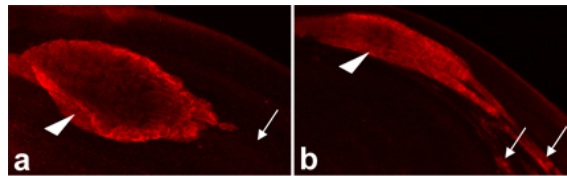
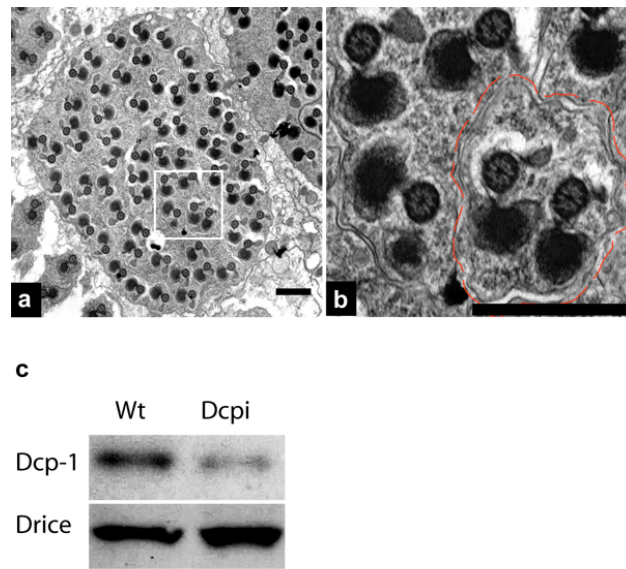


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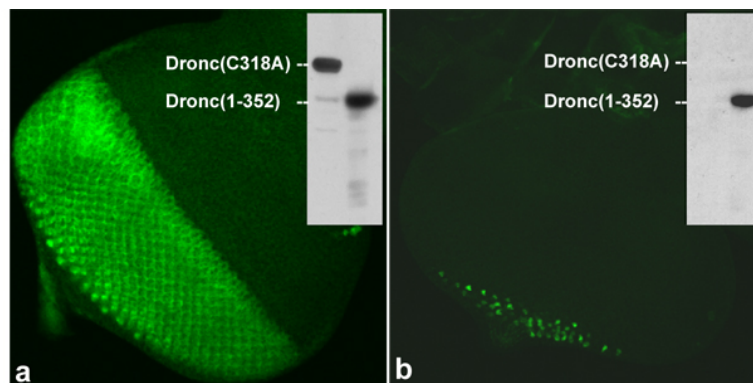
Figure 7. Active Drice is eliminated from the cytoplasm of wildtype spermatids following passage of the individualization complex, but not from spermatids in which caspase activity has been inhibited. (A) Cystic bulge from a wild type cyst stained with active Drice (red). The cystic bulge (arrowhead) is moving to the left. Active Drice staining is absent in areas of the spermatid bundle that the individualization complex has passed through, and in which excess cytoplasm has been eliminated (arrow). (B) Cystic bulge from a $\beta 2\text{tub-p35}$ cyst. The cystic bulge (arrowhead) is decreased in size, and active Drice is present in areas of the spermatid bundle that the individualization complex has moved through (arrows). These observations suggest that caspase inhibition results in at least a partial failure to eliminate excess cytoplasm, but that this is not necessarily associated with lack of movement of the individualization complex.



Supp. Fig. 1, Huh et al.

Supplement Figure 1. Inhibition of Dcp-1 prevents spermatid individualization.

(A) EM section from $\beta 2\text{tub-Dcp-1-RNAi}$ testis. Individualization has failed to occur throughout the cyst. (B) The boxed area in M is shown at a higher magnification. Spermatid units sharing a common cytoplasm are outlined by the dashed line. (C) Western blot from wildtype (Wt) and $\beta 2\text{tub-Dcp-1-RNAi}$ (Dcpi) testis probed with anti-Dcp-1 and Anti-Drice antibodies. Dcp-1, but not Drice levels are greatly reduced in $\beta 2\text{tub-Dcp-1-RNAi}$ testis.



Supp. Fig. 2, Huh et al.

Supplement Figure 2. Antibodies specific for active Dronc. (A) Third instar eye imaginal disc from GMR-DRONC^{F118E} larvae stained with purified anti-DRONC peptide antiserum (green). All

cells posterior to the morphogenetic furrow are labeled with this antiserum, as expected based on the pattern of GMR-dependent gene expression (Hay et al., 1994). Eye discs from wildtype larvae showed only very low, uniform levels of staining (data not shown). The inset shows a Western blot probed with purified anti-DRONC peptide antiserum. The first lane was loaded with full length DRONC mutated in its active site (DRONC^{C318A}). The second lane was loaded with a version of DRONC consisting of only residues 1-352. This protein terminates following glutamate 352, the DRONC autoactivation cleavage site, and is equivalent to the large subunit of cleaved and active DRONC. The anti-Dronc antibodies react well with both proteins. (B) Third instar eye imaginal disc from GMR-DRONC^{F118E} larvae stained with anti-active DRONC antiserum extensively purified to select for antibodies that react only with versions of DRONC that have been cleaved at glutamate 352, as in the methods. Only cells in the most posterior region of the eye disc, which are presumably undergoing apoptosis, react with these purified antibodies. The inset shows a Western blot similar to that in panel (A), which was probed with the purified active Dronc-specific antibodies. These antibodies react with the glutamate 352-cleaved version of DRONC, but not with full length DRONC.

CHAPTER 4

Compensatory proliferation induced by cell death in the *Drosophila* wing disc requires activity of the apical cell death caspase Dronc in a non-apoptotic role.

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Summary

Achieving proper organ size requires a balance between proliferation and cell death. For example, at least 40 to 60 percent of cells in the *Drosophila* wing disc can be lost, yet these discs go on to give rise to normal-looking adult wings as a result of compensatory proliferation [1-3]. The signals that drive this proliferation are unknown. One intriguing possibility is that they derive, at least in part, from the dying cells. To explore this hypothesis we activated cell death signaling in specific populations of cells in the developing wing but prevented these cells from dying through expression of the baculovirus p35 protein, which inhibits the activity of effector caspases that mediates apoptosis [4]. This allowed us to uncouple the activation steps of apoptosis from death itself. Here we report that stimulation of cell death signaling in the wing disc -in the absence of cell death- results in increased proliferation and ectopic expression of wingless, a known mitogen in the wing. Activation of the apical cell death caspase Dronc is necessary and sufficient to drive both of these processes. Our results demonstrate an unanticipated function of an apical cell-death caspase, the non-autonomous induction of proliferation. This activity is likely to contribute to tissue homeostasis by promoting local compensatory proliferation in response to cell death. We speculate that dying cells may communicate cell fate or behavior instructions to their neighbors in other contexts as well.

Results and Discussion

Expression of the cell death activator head involution defective (Hid) promotes caspase activation and cell death in many contexts in the fly [5], including the wing [4,6]. We

characterized wing discs expressing Hid and p35 under the control of the engrailed promoter, which drives expression in the posterior wing compartment. As noted previously [4], cells expressing Hid and p35 show decreased levels of the IAP family caspase inhibitor DIAP1 and high levels of activation of the cell death effector caspase Drice. However, these cells do not die because expression of p35 inhibits the activity (but not the activation) of effector caspases such as Drice [4]. We monitored cell proliferation in wing discs using anti-phosphohistone H3 antibodies, which label cells in M phase. Wing discs that express p35 alone in the posterior compartment showed a general low level of proliferation in both anterior and posterior compartments (Figure 1A,B). In contrast, wing discs that express Hid and p35 under engrailed control consistently showed a 2- to 4-fold increase in proliferation in the posterior compartment (Figure 1C,D; Figure S1).

How are HID-dependent cell death signals transduced to promote cell proliferation? Multiple IAPs, including DIAP1, can participate in various signal transduction pathways, in addition to functioning as caspase inhibitors [7-9]. To determine if the HID-dependent increase in proliferation required the loss of DIAP1 we decreased DIAP1 levels in the absence of other apical death signals using RNA interference (RNAi) in the presence of p35. Double-stranded RNA corresponding to DIAP1 (DIAP1-RNAi) and p35 were expressed under the control of the patched promoter, which drives expression in a stripe at the anterior-posterior compartment border. Expression of p35 alone did not cause a decrease in DIAP1 [4] (data not shown) or result in increased levels of proliferation within the domain of patched expression (Figure 2A,B). Expression of DIAP1 RNAi and p35 under patched control resulted in a dramatic decrease in DIAP1 protein levels (Figure 2C,D), but again there was

no increase in proliferation (Figure 2E,F). These observations (as well as observations presented in Figure 3 and Figure 4) argue that HID and/or molecules activated by HID, but not the loss of DIAP1 (which may however facilitate the activation or activity of these other molecules), are required to induce cell proliferation.

Dronc is an apical caspase that mediates HID-dependent cell death, as well as that initiated by other death activators [10-13]. Dronc and several mammalian apical cell death caspases have also been shown to participate in several non-apoptotic processes [14,15]. To explore roles for Dronc in mediating Hid-induced proliferation in the wing we expressed a dominant negative version of DRONC (DRONC^{C318S}) [10,15] along with Hid and p35 under engrailed control (Figure 3A). DIAP1 levels were still decreased (Figure 3B), as with expression of Hid and p35 [4]. However, increased cell proliferation in the posterior compartment was no longer observed (Figure 3C,D; Figure S1). These results demonstrate that Dronc activity is required to mediate Hid-dependent proliferation. Further support for this conclusion comes from an examination of adult wings. Wings from flies expressing p35 under engrailed control appeared normal (Figure 3E), while wings from flies expressing Hid and p35 under engrailed control had an expanded posterior compartment, consistent with the observation of increased proliferation in this domain during larval development (Figure 3G,H). In contrast, wings from flies that expressed Dronc^{C318S} as well Hid and p35 under engrailed control were normal in appearance (Figure 3F). We showed that activation of Dronc, in the absence of cell death, was sufficient to drive cell proliferation by following the fate of cells in wing discs from flies that expressed Dronc and p35 under patched or engrailed control. Dronc-expressing cells did not die, as evidenced by a lack of increased TUNEL staining in these

cells (data not shown), and DIAP1 levels were if anything slightly increased in the regions with elevated Dronc (Figure 4A,B). These regions did, however, show increased cell proliferation (Figure 4C-F).

Hid promotes cell death, at least in part by disrupting interactions between caspases and DIAP1 [16,17] and through stimulation of DIAP1 degradation [4]. Loss of DIAP1 results in cell death [16,18-23], and where examined this is mediated by Ark-dependent activation of Dronc, which cleaves and activates the downstream effector caspases Dcp-1 and Drice [10,11,20-23]. Together these observations raise a question as to why expression of Hid or Dronc in the presence of p35, but not loss of DIAP1 in the presence of p35, resulted in increased proliferation. One possibility is that Hid promotes Dronc activation or activity through pathways other than simple elimination of the Dronc inhibitor DIAP1. In the context of such a model Hid-dependent activation of Dronc would simply be more robust than that due to the loss of DIAP1 in otherwise healthy cells. Alternatively, Hid may have activities that cooperate with Dronc (but are not sufficient by themselves (see Figure 3C,D)) to promote proliferation. Regardless of the mechanisms by which Dronc is activated, our observations demonstrate that Dronc activity is both necessary and sufficient to stimulate cell proliferation in response to a signal that would normally induce cell death. Loss of DIAP1 is not necessary, as shown in Figures 3 and 4. However, it is worth noting that loss of DIAP1 is likely to be sufficient in wildtype discs, in which Dronc activation leads to activation of downstream caspases, which may themselves promote further Dronc activation and ultimately apoptosis. In our system we have simply dampened any such feedback pathways through expression of p35.

It has been known for many years that cell death in the larval wing disc leads to compensatory proliferation [1-3]. We showed that activation of a Dronc-dependent death signal, even in the absence of death itself, also leads to proliferation. Based on these observations we argue that dying cells provide, through a Dronc-dependent pathway, at least one component of the signals that stimulate compensatory proliferation in healthy neighbors. Further support for this hypothesis comes from examination of wing discs carrying clones of tissue expressing p35 alone (Figure 5A,C,E), or Hid and p35 (Figure 5B,D,F), in an otherwise wildtype background. Discs with clones expressing Hid and p35 showed increased proliferation as compared to discs expressing p35 alone. Importantly, most of this proliferation occurred in cells outside the clones. Cells that express Hid and activate Dronc normally die. Therefore, an important prediction of this model is that apical death signals within the dying cell lead to the generation of signals that stimulate the proliferation of cells that neighbor dying cells. The secreted protein Wingless is a candidate to mediate at least some component of such a signal because Wingless can drive proliferation in the wing disc (c.f. [24] and references therein). In fact we observed a Dronc-dependent increase in wingless expression in regions of the wing disc expressing Hid and p35, but not p35 alone (Figure S2). These results are consistent with the hypothesis that wingless contributes to cell proliferation induced in response to death signaling. However, several points should be noted: 1) Wingless expression does not occur uniformly in areas expressing Hid and p35 (Figure S2). 2) Our results do not address the question of which cells express wingless -cells with high level Dronc activation or their neighbors. 3) The effects of wingless on proliferation in the wing are complex. Proliferation is stimulated in some contexts [24], but inhibited in others [25]. 4)

Roles for other pathways remain to be explored. Experiments that follow the consequences of manipulating wingless expression will be required to test this hypothesis directly.

How does Dronc activity stimulate proliferation? In general caspases recognize tetrapeptide substrates in which the C-terminal P1 residue is an aspartate. Dronc is exceptional in this regard in that it also cleaves well after glutamate. The only known Dronc targets are itself and the effector caspases Dcp-1 and Drice [10,11]. Dcp-1 and Drice are unlikely to mediate Dronc-dependent effects on proliferation since they are inhibited by p35 in our experiments. Screens with combinatorial peptide libraries indicate that while Dronc has distinct overall target sequence preferences, many different tetrapeptide substrates are acceptable [10]. In addition, caspases interact with their substrates only very transiently. These facts make target identification through bioinformatic or protein purification approaches problematic. Other approaches, such as genetic screens for enhancers and suppressors of phenotypes associated with ectopic DRONC expression in contexts in which death is inhibited by p35 (Huh and Hay, unpublished), may provide insight into this question.

Concluding remarks

All together our results demonstrate that activation of the apical caspase Dronc, which is important for many cell deaths in the fly, is necessary and sufficient to induce proliferation in cells of the developing wing in response to a cell death stimulus such as Hid expression. Caspase activity has also been shown to stimulate proliferation in B and T cells in mammals [14,26]. In these cells low levels of caspase activity are thought to function cell-autonomously to cleave and modify the functions of key cell-cycle regulators. In contrast,

our results argue that Dronc activity, in its role as an apoptosis inducer, plays two distinct roles that link cell death and proliferation, but in different cells. In its primary role Dronc transduces death signals in the cells in which it is activated. At the same time it promotes the production by these cells of a signal that drives the proliferation of nearby cells. Together these two Dronc functions provide a mechanism that couples ectopic cell death with the compensatory proliferation that is required to maintain tissue size homeostasis and allow normal development.

Paradoxically, Dronc's role as a component of such a homeostatic mechanism may also contribute to deregulation of tissue growth in some contexts. For example, it has recently been reported that cells expressing high levels of dMyc, the *Drosophila* homolog of the myc oncogene, are super-competitors. They survive and proliferate at the expense of neighboring cells, which are eliminated by apoptosis [6,27]. This death is mediated at least in part by increased expression of Hid [6]. As demonstrated above, expression of Hid and the subsequent activation of Dronc in dying cells lead to the generation of signals that drive the proliferation of neighbors. Therefore, upregulation of dMyc may induce a positive feedback cycle in which cells with increased dMyc levels promote the death of neighbors, which then sends a signal back to the dMyc-overexpressing cells that further stimulates their proliferation. To focus on one specific version of such a model, it will be interesting to determine if Dronc activation in dying cells results in changes in the levels of dMyc expression in neighboring cells.

Finally, we note that activation of Dronc-dependent cell death leads in many contexts to a decrease in total cell number (c.f. [10-12]). Thus it is certainly not the case that activation of Dronc in dying cells provides a compensatory proliferation signal to neighbors in all contexts. Instead, it seems likely that cells in the wing disc, and probably those of other tissues going through a period of unpatterned growth, are primed to respond to Dronc activation with the production of signals that induces compensatory cell proliferation in neighbors that are themselves receptive to these signals. Given our observations, it is interesting to consider the possibility that apical caspases such as Dronc may function to regulate cell fate non-autonomously in other contexts as well. For example, one can easily imagine a situation in which activation of Dronc-dependent death in one group of cells results in the transmission of a signal to surrounding cells that informs them that a particular developmental event has been completed and/or that provides instructions on what to do next. This idea is speculative, but it is eminently testable by following the consequences of up- or down-regulation of Dronc activity in specific genetic backgrounds, as described in this report.

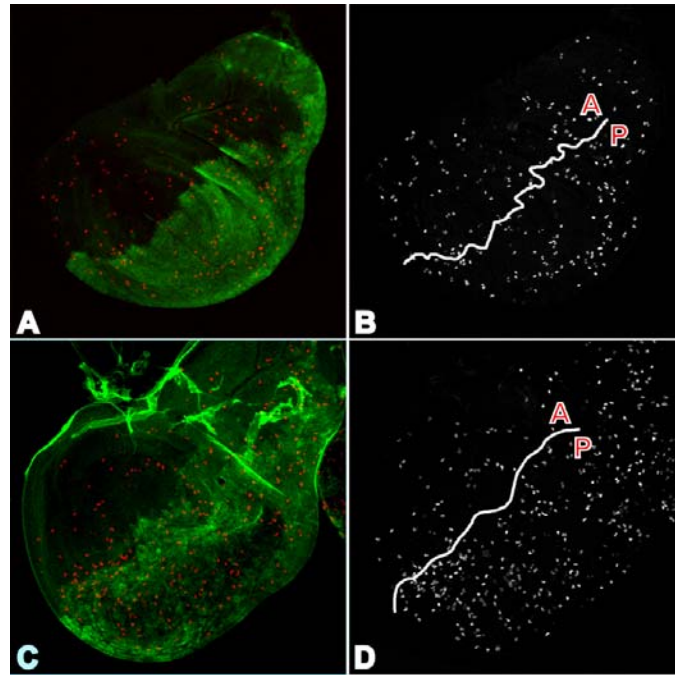


Fig1, Huh et al.

Figure 1. Activation of Hid-dependent cell death signaling, but in the absence of cell death, induces cell proliferation. Confocal images of wing discs from third instar larvae of various genotypes. Posterior is to the right. (A) Wing disc from a third instar larvae of genotype UAS::p35, engrailed Gal4 (en::Gal4), stained with anti-phosphohistone H3 (red) and anti-p35 (green). (B) The same wing disc with anti-phosphohistone H3 staining in white. (C) Wing disc from a third instar of genotype UAS::Hid, UAS::p35, engrailed Gal4 (en::Gal4), stained with anti-Hid (green) and anti-phosphohistone H3 (red). (D) The same wing disc with anti-phosphohistone H3 staining in white. In panels (B) and (D) the Anterior-Posterior compartment boundary is indicated by the white line. Anti-phosphohistone H3 staining, which labels cells in M phase of the cell cycle, is increased in the posterior compartment of Hid- and p35-expressing discs (C,D), but not those that express p35 alone (A,B).

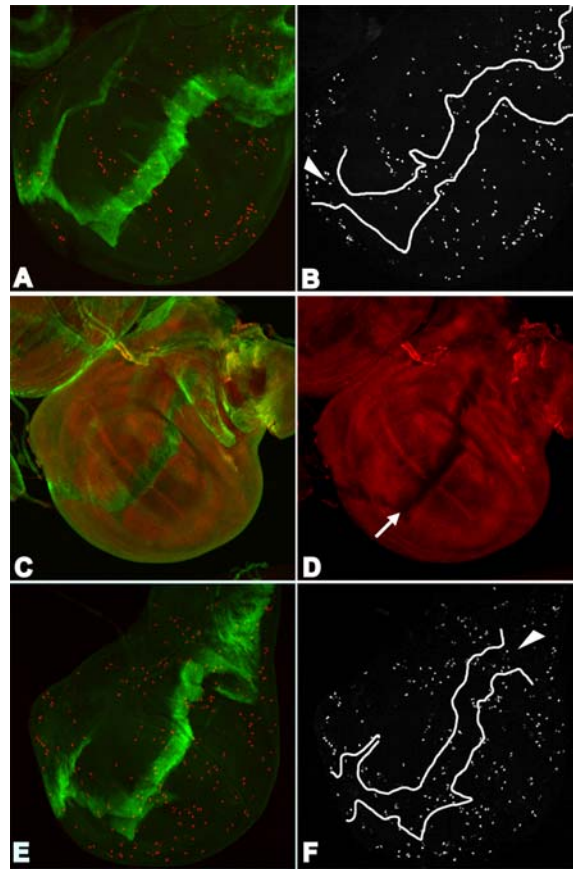


Fig2, Huh et al.

Figure 2. Loss of DIAP1, in the presence of p35, is not sufficient to promote cell proliferation in the wing disc. Confocal images of wing discs from third instar larvae of various genotypes. Posterior is to the right. (A) Wing disc from a third instar larvae of genotype UAS::p35, patched Gal4 (*ptc::Gal4*), stained with anti-p35 (green) and anti-phosphohistone H3 (red). (B) The same wing disc with anti-phosphohistone H3 staining shown in white. (C) Wing disc of genotype UAS::DIAP1-RNAi, UAS::p35, *ptc::Gal4* stained with anti-DIAP1 (red) and anti-p35 (green). (D) The same wing disc as in (C); only the anti-DIAP1 staining is shown. (E) Wing disc of genotype UAS::DIAP1-RNAi, UAS::p35, *ptc::Gal4* stained with anti-p35 (green) and anti-phosphohistone H3 (red). (F) The wing disc in (E) with anti-phosphohistone H3 shown in white. In panels (B) and (F) the boundaries of the *ptc::Gal4* expression domain are shown as a white line. Panels (A) and (B) show the pattern of proliferation in wing discs expressing p35 alone under patched control. Panels (C) and (D) show that expression of UAS::DIAP1-RNAi, UAS::p35 under patched control leads to the loss of DIAP1 (arrow) within the patched expression domain. Panels (E) and (F) show that expression of UAS::DIAP1-RNAi, UAS::p35 under patched control does not lead to an increase in proliferation within the *ptc::Gal4* expression domain (arrowhead). Note that the wing discs in panels (C,D) are

different from those in panels (E,F) because both anti-DIAP1 and anti-phospho-histone H3 are mouse monoclonal antibodies.

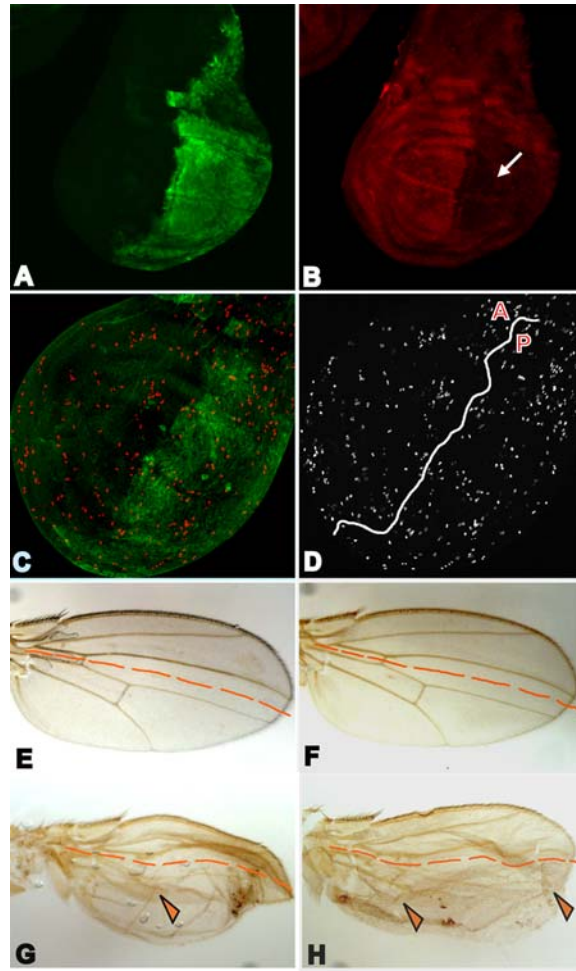


Fig3, Huh et al.

Figure 3. Dronc activity is necessary for Hid-dependent stimulation of proliferation in the wing disc. Confocal images of wing discs from third instar larvae (A-D) and adult wings (E-H) of various genotypes. (A, B) Wing disc of genotype UAS::Dronc^{C318S}, UAS::Hid, UAS::p35,eng::Gal4 stained with anti-Dronc (green) (A) and anti-DIAP1 (red) (B). (C) Wing disc of genotype UAS::Dronc^{C318S}, UAS::Hid, UAS::p35,eng::Gal4 stained with anti-Hid (green) and anti-phosphohistone H3 (red). (D) The wing disc in (C) with anti-phosphohistone H3 in white. The Anterior-Posterior compartment boundary is indicated by the white line. Wing discs that express Hid and p35 under engrailed control show a dramatic decrease in DIAP1 levels in the posterior compartment of the wing disc [4]. Coexpression of Dronc^{C318S} with Hid and p35 under engrailed control (A) still led to a dramatic decrease in DIAP1 levels in the posterior compartment (arrow) (B). Wing disc of genotype UAS::Dronc^{C318S}, UAS::Hid, UAS::p35, eng::Gal4 showed no increase in proliferation

in the posterior compartment relative to that present in the anterior compartment (C,D). The wing disc in panels (A) and (B) is different from the one shown in panels (C) and (D). Anti-Dronc staining is used to mark the A-P compartment boundary in (A) and (B). Anti-Hid staining is used to mark the boundary in (C) and (D). (E) Adult wing of genotype $UAS::p35,eng::Gal4$. (F) Adult wing of genotype $UAS::Dronc^{C318S}, UAS::Hid, UAS::p35,eng::Gal4$. (G,H) Adult wings of genotype $UAS::Hid, UAS::p35,eng::Gal4$. Expression of p35 alone in the posterior wing compartment results in the formation of a normal adult wing (E). Expression of Hid and P35 in the posterior compartment resulted in an expansion of this compartment (G,H). This expansion is associated with the presence of extra tissue folds (several of which are indicated with the arrowhead). Co-expression of the dominant negative form of Dronc, $Dronc^{C318S}$, with Hid and p35 in the posterior wing compartment suppressed the overgrowth phenotypes associated with Hid expression (F).

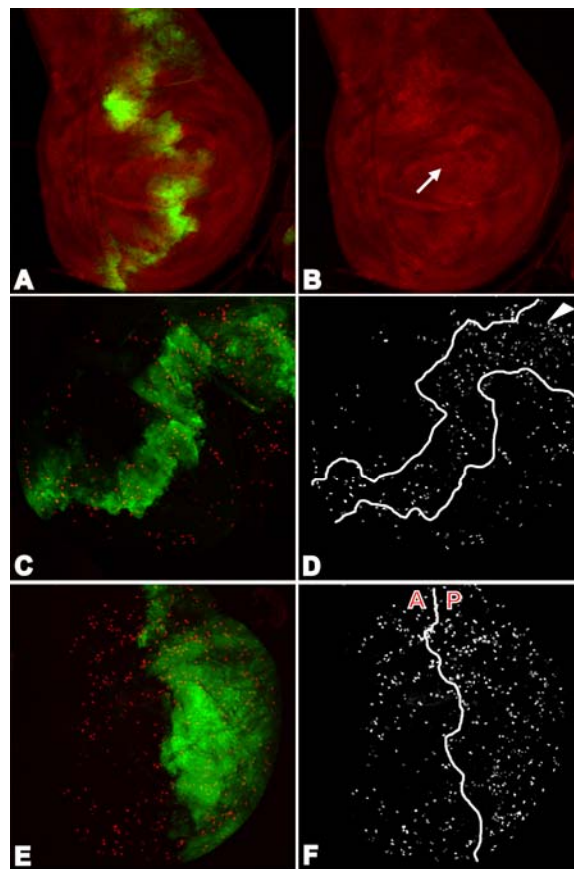


Fig4, Huh et al.

Figure 4. Dronc activation is sufficient to stimulate proliferation in the wing disc. Confocal images of wing discs from third instar larvae of various genotypes. Dronc expression was visualized with GFP (green) (see methods for details). (A) Wing disc of genotype $UAS::Dronc$,

UAS::p35; ptc::Gal4 stained with anti-DIAP1 (red). (B) Disc in (A) with only anti-DIAP1 staining shown. (C) Wing disc of genotype UAS::Dronc, UAS::p35; ptc::Gal4 stained with anti-phosphohistone H3 (red). (D) Disc in (C) with anti-phosphohistone H3 shown in white. The ptc-expressing domain lies within the white lines. (E) Wing disc of genotype UAS::Dronc, UAS::p35; en::Gal4 stained with anti-phosphohistone H3 (red). (F) Disc in (E) with anti-phosphohistone H3 shown in white. The A-P compartment border is indicated by the white line.

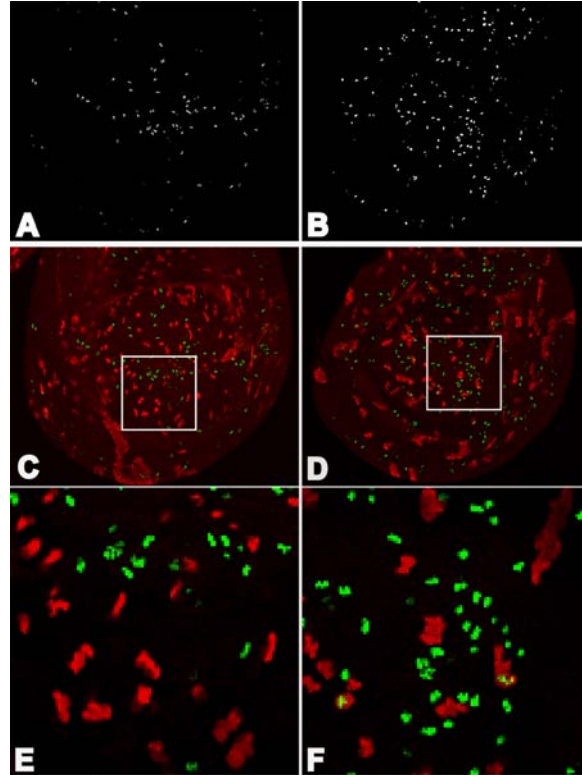
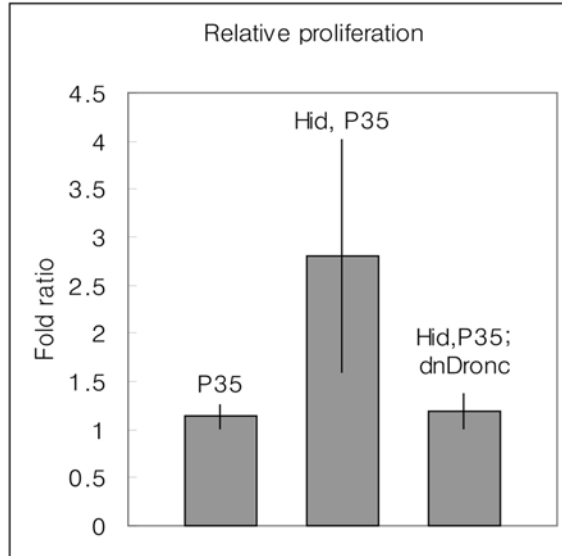


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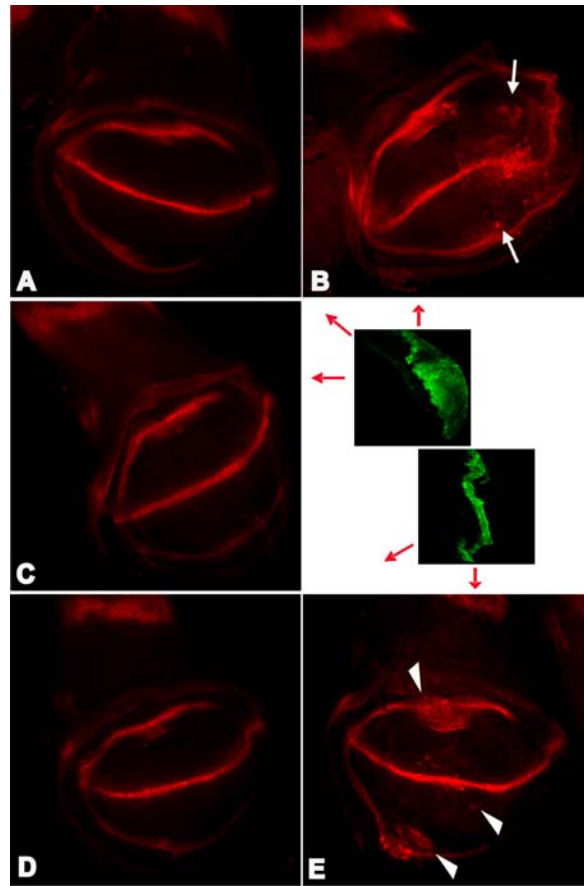
Figure 5. Activation of Hid-dependent cell death signaling in clones is associated with increased total disc proliferation. Single section confocal images of discs from third instar larvae of various genotypes. Wing discs are stained with anti-phosphohistone-H3 (white or green) and anti-p35 (red). (A,C,E) HS::FLP; UAS::p35; Act5C<CD2<Gal4, or (B,D,F) HS::FLP; UAS::p35, UAS::Hid^{Ala5}; Act5C<CD2<Gal4.

Supplementary Materials



Supp. Fig.1, Huh et

Figure S1. Wing discs in which Hid is expressed in the posterior compartment show a Dronc-dependent increase in phosphohistone-H3 labeling, indicative of increased proliferation. The ratio of phosphohistone-H3 positive cells in the posterior versus anterior compartment (P/A ratio) was determined for discs of three different genotypes: UAS::p35, en::Gal4 (n=4), UAS::Hid, UAS::p35, en::Gal4 (n=3), and UAS::Dronc^{C318S}; UAS::Hid, UAS::p35, en::Gal4 (n=3).



Suppl. fig. 2, Huh et al.

Figure S2. Wingless levels are regulated by cell-death signaling. Confocal images of wing discs from third instar larvae of various genotypes. All discs are stained with anti-wingless (red). (A) Wing disc of genotype *UAS::p35, en::Gal4*. (B) Wing disc of genotype *UAS::Hid, UAS::p35, en::Gal4*. (C) Wing disc of genotype *UAS::Dronc^{C318S}, UAS::Hid, UAS::p35, en::Gal4*. (D) Wing disc of genotype *UAS::DIAP1-RNAi, UAS::p35, ptc::Gal4*. (E) Wing disc of genotype *UAS::Dronc, UAS::p35, ptc::Gal4*. Wingless levels in the posterior compartment are increased in the presence of Hid and p35 (B), but not p35 alone (A). This increase requires Dronc activity (C). Loss of DIAP1 alone, in the presence of p35, does not result in an increase in wingless levels (D), while expression of Dronc with p35 does (E).

Supplemental Experimental Procedures

Fly strains and constructs

All crosses and stocks were maintained at 25 °C. The following fly stocks were used: *w¹¹¹⁸*, En-Gal4, UAS-Hid, UAS-P35 [4], UAS-Dronc^{C318S} [10], UAS-Hid^{Ala5} (in which six MAPK phosphorylation sites in Hid are mutated to alanine) [S1], and UAS-Dronc #80 [12]. *ptc*-Gal4, Act5C<CD2<Gal4, and HS-FLP were from the Bloomington Stock Center. In the UAS-Dronc #80 construct GFP is present as a C-terminal fusion to Dronc [12]. To generate double stranded RNA corresponding to the coding region of DIAP1 we amplified a 605 bp fragment of DIAP1 using primers 5' EcoR1-gaa cag cac gct ctc tgg cta ag and 5' Xho1-BamH1-ttt gag gac ttg ggt gcg cat tgg. This fragment was ligated into the EcoR1 and Xho1 sites of the SympUAST-w vector [S2], generating UAS-DIAP1-RNAi. Germ line transformants were created using standard procedures.

Generation of clones

Larvae of genotype HS::FLP; UAS::p35; Act5C<CD2<Gal4, or HS::FLP; UAS::p35, UAS::Hid^{Ala5}; Act5C<CD2<Gal4 were heat shocked for 15 minutes at 37 °C. Discs from third instar larvae were processed as described below.

Immunocytochemistry

Conditions for immunocytochemistry and confocal microscopy were as described in [4,16]. Antibodies were used at the following concentrations: purified rabbit anti-Dronc (1:50) [15],

mouse anti-DIAP1 (1:400) [4], mouse anti-P35 (1:100) [4], rabbit anti-P35 (1:1,000) (Biocarta); rabbit anti-Hid (1:1,000) [4], mouse anti-Wingless (1:30) (Developmental Studies Hybridoma Bank), and mouse anti-Phospho Histone (1:50) (Cell Signaling Technology, Inc).

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CHAPTER 5

Summary: Future Directions

Apoptosis is an irreversible process, which brings about cell death without causing any unwanted effects on neighboring cells or tissues [1]. Since hyper- or hypo-activation of death machinery often leads to the pathological outcomes in the entire body, its fine regulation must be ensured. The second chapter of this thesis illustrated one of these regulation mechanisms in *Drosophila* apoptosis. *Drosophila* is a very useful system for studying apoptosis, not only for its genetic feasibility, but also for its relative simplicity in cell death regulation. Unlike the mammalian system where both intrinsic and extrinsic death pathway play roles, the majority of apoptosis during *Drosophila* development is dependent on three death-activator proteins (Rpr/Hid/Grim) and one inhibitor protein (DIAP1). Several labs including ours have shown that Rpr/Hid/Grim cause cells to die by inhibiting the function of DIAP1. However, it was not known what the consequences of that inhibition were. Does Rpr/Hid/Grim simply sequester DIAP1 so that caspases can be activated? If so, could this reaction be reversible? Or could Rpr/Hid/Grim inactivate DIAP1 permanently, so that once the death decision is made, there no way to undo it? We have shown that the binding of Rpr/Hid/Grim to DIAP1 reduces its protein level either by general translational inhibition, or ubiquitin dependent proteasome pathway. Among these, Hid induced DIAP1 ubiquitination is rendered through DIAP1's own ability as a E3 ligase. Recently, activated caspases such as Dronc and Drice were shown to cleave DIAP1 and presumably make it inactive also [2-4]. Along with these findings, our studies suggest a feed-forward mechanism in apoptosis. Once cells are doomed to die, Rpr/Hid/Grim is up-regulated or activated to bind and remove DIAP1 so that caspases

are released from DIAP1 and become activated. These active caspases then cleave and inactivate the remaining DIAP1 in an accelerated manner.

Caspases exist as zymogens in every cell. Once death-activation signals come along, a cleavage event occurs between their large and small subunits, and they become activated. In order to study death-activation events, we developed antibodies that recognize only active (cleaved) caspases, without detecting inactive zymogens. These antibodies led us to find the developmental contexts, where caspases are playing non-apoptotic roles such as those in sperm development, in addition to their conventional roles in apoptosis. The third chapter describes that at least three different caspase activation mechanisms are utilized during *Drosophila* spermatogenesis in order to remove excessive cytoplasm in sperm and separate them from one another. In addition, the fourth chapter showed another example of how caspases play non-apoptotic roles. We showed that the activity of the caspase Dronc is both sufficient and necessary to bring about compensatory proliferation under stressful conditions during the development of un-patterned tissue like the *Drosophila* wing. Many caspases, adaptor molecules, and death activators/inhibitors comprise a delicate cell-death regulatory network that allows a very fine regulation of caspase activity to be achieved. Since these death molecules exist in every cell to make them ready for any un-expected sudden death, it would be wasteful if cells are utilizing these molecules only for cell death, which occurs just once in the entire course of their life-cycle. Our findings, non-apoptotic roles of cell death machinery in both spermatogenesis and compensatory proliferation, illustrate caspases' non-conventional, but important, roles during animal development. Once we have a better

understanding of how death machinery is regulated, then we may be able to apply our knowledge to non-death and clinically important processes.

Finally I would like to discuss unanswered questions originating from these studies and possible ways to solve them. For the regulation of DIAP1 by Rpr and Grim, the most intriguing question I have is about the identity of E3 ligase involved in this process. Unlike Hid, Rpr/Grim can still induce a reduction of DIAP1 even in the absence of its E3 ligase activity. This could be due to their ability to reduce general translation, but they also could be using some other E3 ligase to degrade DIAP1. A series of genetic screens performed in our lab identified several genes whose ectopic expression enhances Rpr and Grim dependent death, without affecting Hid dependent death (unpublished data). Interestingly, one of them turned out to encode potential E3 ligases. It would be very interesting, therefore, to check the level of DIAP1 in the mutant backgrounds of this gene upon the induction of Rpr or Grim. If any of the genes detected in the screens act as an E3 ligase, whose activity is required for Rpr/Grim induced DIAP1 reduction, a removal of the ligase might stabilize DIAP1 and alleviate Rpr/Grim induced death phenotype. The other approach would be to make a stable S2 cell line with inducible rpr or grim genes. Rpr or Grim would then be expressed in the presence of chemical inhibitors against caspases to keep cells alive. Reduced DIAP1 level could then be measured by western analysis. Alternatively GFP or luciferase could be tagged to DIAP1 for efficient analysis of DIAP1's protein level. Now a RNAi-based screen, using a dsRNA library that encompasses the majority of fly genes, can be performed to identify genes whose activity is required for Rpr- or Grim- induced DIAP1 removal.

This approach renders it possible to identify not only a responsible E3, but also other components in the DIAP1 regulation pathway by Rpr or Grim.

For the non-apoptotic roles of cell death machinery in sperm differentiation and compensatory proliferation, the following questions remain to be answered. First, how do sperm avoid cell death even in the presence of caspase activation? Second what are the mechanisms of caspase activation? Are the same canonical death activation mechanisms being used in non-apoptotic situations? If not, are there any specific death activators only dedicated to the non-apoptotic roles of death machinery? Third, how do caspases exert their activity? In other words, how does the activity of caspases bring about membrane re-organization and cytoskeleton removal during spermatogenesis, as well as induce proliferative signals to the neighboring tissues during compensatory proliferation? Fourth, do caspases create proliferative signals in other developmental and pathological contexts as well?

How do sperm avoid cell death given that a high level of caspase activation occurs during spermatogenesis? Their activation might be physically separated from the nucleus, like that of Dronc, so that no cell death need happen. But the question of how caspase activation occurs only in a restricted area still remains. Alternatively, caspase targets whose activity is required to kill cells once activated by caspases might be absent in testes. If this is the case, unlike other somatic cells, sperm cells are not able to die even in the presence of caspase activation. (But we showed in Figure 1 that we can kill sperm cysts by an ectopic expression of downstream caspases.) Or cells also might prevent death with the help of cell death inhibitors. The question then becomes what is the nature of these inhibitors. Are they the same

death inhibitors found in somatic cells? Is DIAP1 required for the sperm cell survival as well? Our preliminary data suggest it might not be. When the DIAP1 level is reduced using RNAi technique in testes, neither an increase of caspase activation nor cell death was observed (unpublished data). However, a more definite answer can only be acquired by generating DIAP1-null sperm cysts by using a mitotic recombination technique. Specifically mutant cysts can be marked with GFP by MARCM technique [5]. As mentioned earlier, DIAP1 is absolutely required for most of the fly cell survival in different tissues. If that is the case for spermatogenesis as well, no GFP-positive clones (DIAP1 minus cysts) would appear. If GFP-positive cyst clones exist, however, that means DIAP1 is not necessary for the sperm cyst survival, which could be consistent with our RNAi data. Besides, it was recently reported that DIAP1 is dispensable for oocyte cell survival [6]. Novel death inhibitors other than DIAP1 might, therefore, be important during germline development. How then do we identify these novel inhibitors? There are many possible ways to tackle this question, but I would like to suggest using genetic approaches. Specifically a dominant modifier screen [7] would be a good choice. In chapter 3, we described the development of a testis-specific driver. When an active version of Drice was expressed under this driver, flies became completely sterile (Figure 1), presumably due to the excessive cell death. One can easily design a screen to identify suppressor lines for this sterility by mobilizing testis-specific drivers over the entire genome and crossing individual lines to the line expressing active Drice in testis. This could potentially identify novel inhibitors as well as DIAP1. What would the characteristics of these novel testis-specific inhibitors be?

We found that DEVDase (a downstream caspase) activity manifests a bimodal distribution in extracts generated from pools of numerous fly testes (Figure 2). This data clearly suggest the idea that caspases remain active only for a relatively short period of time in spite of their active (cleaved) conformation. Thus, I would expect that caspase inhibitors in sperm cysts might act like suicide inhibitors, which can directly bind to caspases' active sites and inhibit them. This hypothesis is supported by evidence that the expression of viral suicide caspase inhibitors like P35 are known to induce increased immuno-staining for active (or cleaved) caspases, similar to that of the testis, even though they have lost their activity [8].

We have shown that Dronc activation during spermatogenesis is dependent on the presence of the conventional cell death activator, Hid. However for Drice/Dcp-1 and Fadd/Dredd, it is still not known how they are activated. Mapping and cloning of *driceless* in which no Drice/Dcp-1 activation occurs would be very helpful to identify an upstream activation mechanism for these genes. Using meiotic recombination mapping techniques and genomic rescues with publicly available genomic duplication lines, we were able to narrow its potential region down to 0.37 Mb on X chromosome (unpublished data and Figure 3). We are in the process of identifying the responsible gene or genes among the 40 candidates. Hopefully this will lead us to a better understanding how Drice/Dcp-1 is regulated. Fadd and Dredd were previously shown to be involved in the innate immune response of flies. We have also checked other components in the immune response pathway for their potential roles during spermatogenesis and found that PGRP-LC, Ird5, and Relish mutants have some sperm individualization defects (unpublished data). Therefore, the same Dredd

activation pathway in the innate immune response might be conserved during sperm developments as well. It will be interesting to see if immune genes such as various antimicrobial peptides are also expressed during spermatogenesis. In order to follow where and when Dredd is activated, we are generating active (presumably cleaved) Dredd specific antibodies.

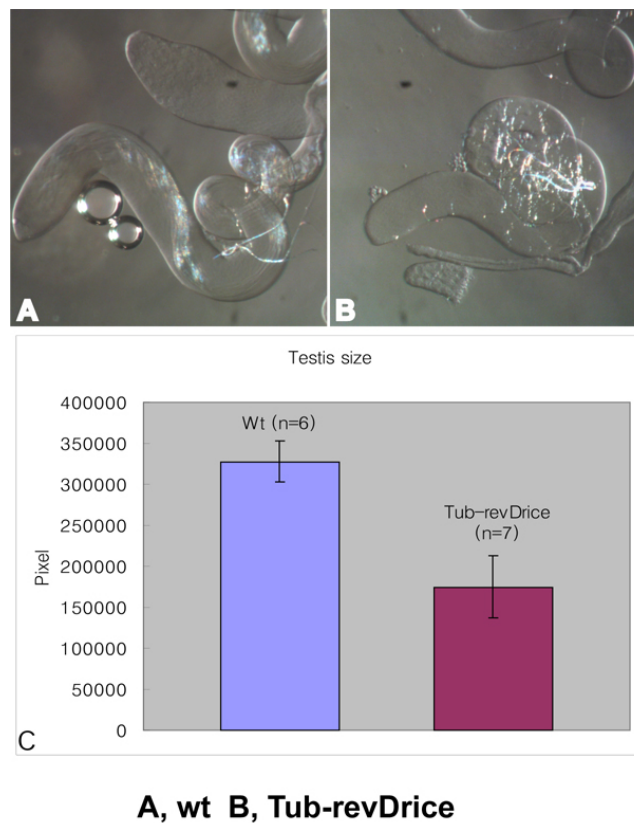
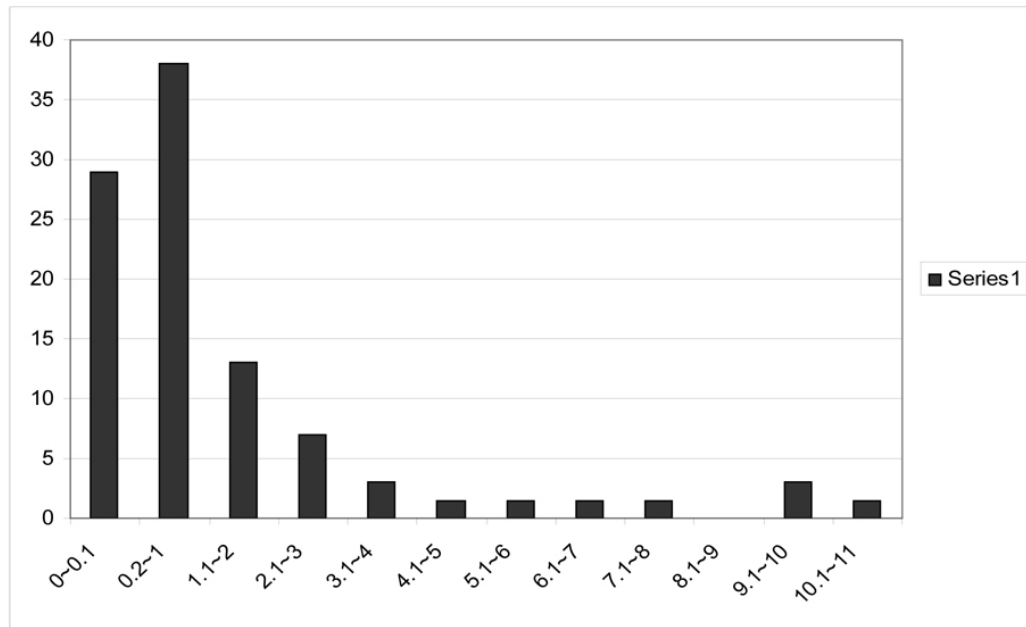


Figure 1. Elongated cysts can undergo apoptosis

Testis-specific ectopic expression of Drice removed extended spermatids. Therefore, the testis is not a death-free zone. A,B, bright-field pictures of Wt (A) and Drice expressing testis (B). C, Relative size of Wt- and Drice-expressing testes. Drice-expressing testes become smaller due to the excessive cell death.

DEVD activity in wild type fly testes shows a binomial distribution.



x axis: DEVDase activity (arbitrary value)
y axis: Percentile (n=69)

- 1) At any given time, approximately less than 10% Wt testis have DEVD activity.
- 2) DEVD activity measured using protein extracts prepared from 25 testes pairs. (n=69, ~2,000 flies total)

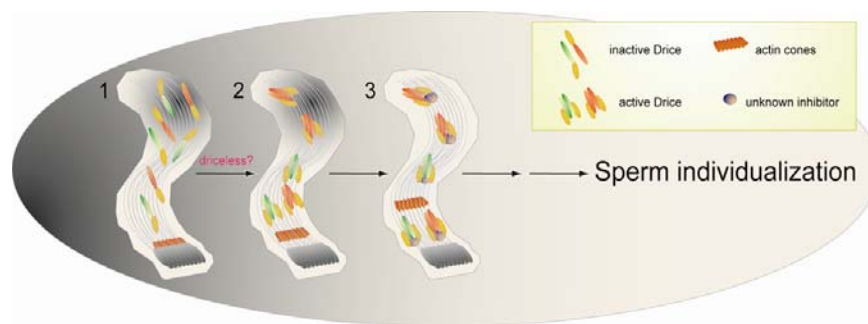
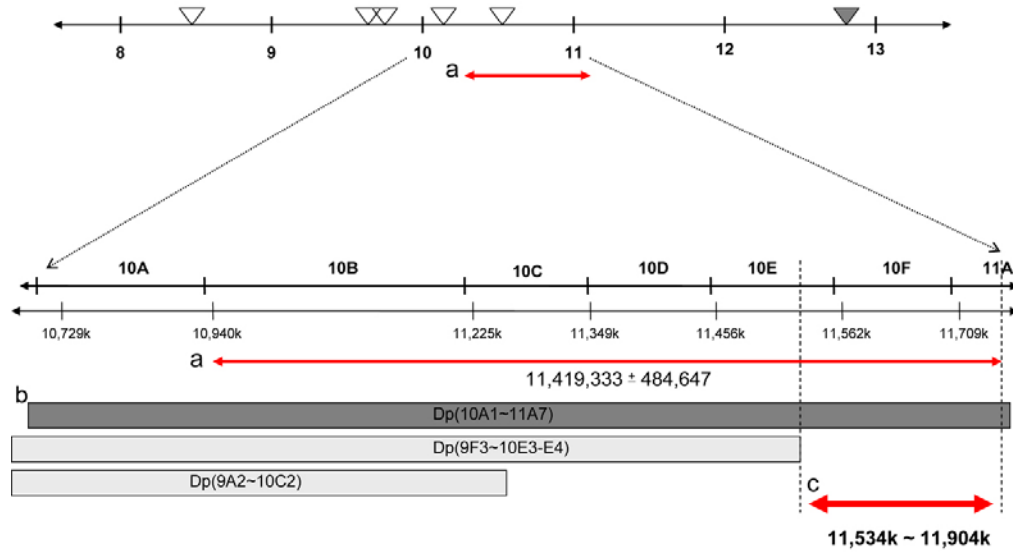


Figure 2. DEVDase activity in testis extracts manifested a binomial distribution pattern, which suggests a presence of suicide caspase inhibitors.

Mapping of *driceless*



▽ ▽ P elements used for the recombination mapping of *Driceless*

a, *Driceless* locus after recombination mapping

b, Duplication lines that rescued *driceless*

c, *Driceless* locus after recombination mapping and rescue experiments

Figure 3. Mapped locus of *driceless*.

Identifying caspases' substrates is not a trivial task due to their transient interaction with caspases. However, understanding about how caspases function is dependent on finding their targets. Proteomic approaches like mass-spectrophotometric analysis and 2D gel electrophoresis can be entailed for this purpose. Genome wide screening can also be an effective way to identify substrates. Previously one of the actin binding proteins, Gelsolin, was identified as a caspase substrate by incubating pooled genes in the presence or absence of caspases and by comparing resulting band cleavage (or migration) patterns [9]. Similar approaches can be taken. Testes extracts can be prepared from wild type and caspase mutant's testes. Various antibodies against candidate target genes, such as actin regulator proteins or membrane remodeling factors are used to detect any differently processed protein bands. Once potential caspases targets are identified, un-cleavable variants of these proteins can be expressed in testes to determine if they phenocopy caspase mutants. Due to the technical difficulty of making protein extracts from wing discs, it is better to use indirect approaches to find Dronc targets in the compensatory proliferation pathway. As discussed in chapter 4, over-expression of Dronc and P35 induces extra proliferation. Using a genetic screening, therefore, to identify suppressor lines that abrogate this ectopic proliferation would provide some clues on how Dronc brings about compensatory proliferation.

Since Dronc and Drice mutants recently became available [10-12 and unpublished data] and both of them are not embryonic lethal, it would be interesting to study their response to the external stress during the larval stage. If Dronc is involved in the generation of proliferative signals in tissues other than the wing discs,

we might be able to find those contexts by comparing these mutants to wild type flies. Since the final outcome of death is blocked in Drice mutants, paradoxically more proliferation would ensue upon the induction of the death pathway (also refer to chapter 4) due to the hyper Dronc activation. Dronc mutants, however, should behave in similar ways to wild type. One other interesting experiment would be to determine the regeneration potentials in these mutants. The ability of *Drosophila* imaginal disc to regenerate after its partial incision is well known [13, 14]. The question is whether or not caspases play any roles during this process. If they do, Drice mutants might beget higher tissue regeneration efficiency thanks to the increased proliferation. On the contrary, Dronc mutants might completely lack this potential. These are obviously doable experiments with intriguing clinical implications.

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